

# High Pressure, high temperature water

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**Crystal Structure of Oxygen sub lattice (Closed Pack  $\longrightarrow$  P21/c space-group)**

**the phase diagram**

**why this phase?**

**why this phase diagram?**

**connecting to T=0**

**Computational details**

**Electronic Structure: Ice rule  $\longrightarrow$  unimodal distribution**

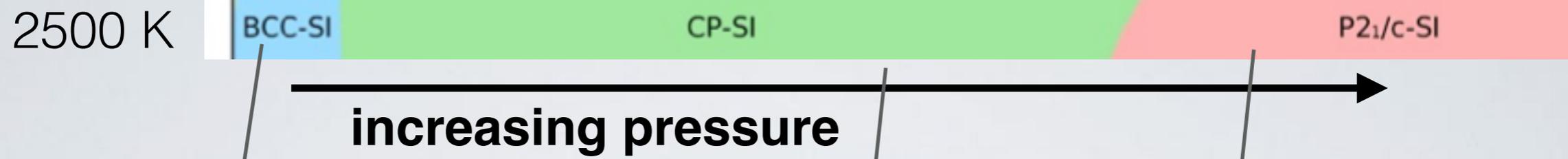
**Dynamical born charges**

**What are the hydrogens doing? anisotropic diffusion**

**ionic conductivity**

**Nuclear Quantum Effects**

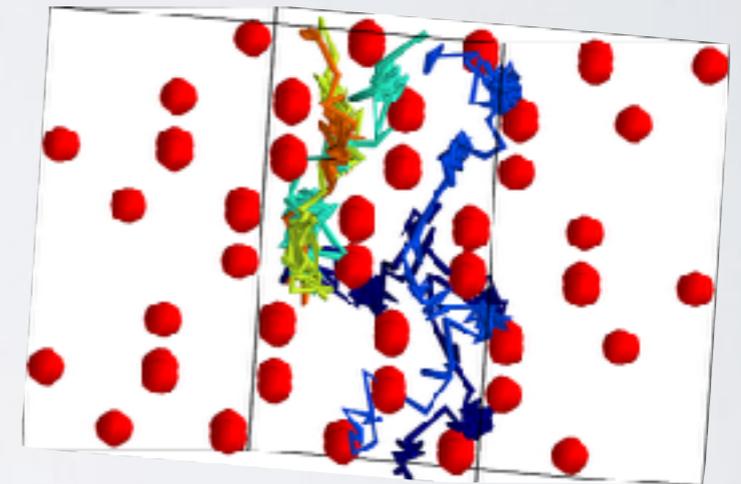
# Closed Pack → P2<sub>1</sub>/c space-group



*forever*

*Militzer, et. al  
this work*

*this work*



Superionic phases:

Say what a superionic phase is

This is different than the ground state

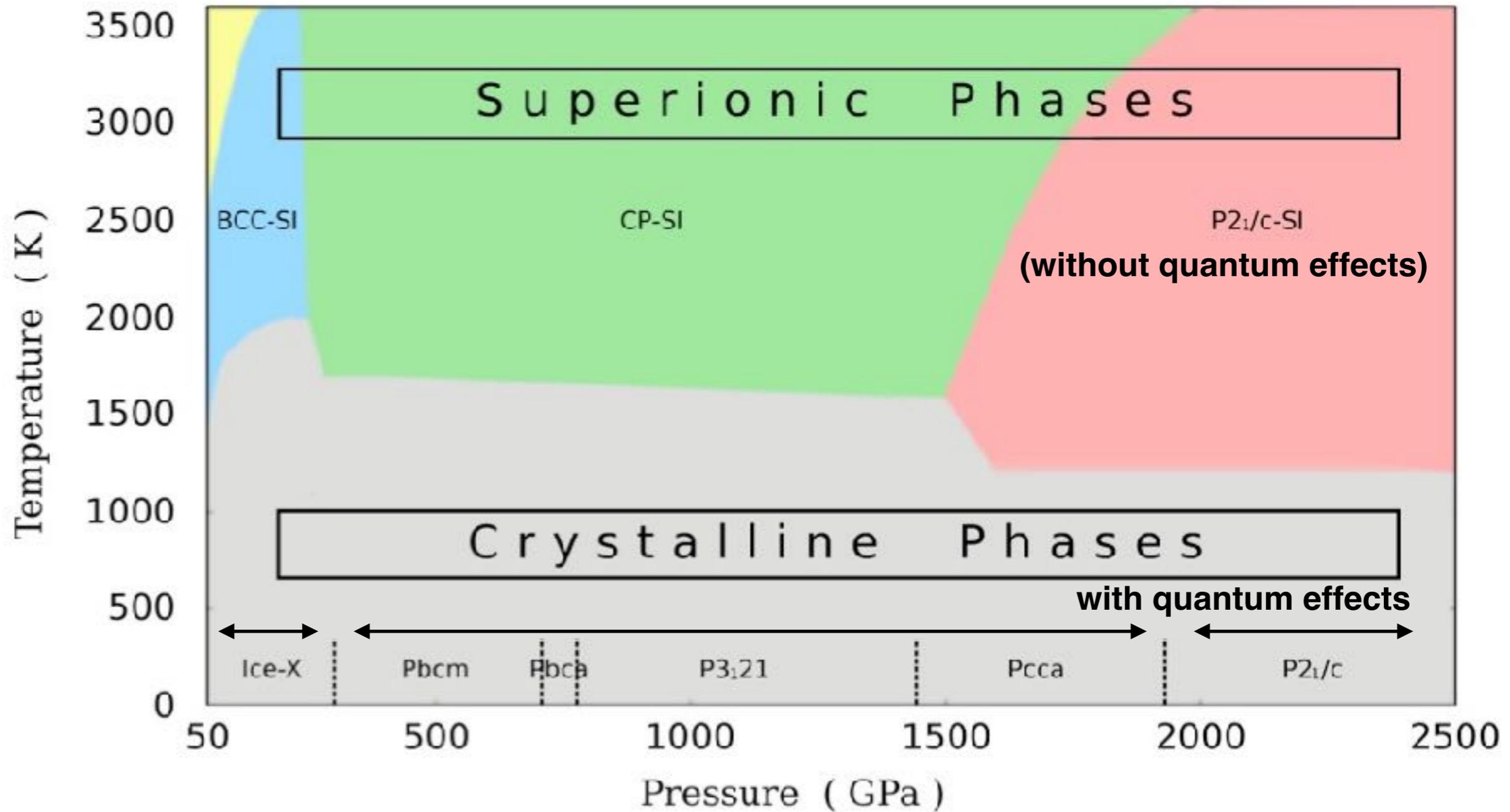
same symmetry but less molecules/unit cell

**Table 1 | Structural parameters of the oxygen sublattice of P<sub>21</sub>/c-Si.**

Symmetry	P <sub>21</sub> /c
Molecules/unit cell	4
a(Å)	2.87
b(Å)	3.11
c(Å)	3.58
β(°)	151
O position	0.636, -0.077, 0.013

The structural parameters are calculated at 1.0 TPa and 1,600 K. a, b and c are the edges of the monoclinic unit cell. β is the angle between a and c. The position of one O atom in units of the cell parameters (a, b, c) is given in the last entry. The positions of the three other oxygens in the cell are obtained from the position in the table by symmetry operations.

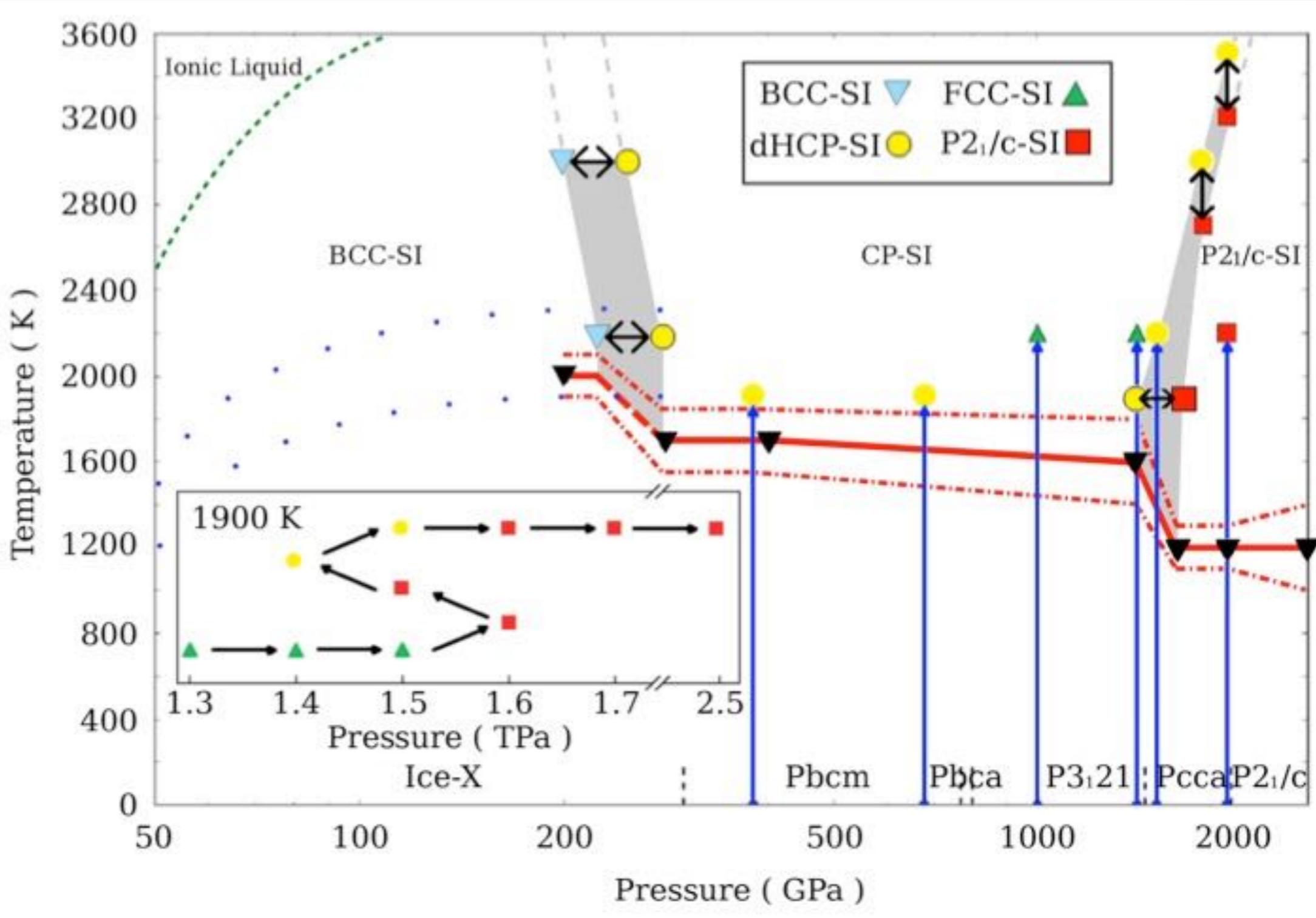
# the phase diagram



discuss crystalline phases here and simplification, etc?

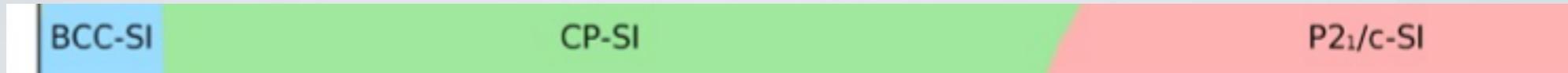
1. [Decomposition and terapascal phases of water ice](#)  
C.J. Pickard, M. Martinez-Canales, and R.J. Needs  
Phys. Rev. Lett. **110**, 245701 (2013)

how do we know?



## why this phase?

1900 K



increasing pressure

Probably +PdV

$$\Delta H = \Delta U - P\Delta V - T\Delta S$$

**FCC-SI → P2<sub>1</sub>/c-SI**

$$\Delta U = +0.05 \text{ eV mol}^{-1}$$

$$\Delta V = -0.19 \text{ Bohr}^3 \text{ per mol}$$

$$P\Delta V = -0.28 \text{ eV mol}^{-1}$$

How does density increase beyond a closed-packed structure?

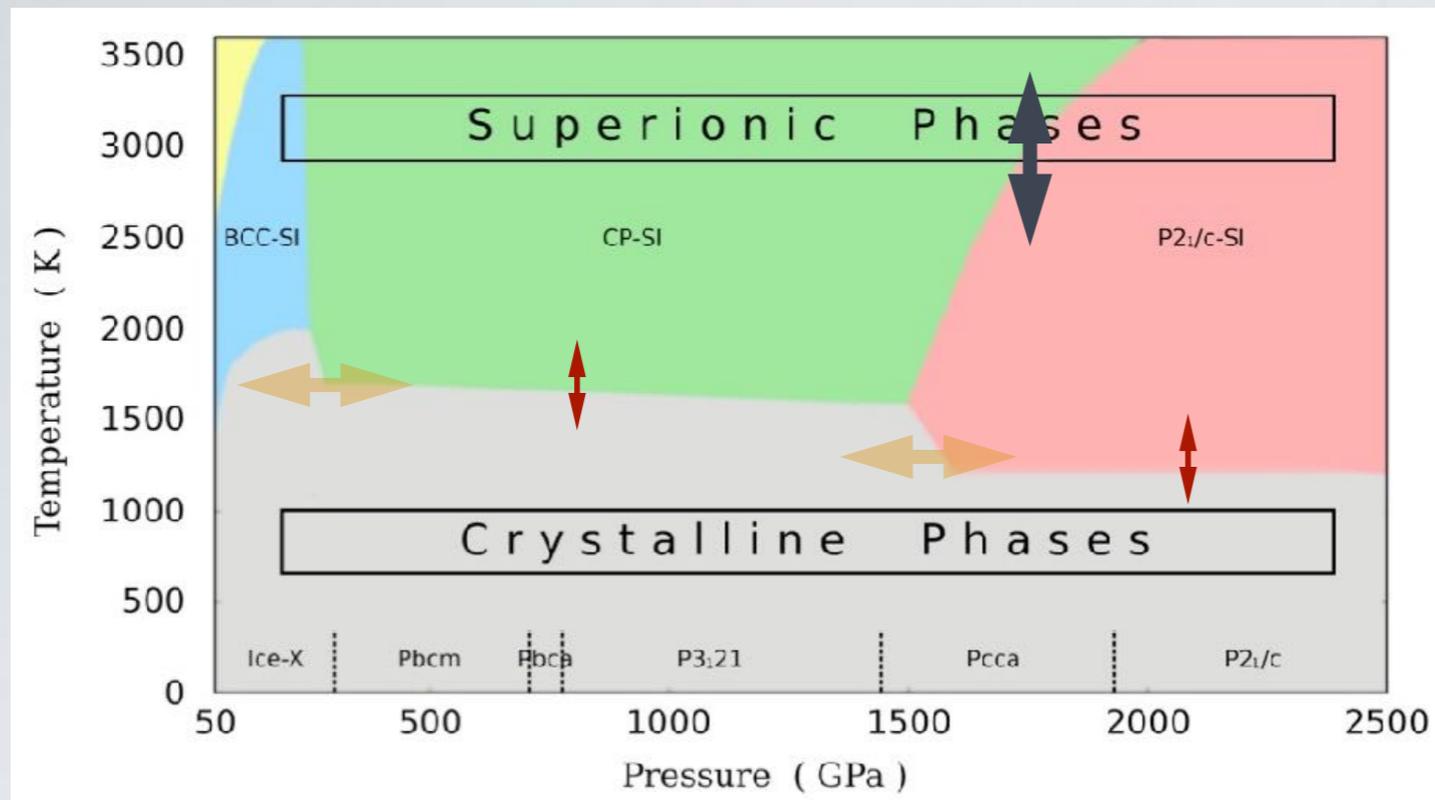
The hydrogen allow for increased density

**Table 2 | Change of thermodynamic properties in the FCC-SI to P2<sub>1</sub>/c-SI transition.**

$\Delta H$	$-0.23 \text{ eV mol}^{-1}$
$\Delta V$	$-0.19 \text{ Bohr}^3 \text{ per mol}$
$P\Delta V$	$-0.28 \text{ eV mol}^{-1}$
$\Delta U$	$+0.05 \text{ eV mol}^{-1}$

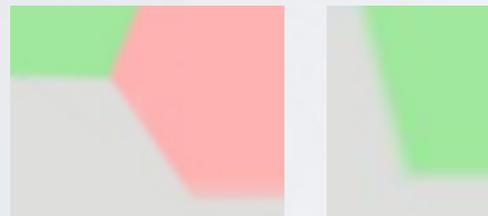
The change of specific enthalpy (H), volume (V) and internal energy (U) across the transition at  $P=1.6 \text{ TPa}$  and  $T=1,900 \text{ K}$ . The enthalpy difference is mainly due to the  $P\Delta V$  term, which in turn is due to the higher density in P2<sub>1</sub>/c-SI.

# why this phase diagram?



-  Melt P21 upon increasing T  
 $\Delta H \approx -0.1 \text{ eV mol}^{-1}$   
 $\Delta S$  favors closed-pack hydrogens higher diffusivity
-  melt upon increases pressure
-  Flat boundaries
-  Negative slopes

Clausius-Claperyon  $\frac{dT}{dP} = \frac{\Delta V}{\Delta S}$



Superionic phase (presumably) higher entropy  
 Superionic smaller volume then nearby crystalline phase.



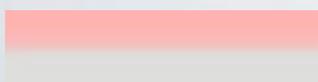
Superionic larger volume then nearby crystalline phase.



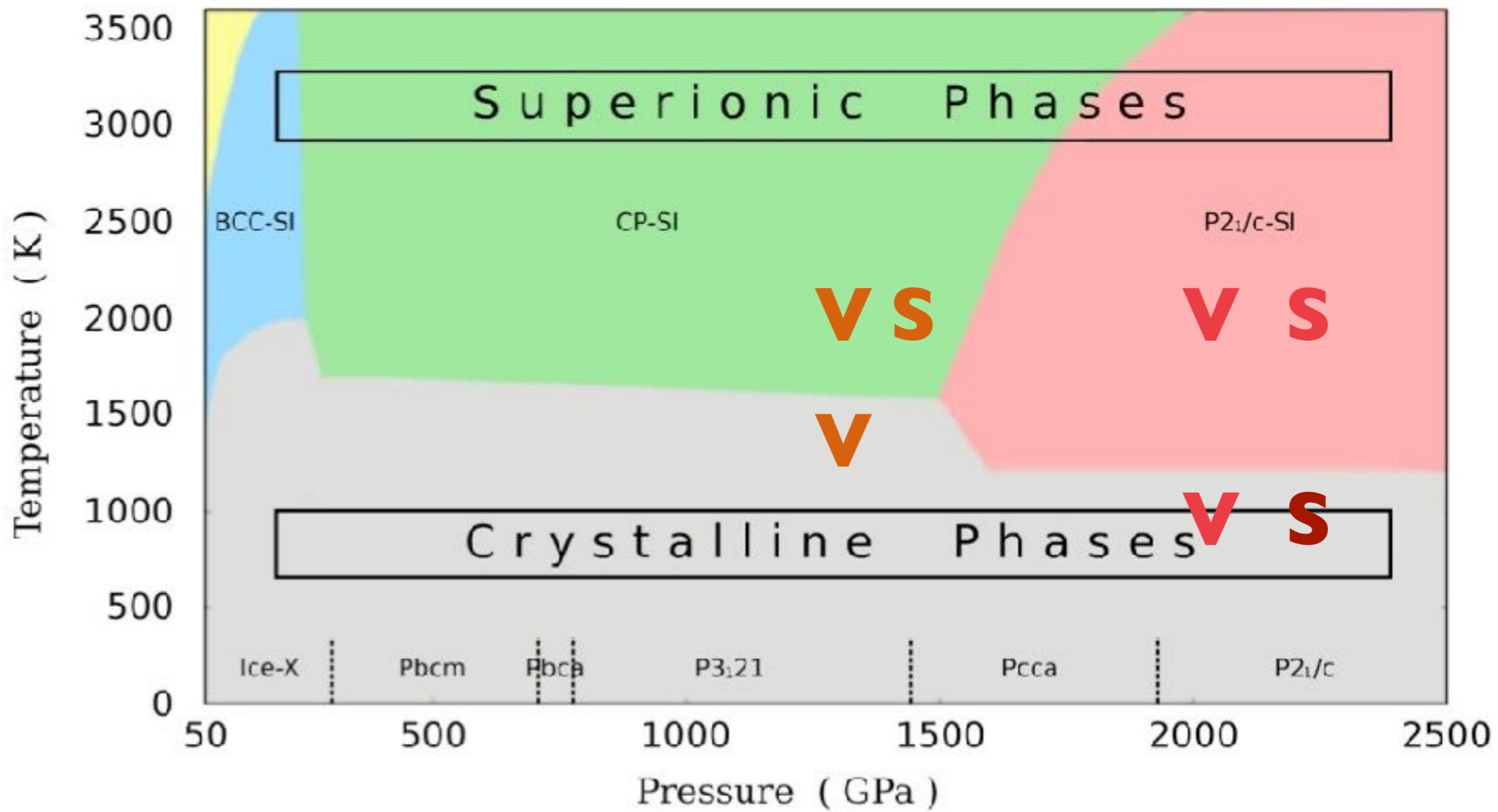
P21 denser and less entropy



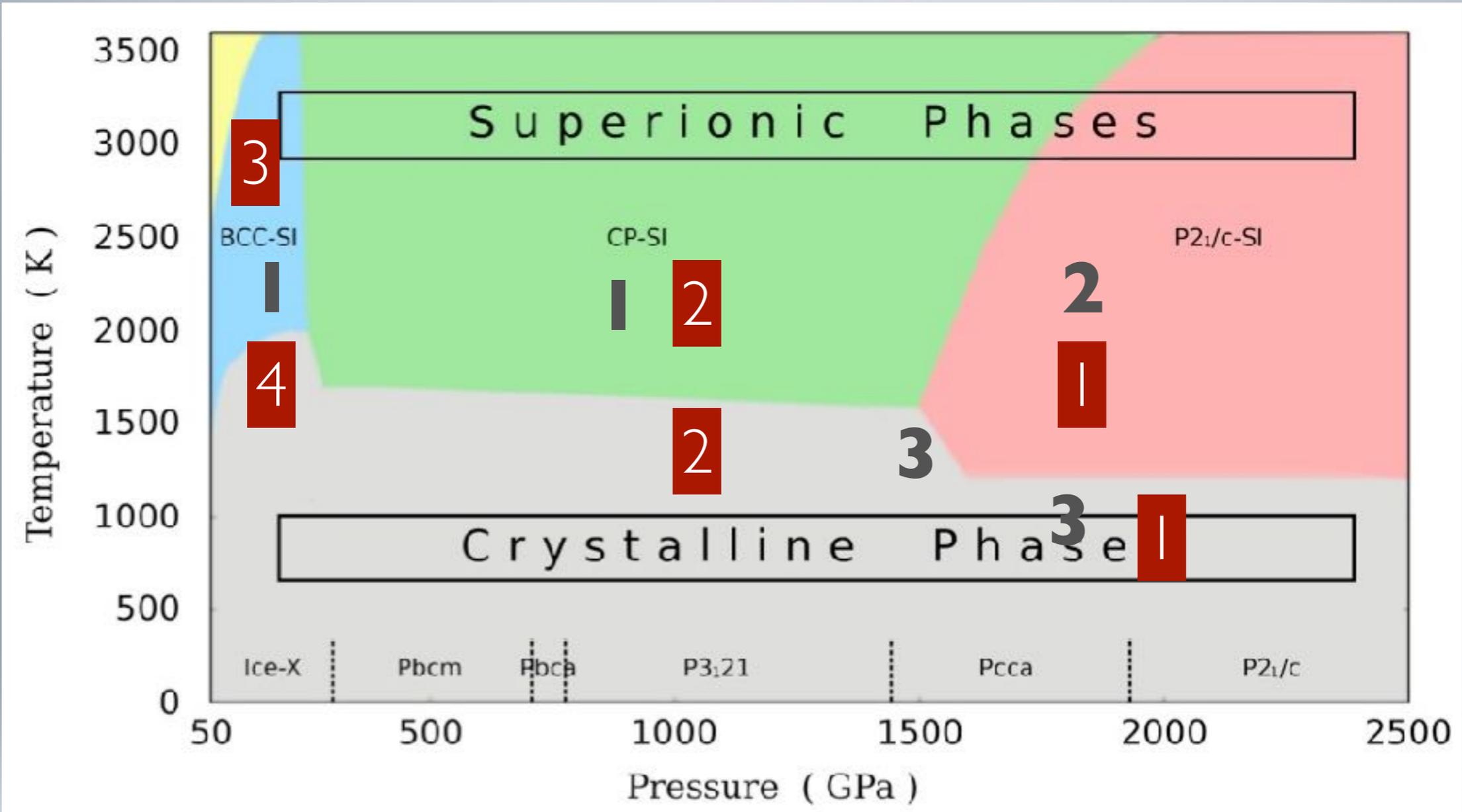
BCC and CP same entropy



Crystalline and SI phases no volume change

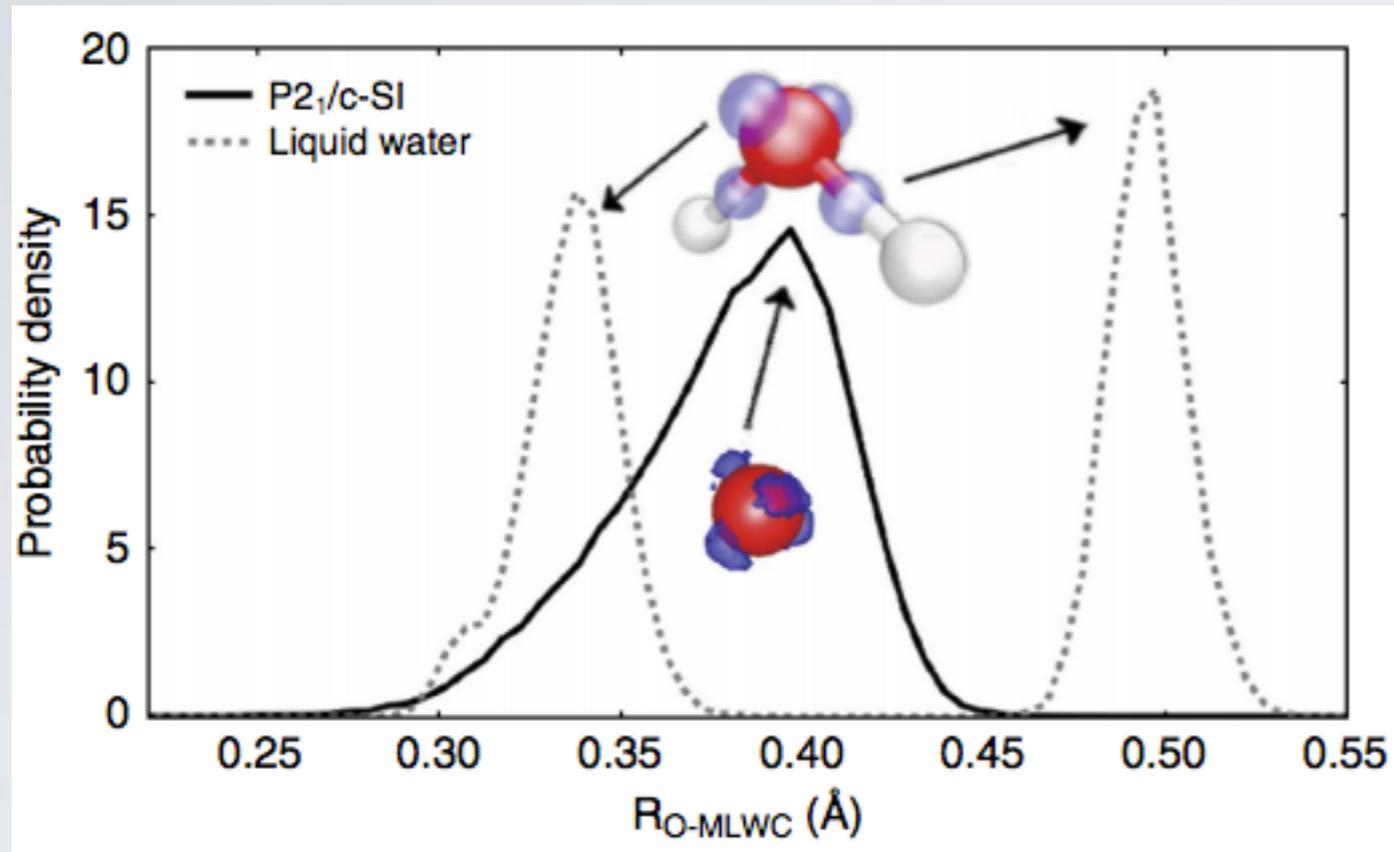


connecting to T=0



## Electronic Structure: Ice rule $\longrightarrow$ unimodal distribution

electronic insulator; ionic conductor

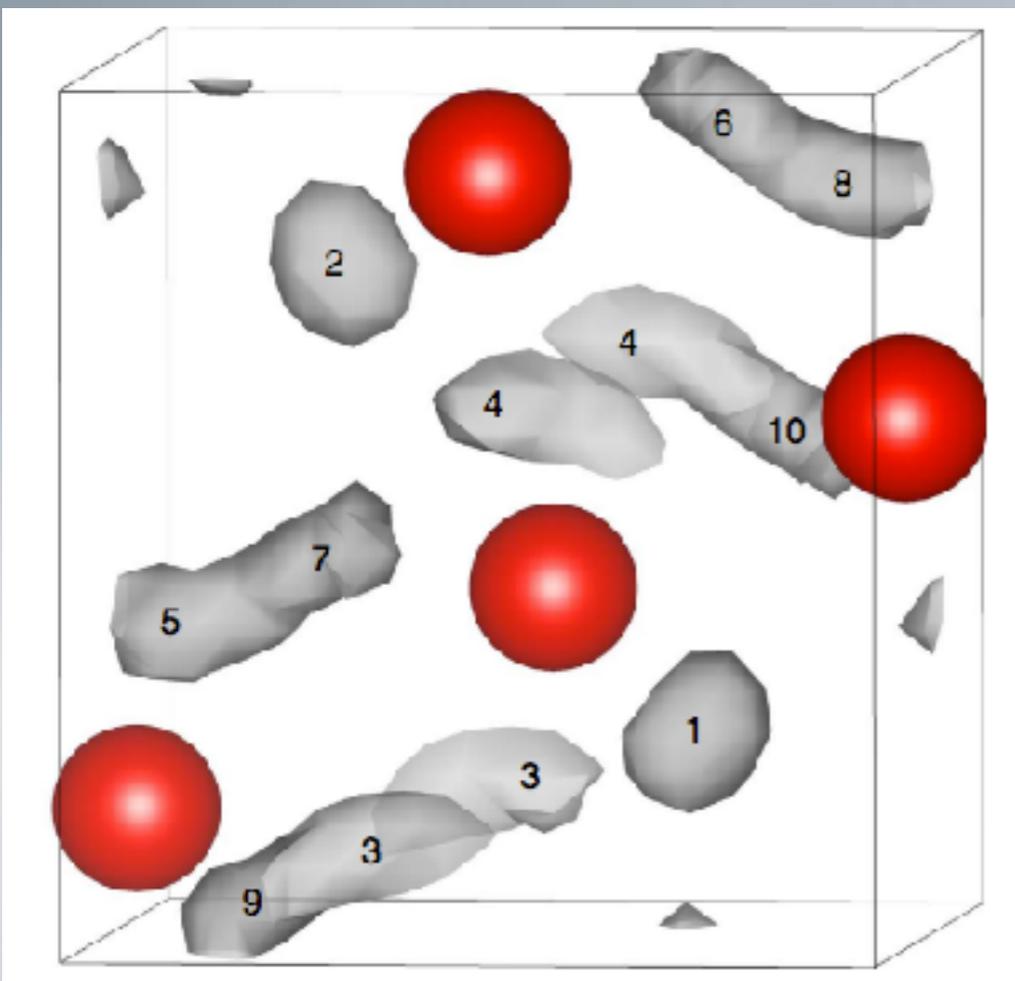
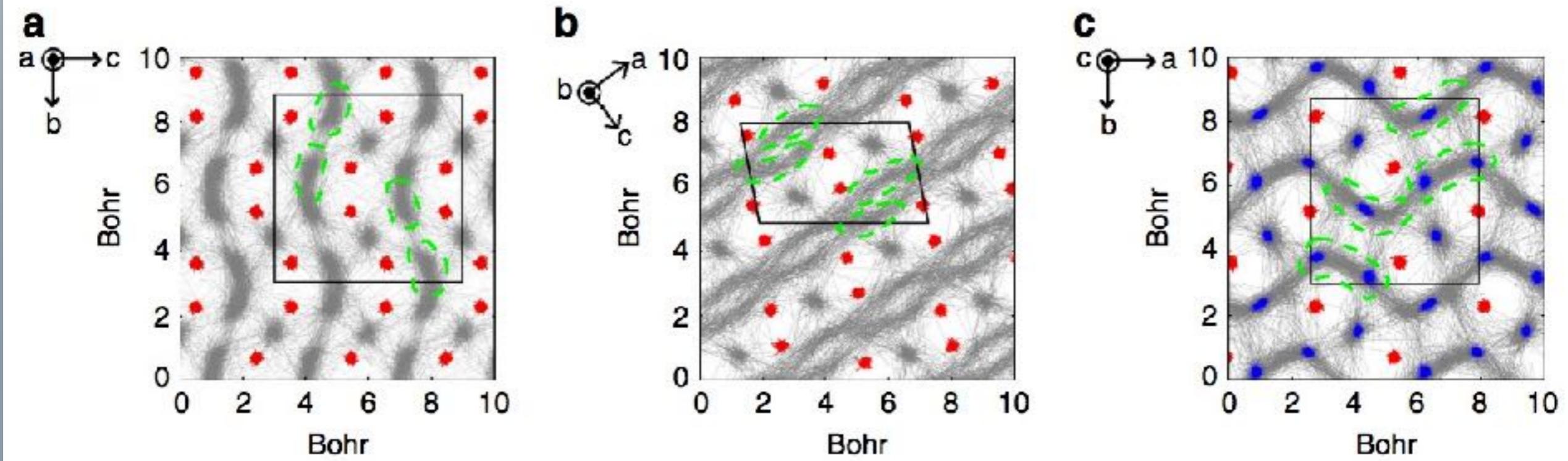


## Dynamical born charges

$$Z_{i,\alpha\beta} = \frac{\partial F_{i,\alpha\beta}}{\partial E_\beta}$$

1.2   -2.4

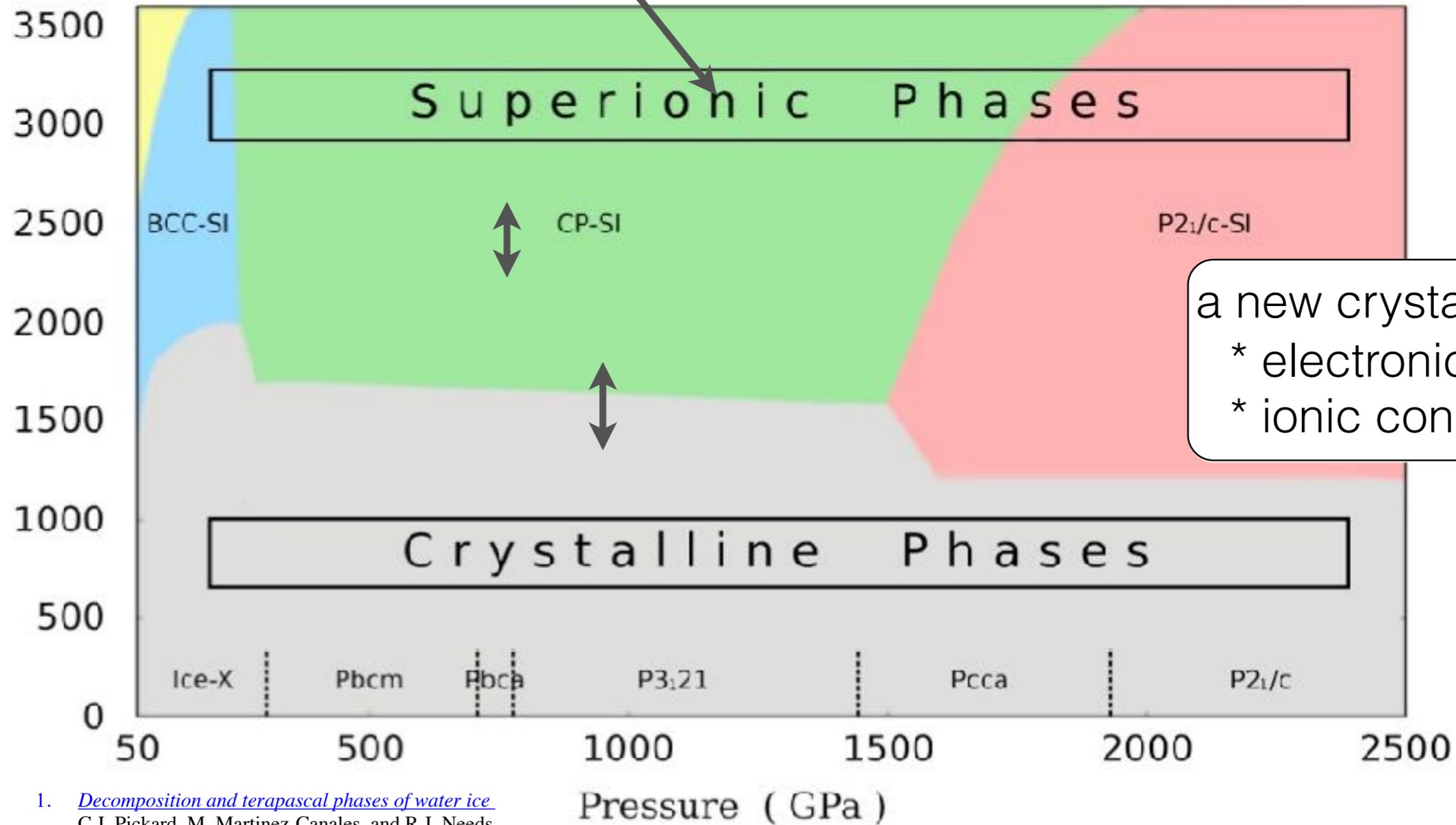
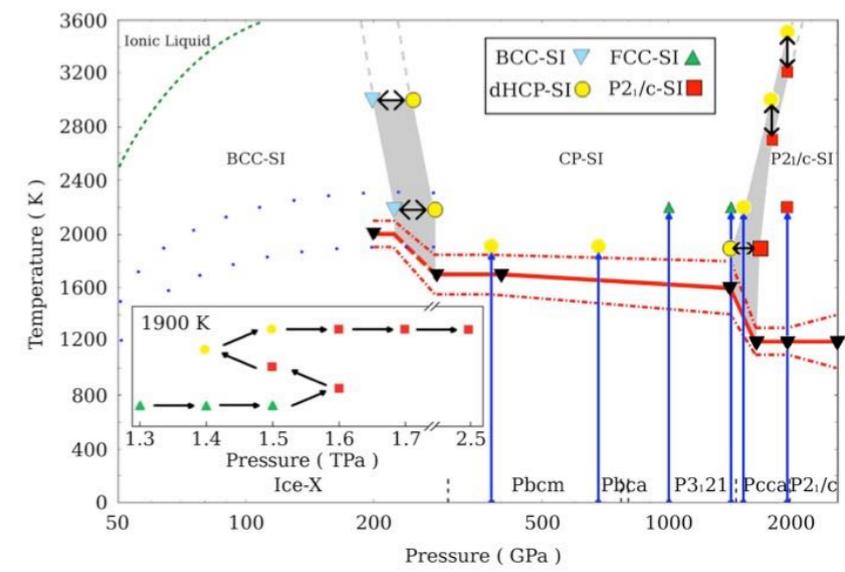
What are the hydrogens doing? **anisotropic diffusion**



# ionic conductivity

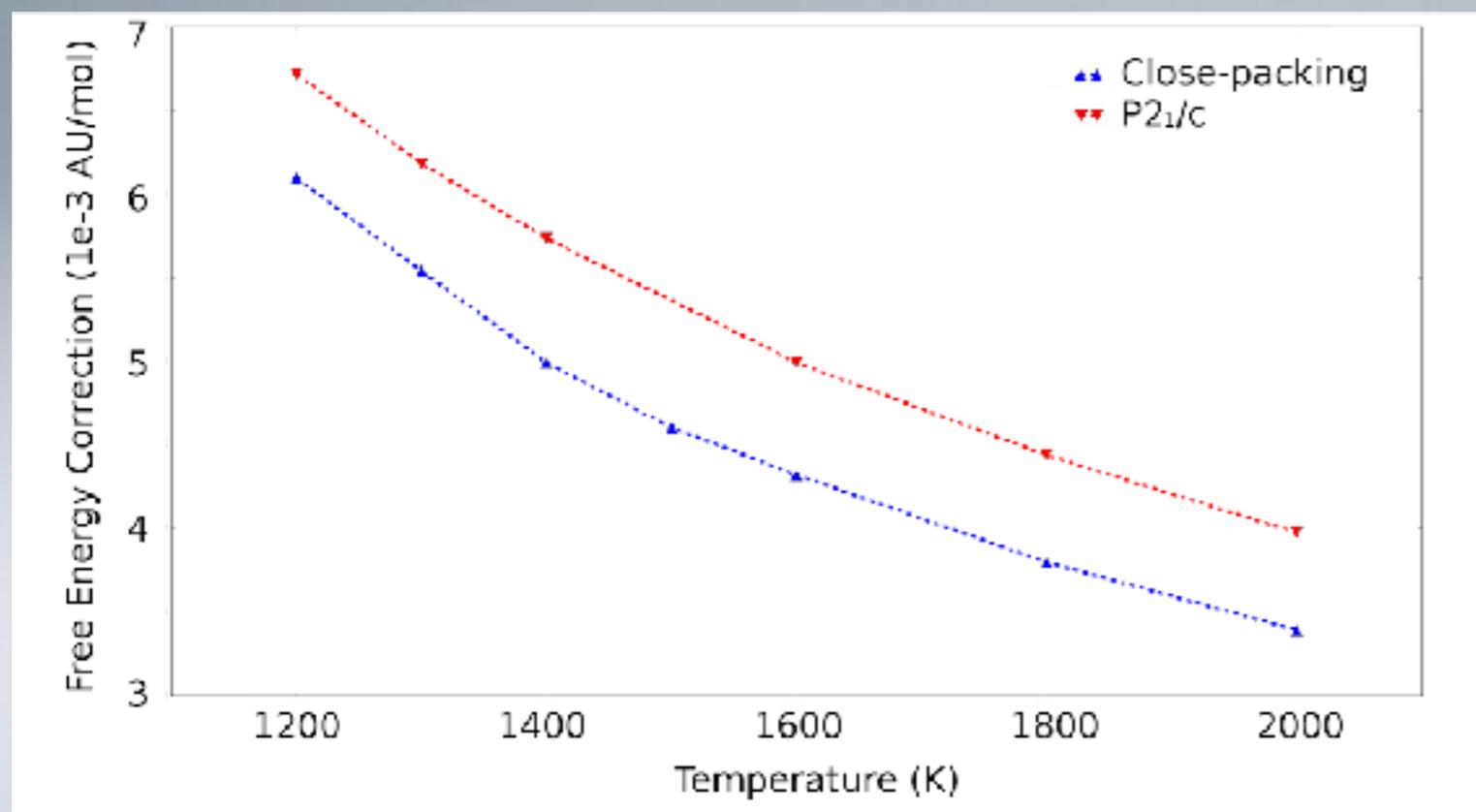
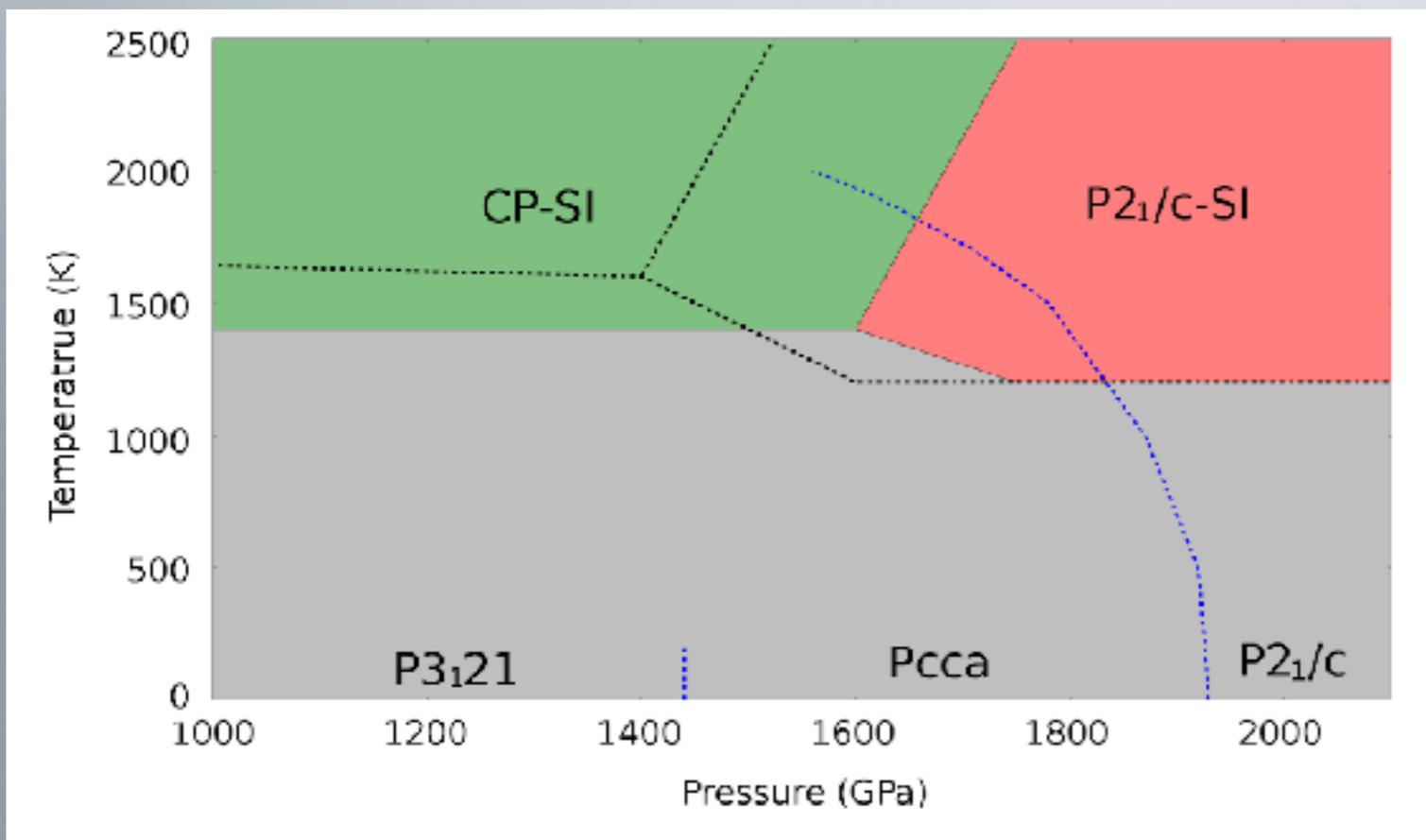
# High pressure, high temperature ice

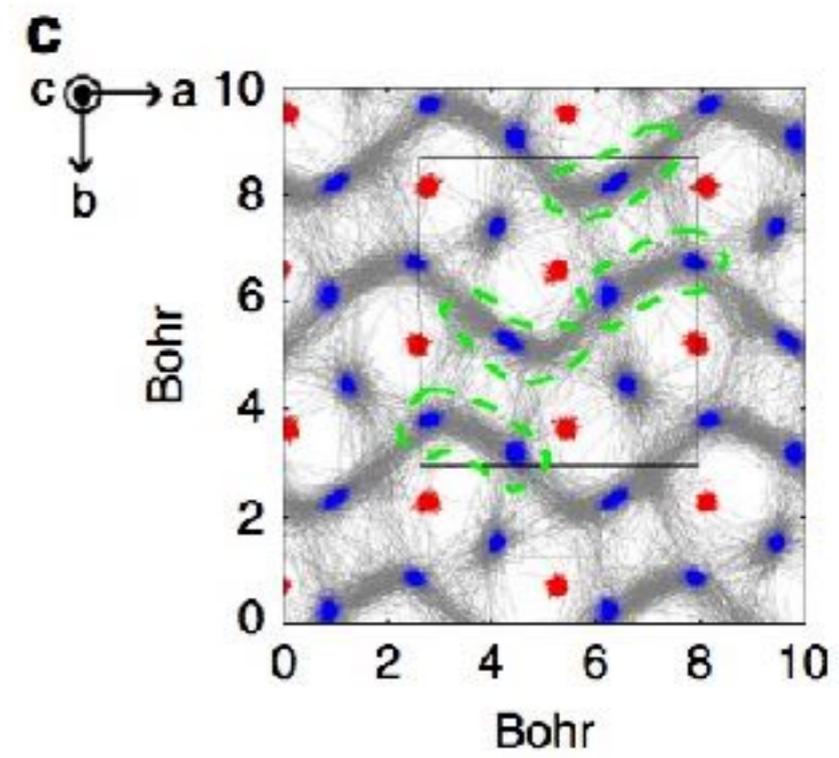
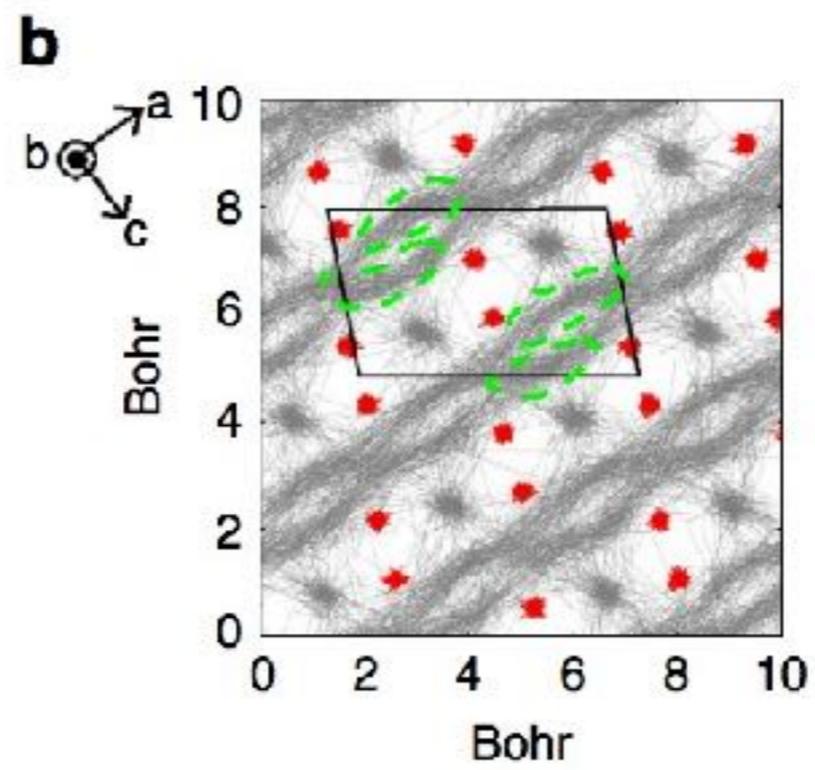
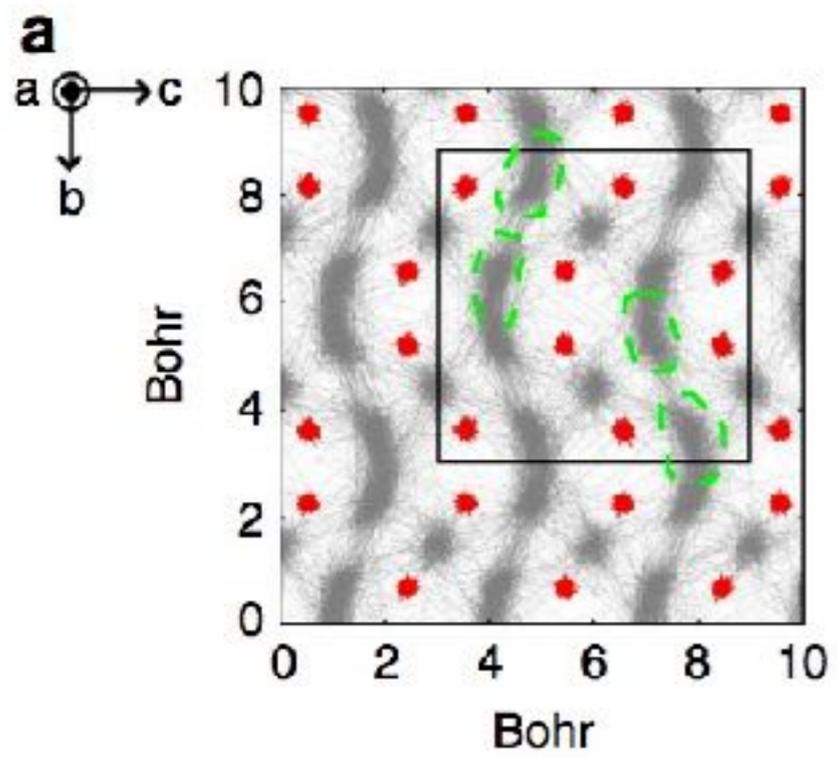
meta-dynamics  
frozen oxygen; melted hydrogen

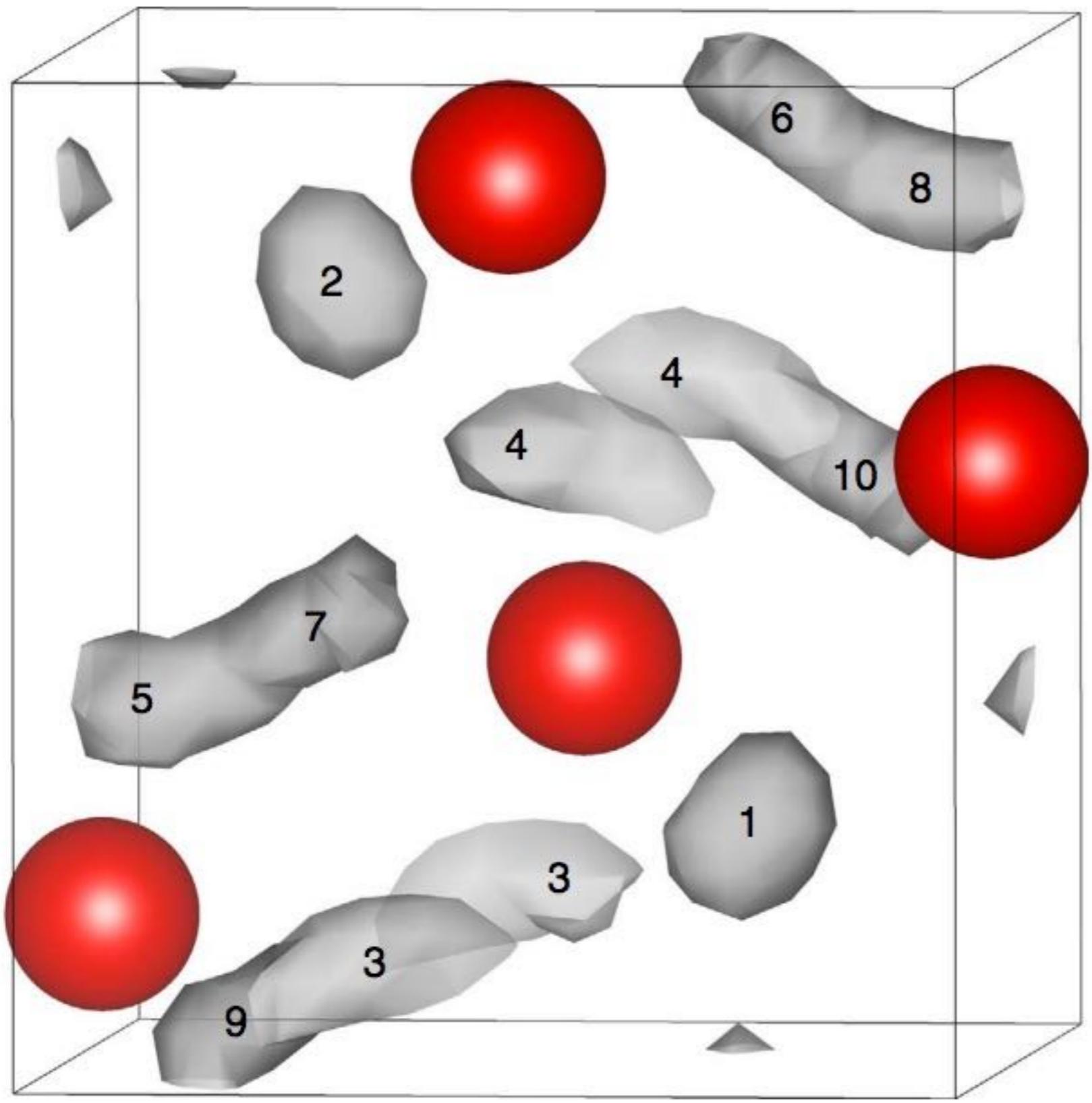


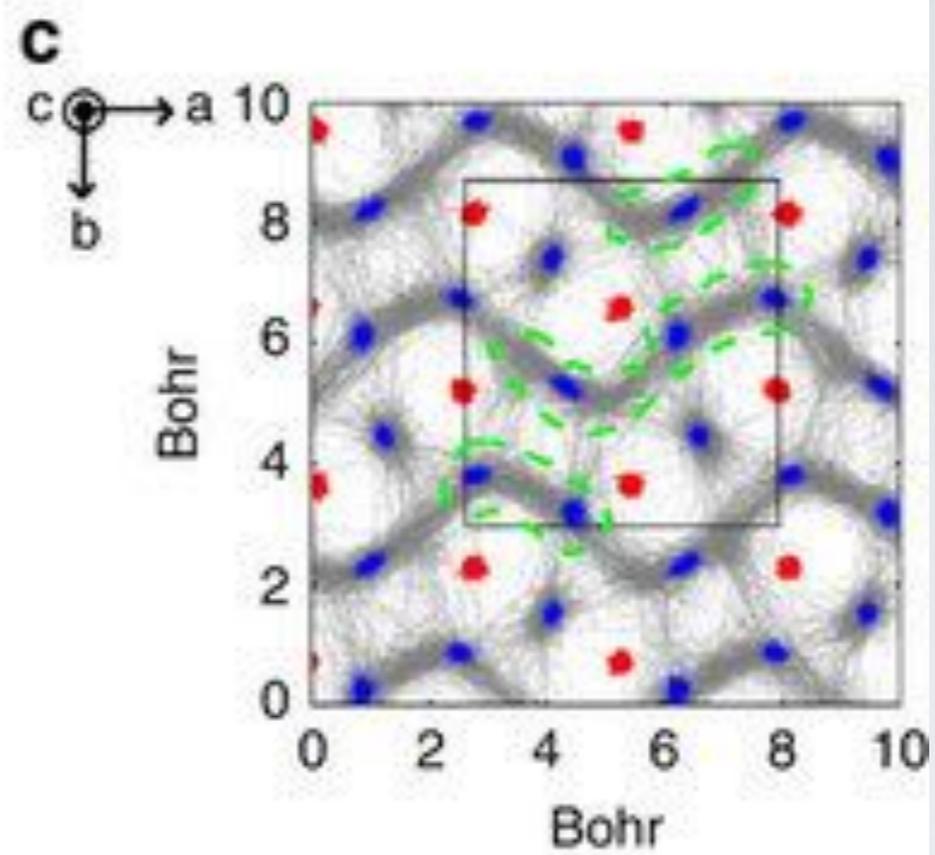
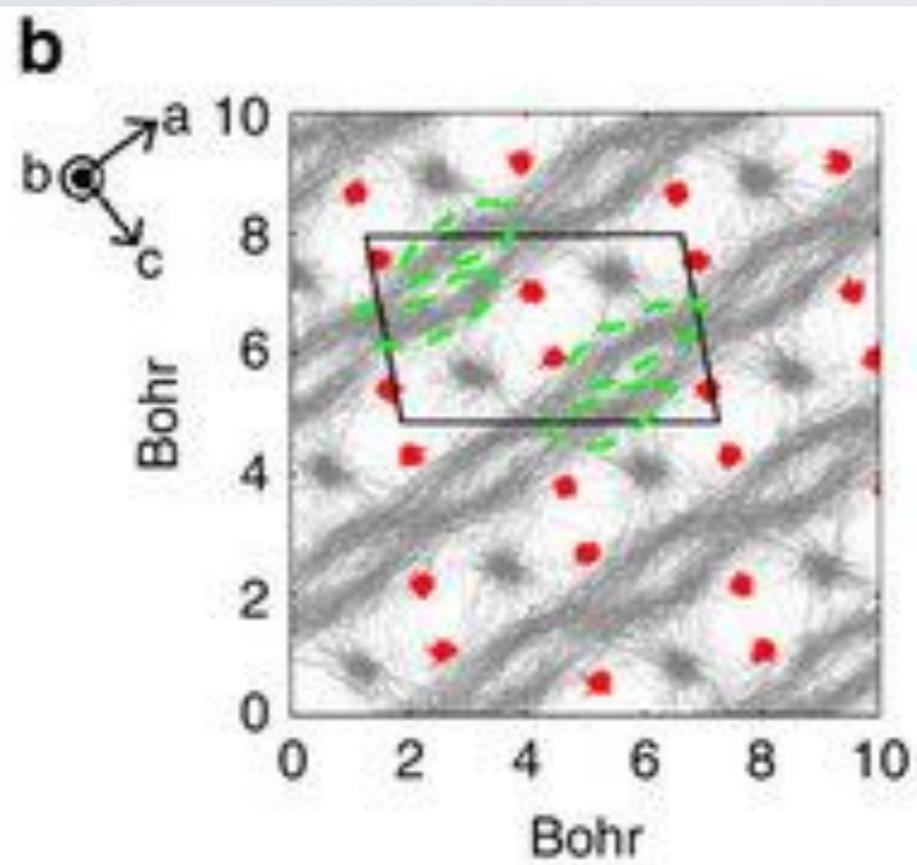
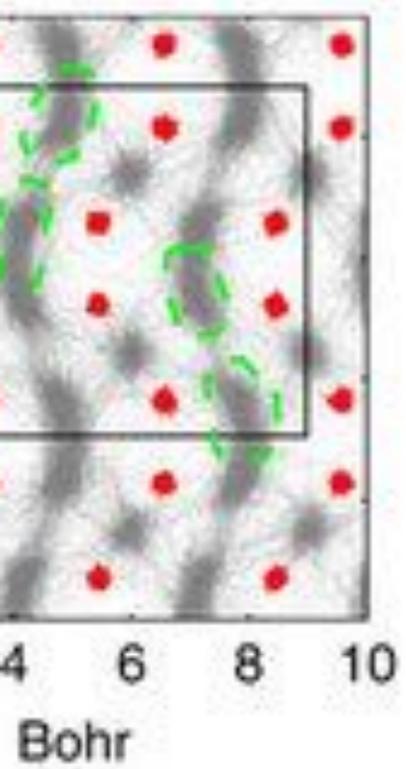
a new crystal phase  
\* electronic insulator  
\* ionic conductor

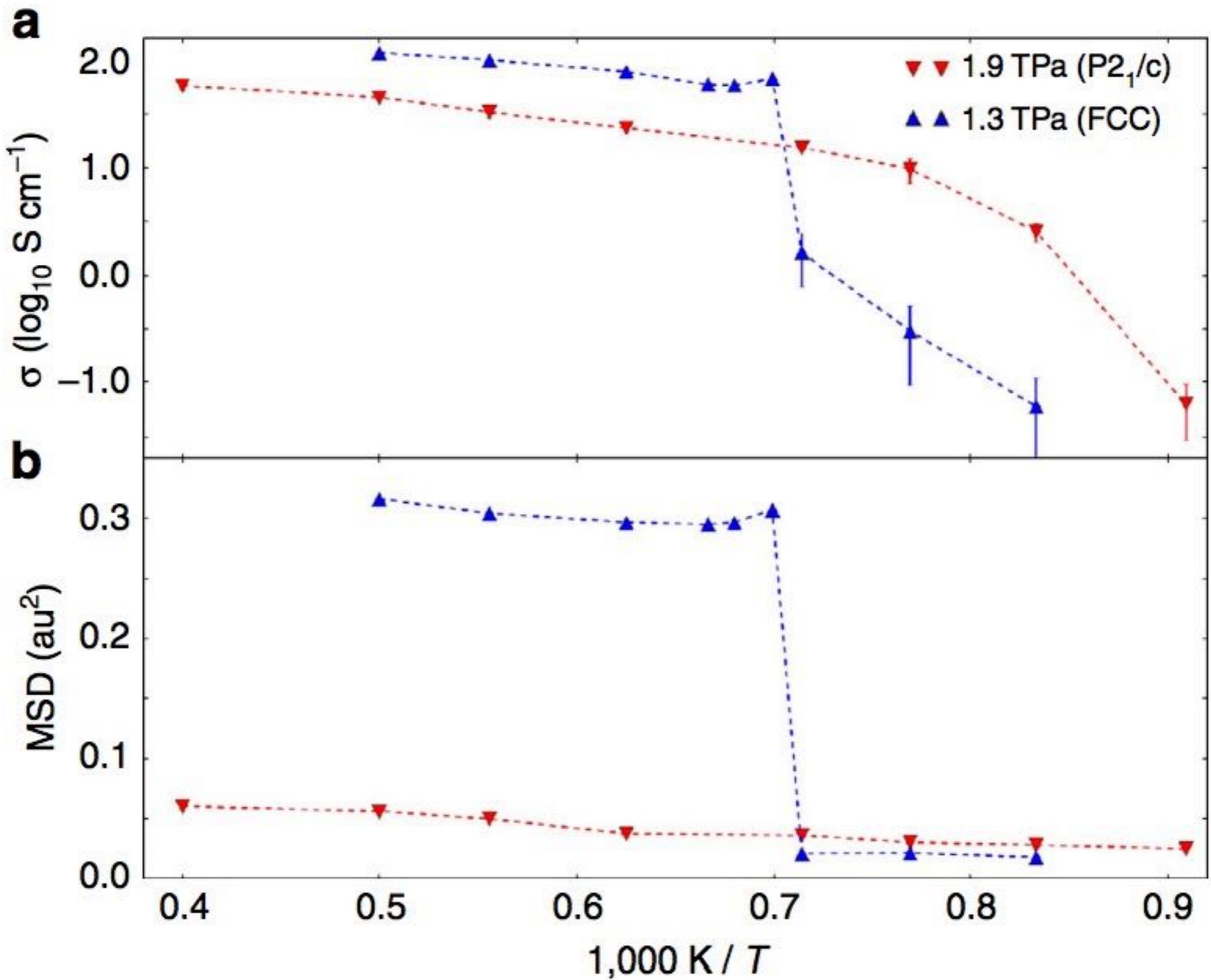
1. [Decomposition and terapascal phases of water ice](#)  
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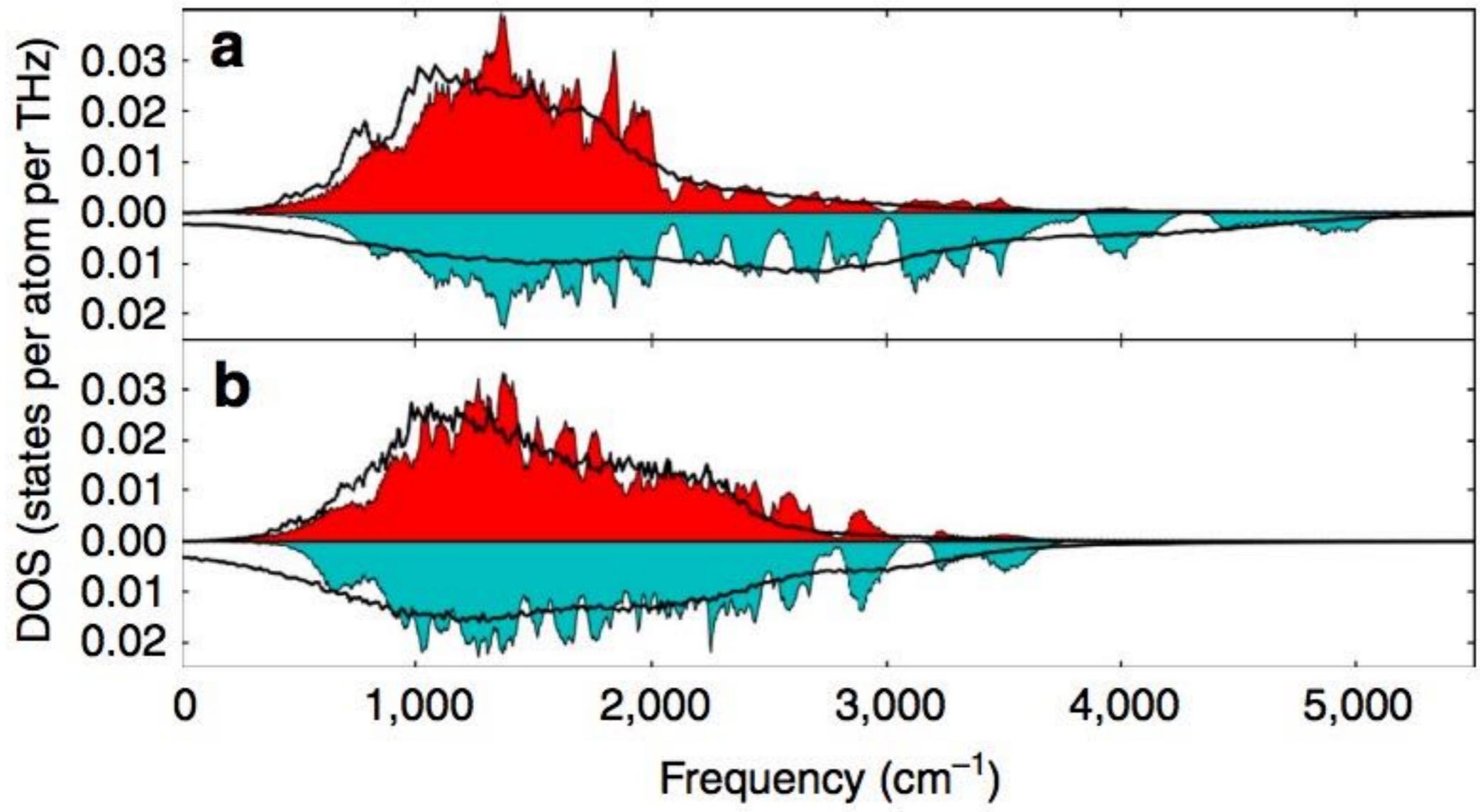


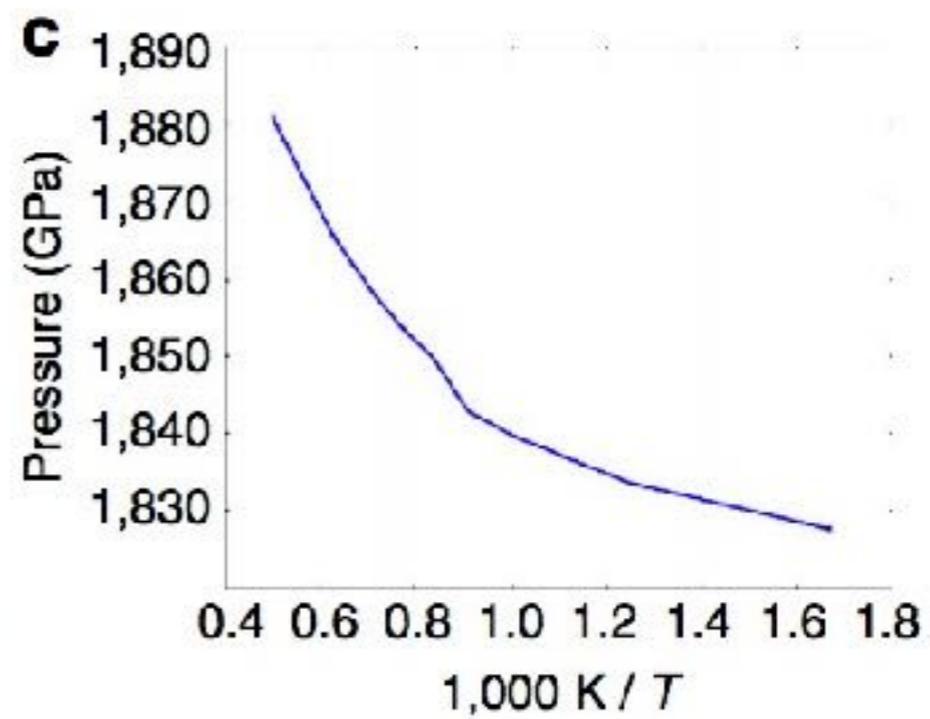
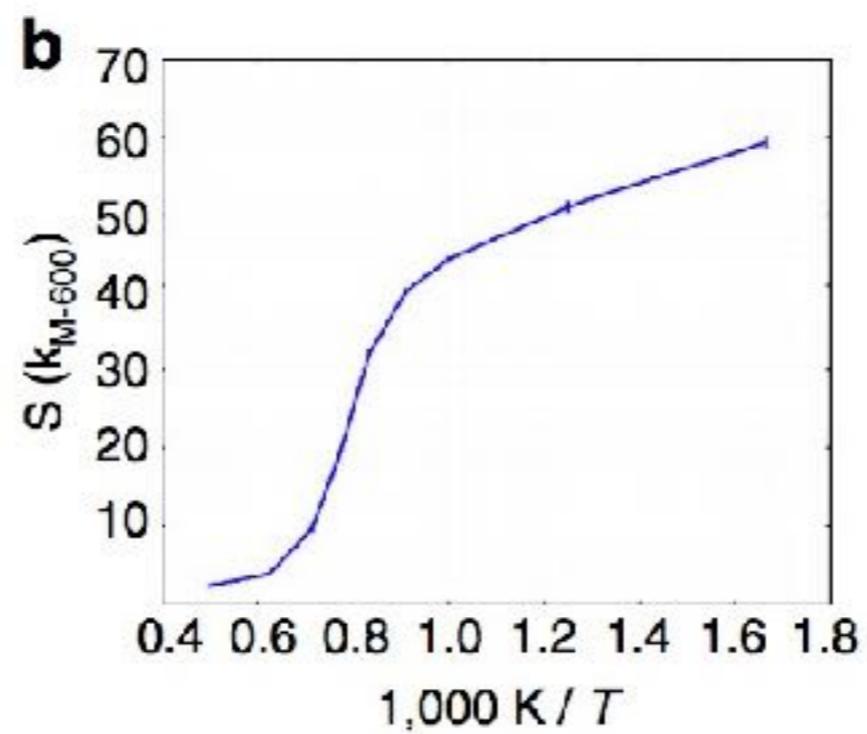
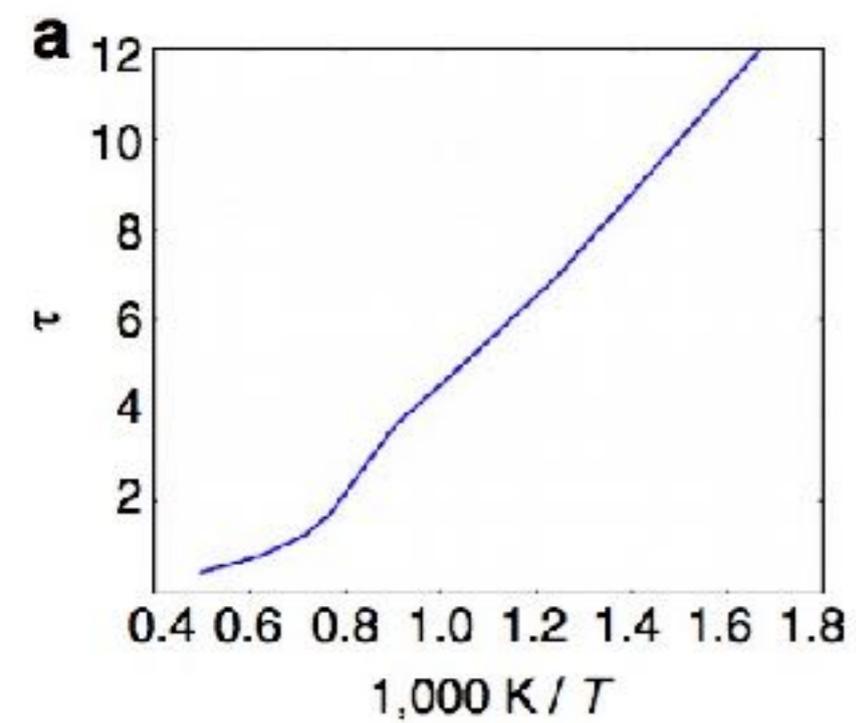


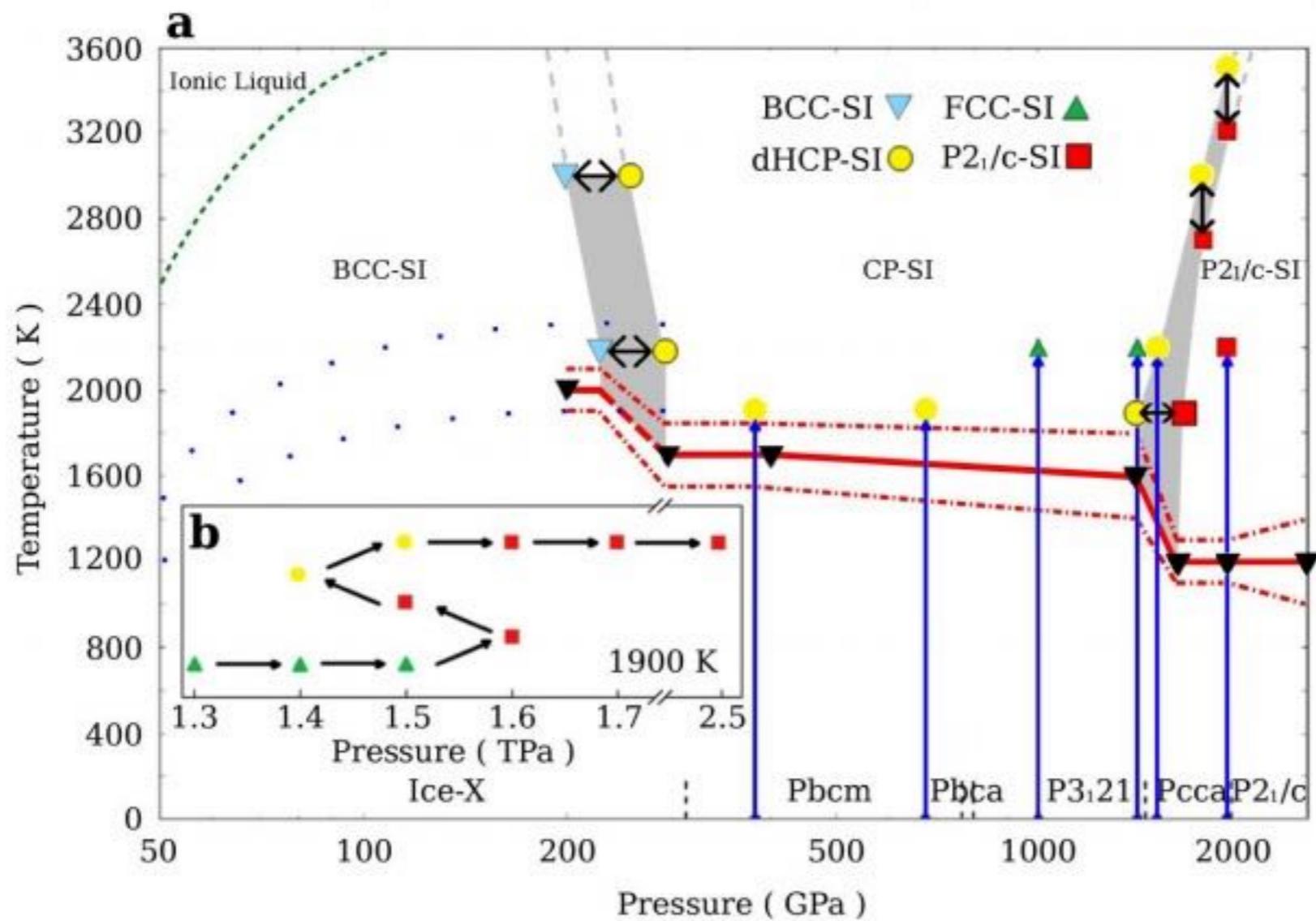
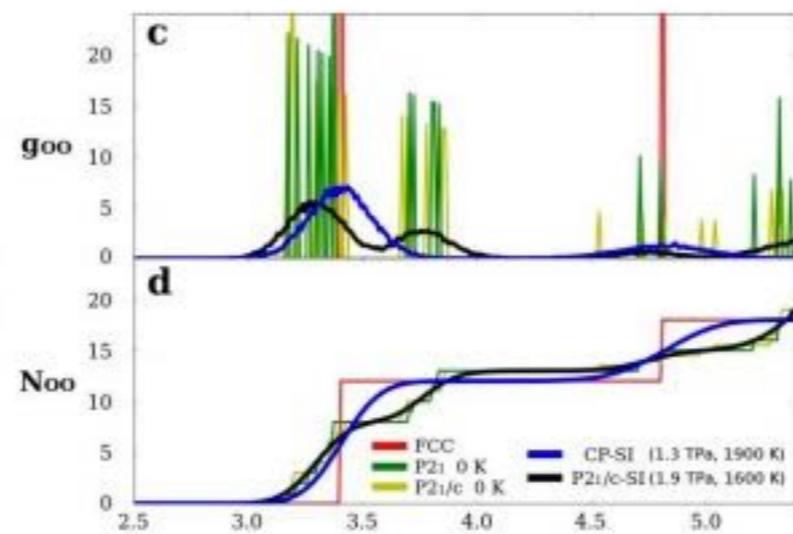
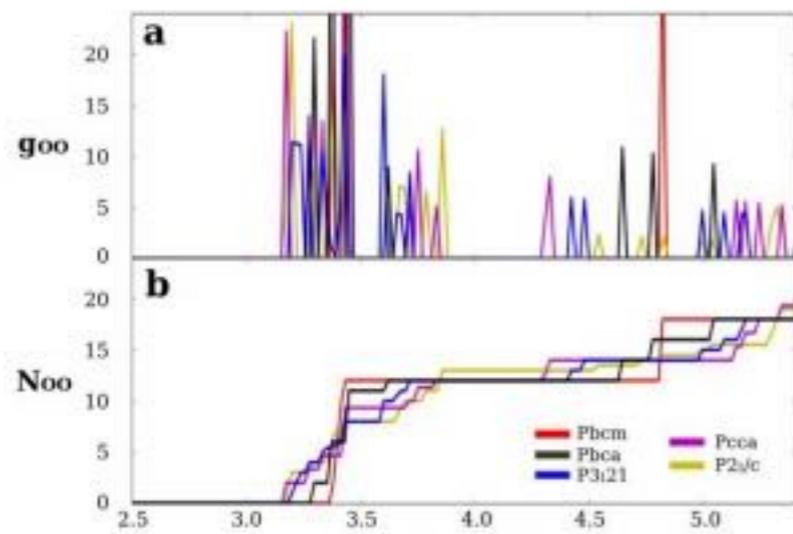


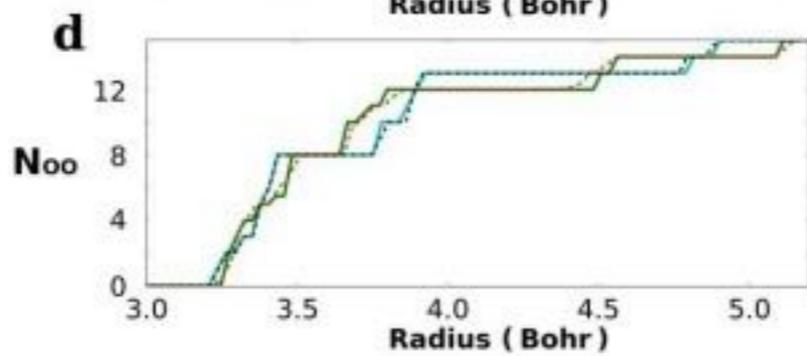
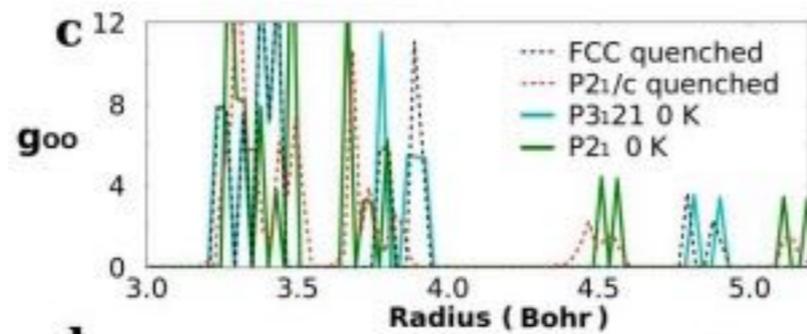
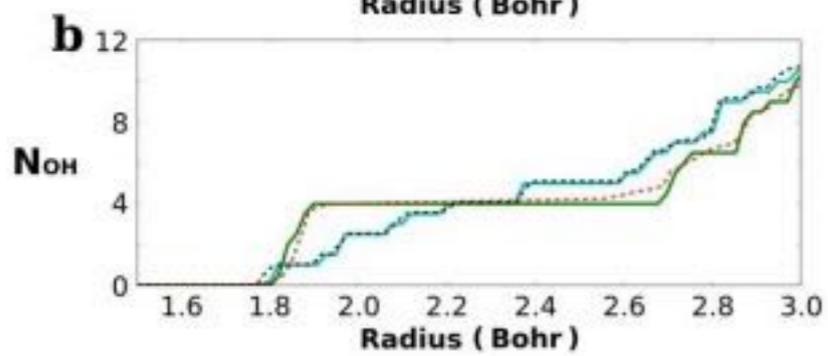
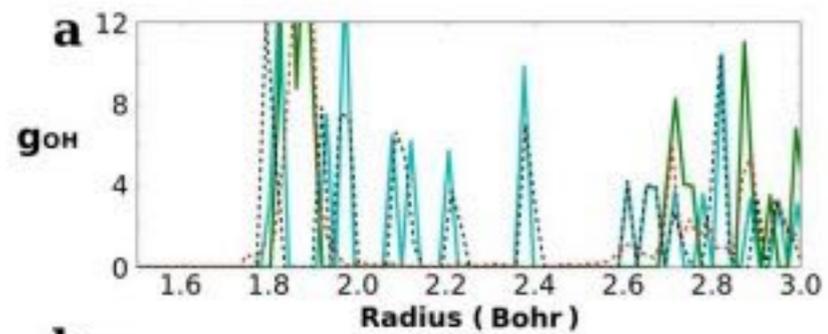






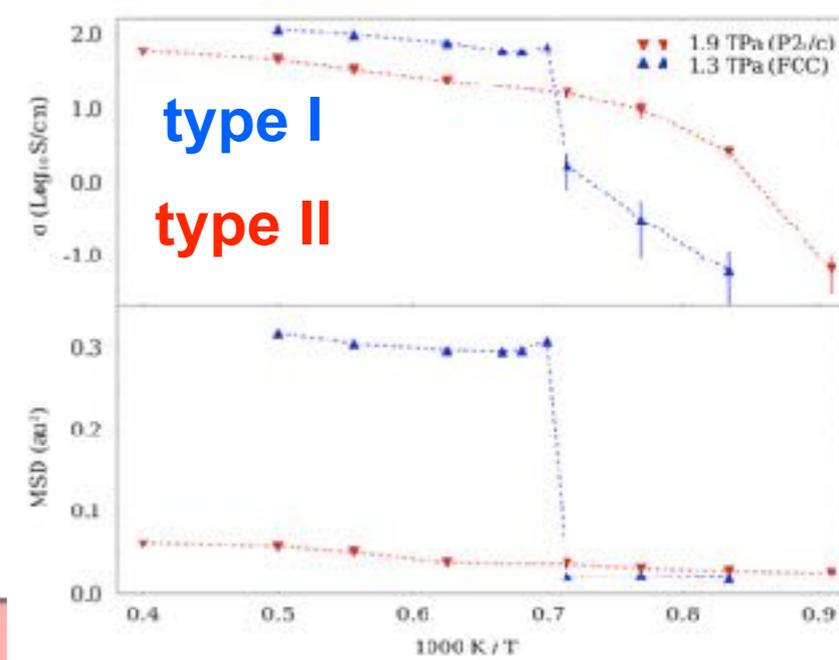
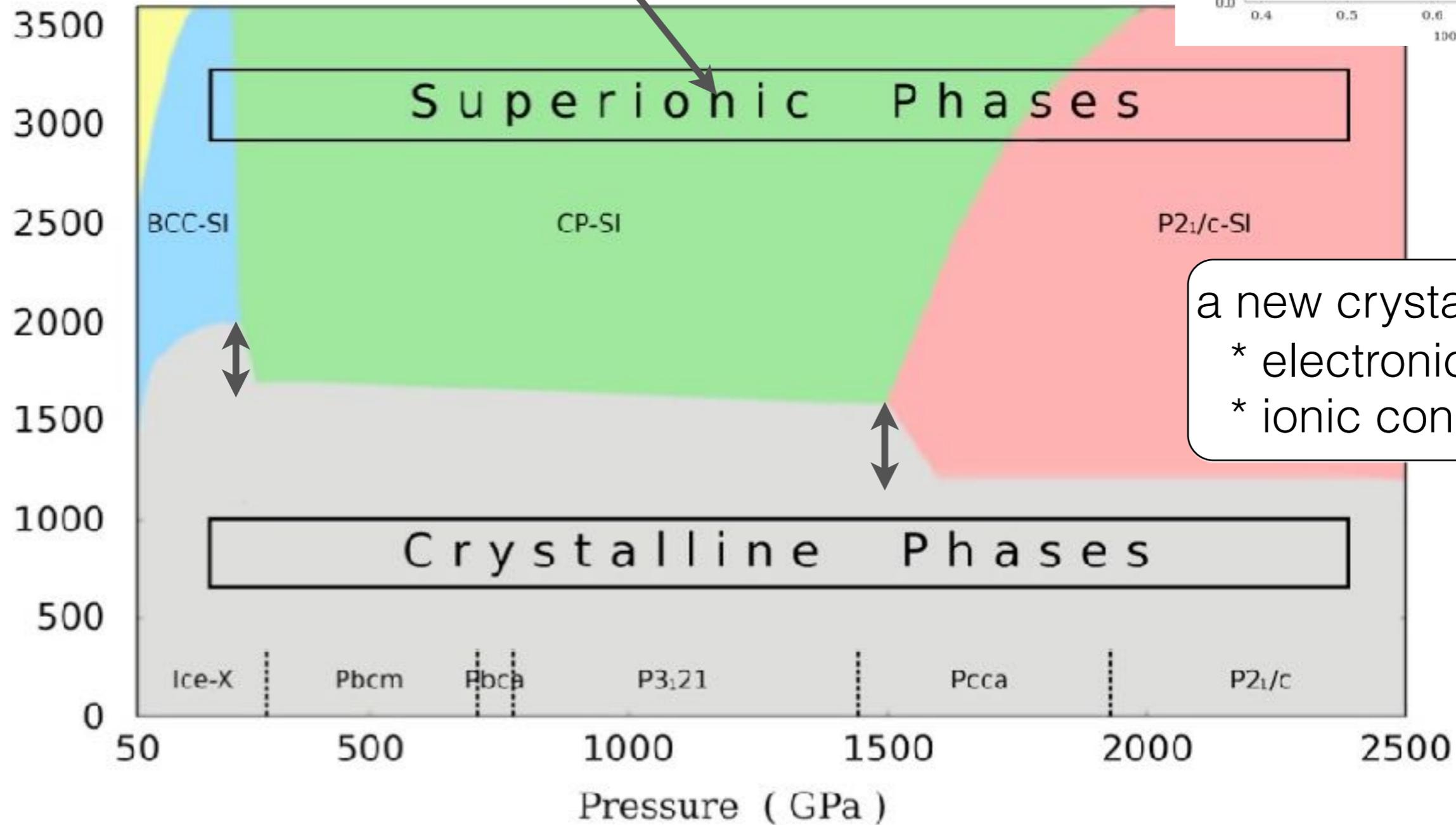






# High pressure, high temperature ice

frozen oxygen; melted hydrogen

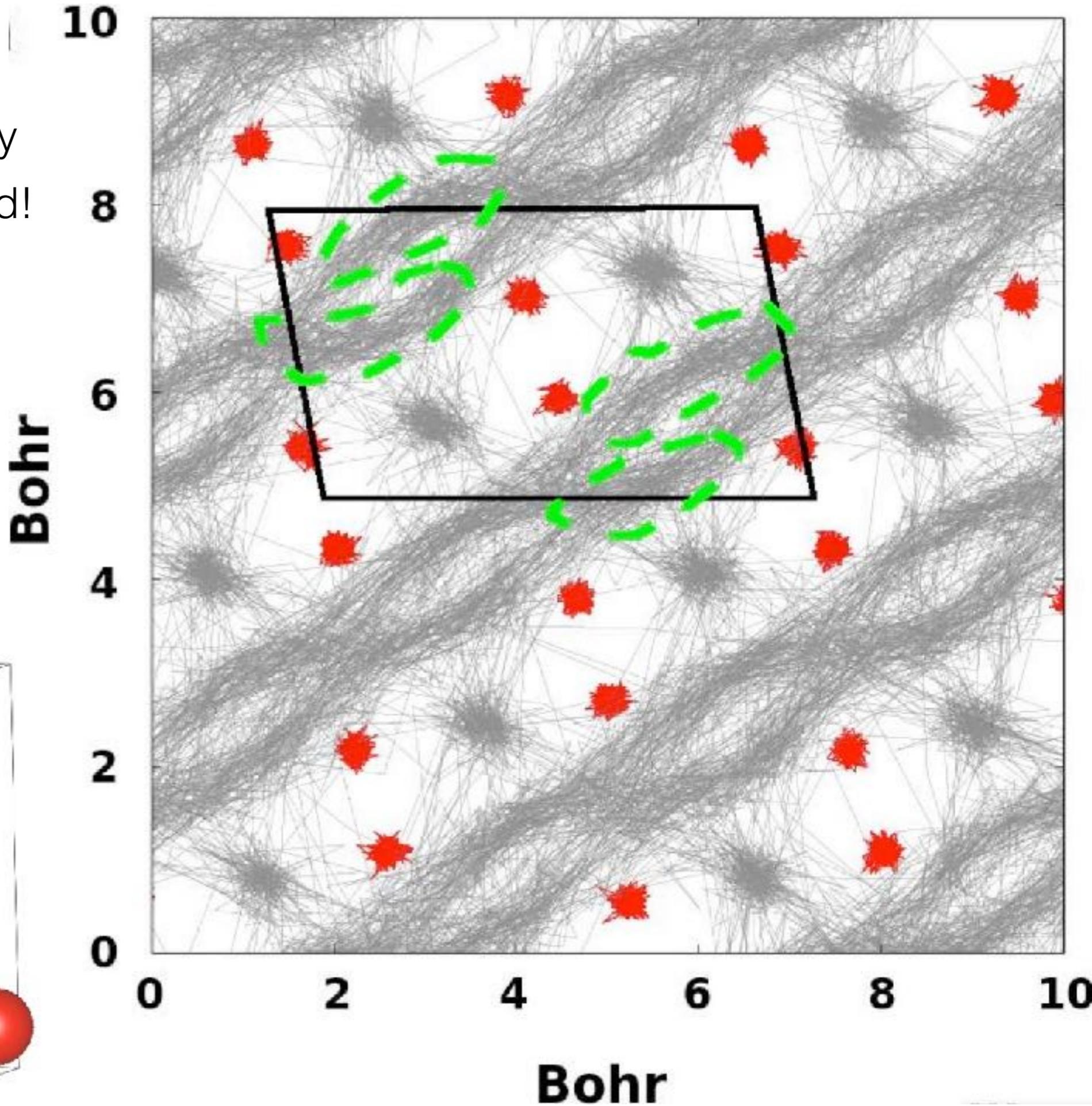
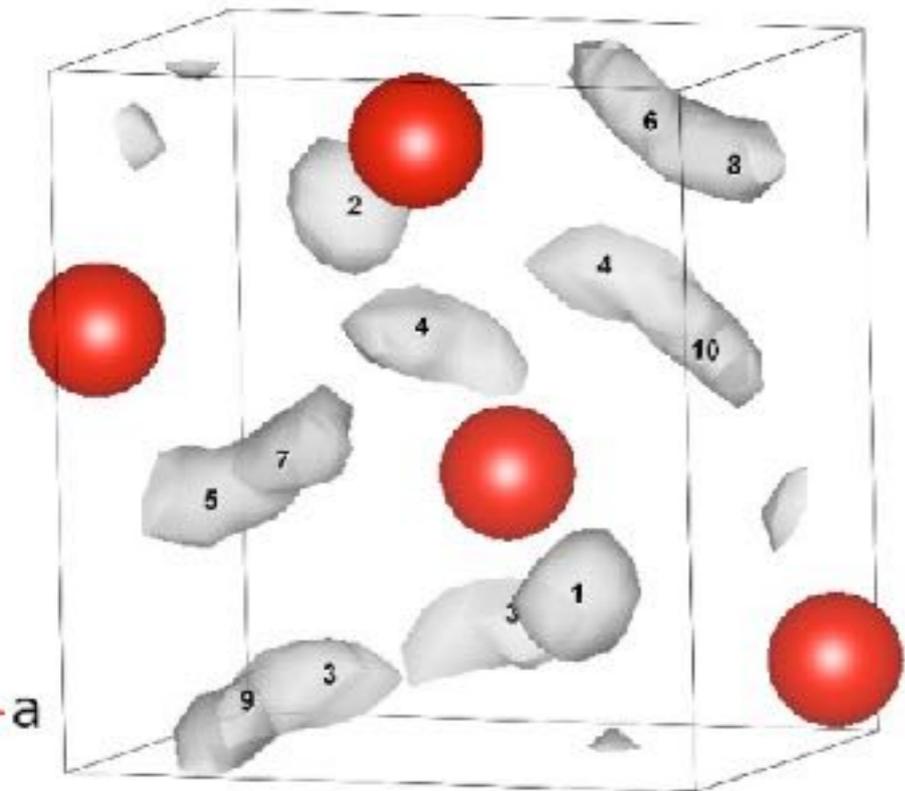


a new crystal phase  
 \* electronic insulator  
 \* ionic conductor

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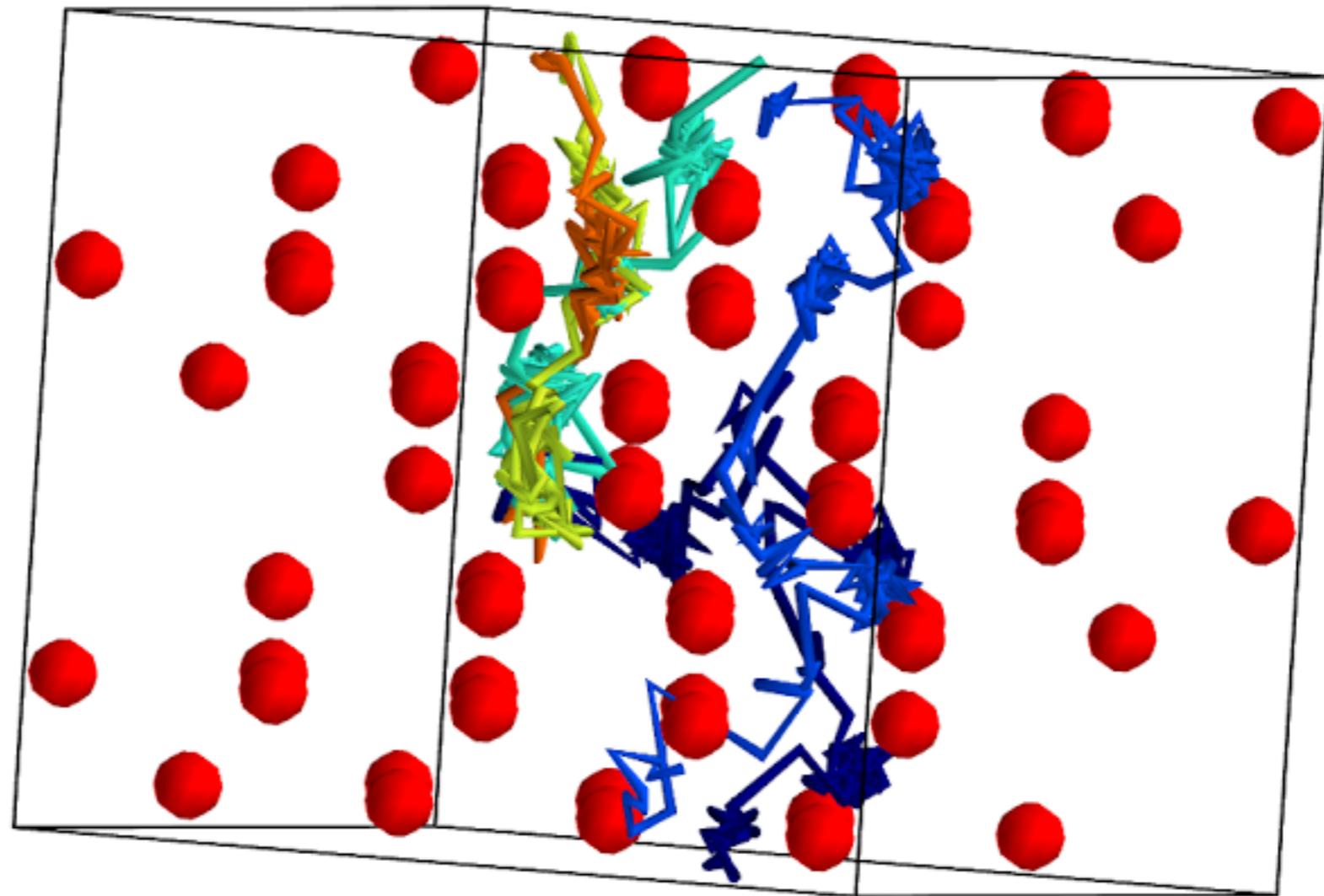
Anisotropic diffusion  
Anisotropic conductivity  
a quasi-2d ionic liquid!

1.9TPa, 1600K:  
8 S/cm, 22 S/cm, 30 S/cm



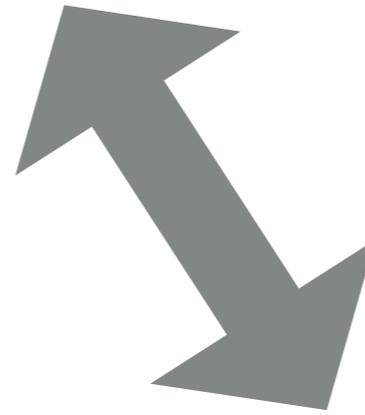
**main story - methods for excited states**

high



## finding excited states

**Real and “impressive” progress  
in finding excited states**



**of a (physically very interesting)  
class of models which is  
somewhat distant from typical  
electronic structure problems**

## Goal: find (all) the excited states

Given a Hamiltonian we would like some excited states...

maybe in arbitrary parts of the spectrum....

maybe many (even all -too greedy?) such states.

Seems to be a hard problem; are there any systems where we have good control over this?

Non-interacting systems....



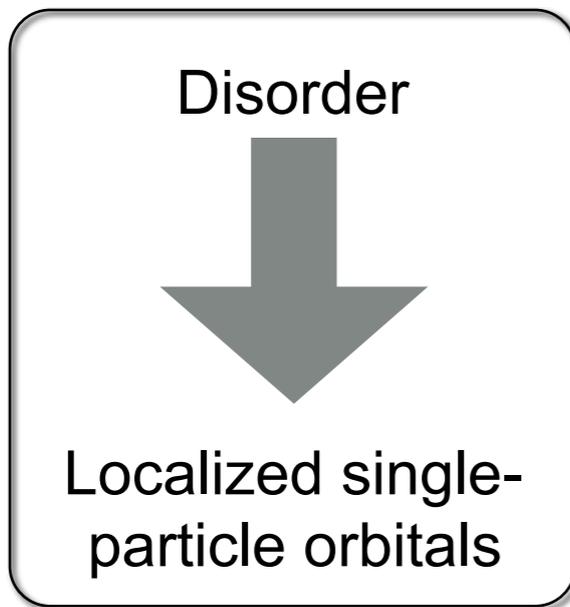
Diagonalize single-body H. Create all many body states from s.p.o.

$$|\Psi_{\text{excited state}}\rangle = c_1^\dagger c_5^\dagger c_7^\dagger |0\rangle$$

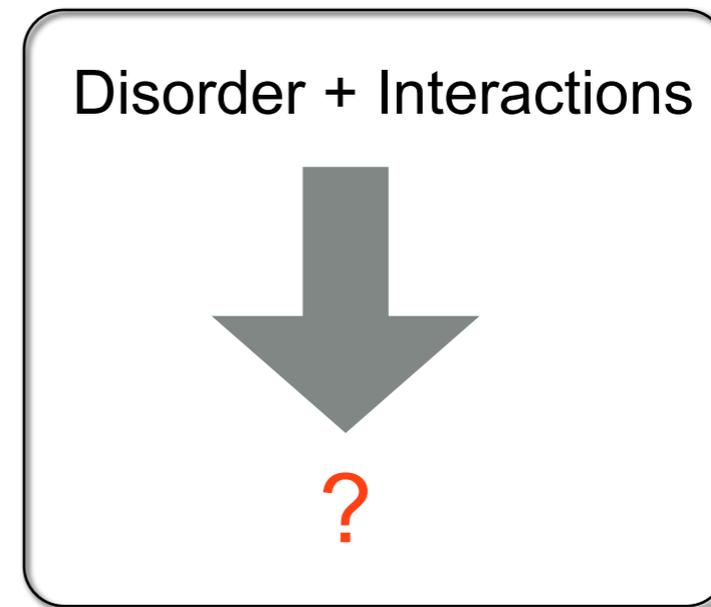
Even simpler: Add some disorder and s.p.o all localized

We want to figure out how to do the same thing with interacting systems.

Anderson Insulator



Many Body Localization



### Many works

- [4] D. M. Basko, I. L. Aleiner and B. L. Altshuler, *Annals of Physics* **321**, 1126 (2006).
- [5] V. Oganesyan and D. A. Huse, *Phys. Rev. B* **75**, 155111 (2007).
- [6] A. Pal and D. A. Huse, *Phys. Rev. B* **82**, 174411 (2010).
- [7] J. A. Kjall, J. H. Bardarson and F. Pollmann (in preparation).
- [8] D. A. Huse, R. Nandkishore, V. Oganesyan, A. Pal and S. L. Sondhi, *Phys. Rev. B* **88**, 014206 (2013).
- [9] B. Bauer and C. Nayak, *J. Stat. Mech.* P09005 (2013).
- [10] D. Pekker, G. Refael, E. Altman, E. Demler and V. Oganesyan, arXiv:1307.3253 .
- [11] R. Vosk and E. Altman, arXiv:1307.3256 .
- [12] Y. Bahri, R. Vosk, E. Altman and A. Vishwanath, arXiv:1307.4192 .
- [13] A. Chandran, V. Khemani, C. R. Laumann and S. L. Sondhi, arXiv:1310.1096 .
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- [15] Z. Ovadyahu, *Phys. Rev. Lett.* **108**, 156602 (2012).
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- [22] J. M. Deutsch, *Phys. Rev. A* **43**, 2146 (1991).
- [23] M. Srednicki, *Phys. Rev. E* **50**, 888 (1994).
- [24] M. Rigol, V. Dunjko and M. Olshanii, *Nature* **452**, 854 (2008).
- [25] R. Nandkishore, S. Gopalakrishnan and D.A. Huse, in preparation; S. Johri, R. Nandkishore and R.N.Bhatt, in preparation

## Many Body Localization as the perfect insulator

Insulators that you know and love: **Arrhenius Equation**

$$\sigma(T) = (\sigma_0/T) \exp[-E_a/kT]$$

MBL Insulators:

$$\sigma(T) = 0$$

As you tune disorder there is a dynamic phase transition (not equilibrium!)

This is a quantum mechanical effect that is happening at infinite temperature!

At  $T=0$ , you identify phase transitions by their effect on the ground state.

At finite  $T$ , you identify phase transitions by their effect on the finite temperature density matrix.

For dynamic transitions, you identify phase transitions by their effect on the excited states..

We need the excited states to learn about MBL!

# Phenomenology of MBL

No conductivity even at infinite temperature

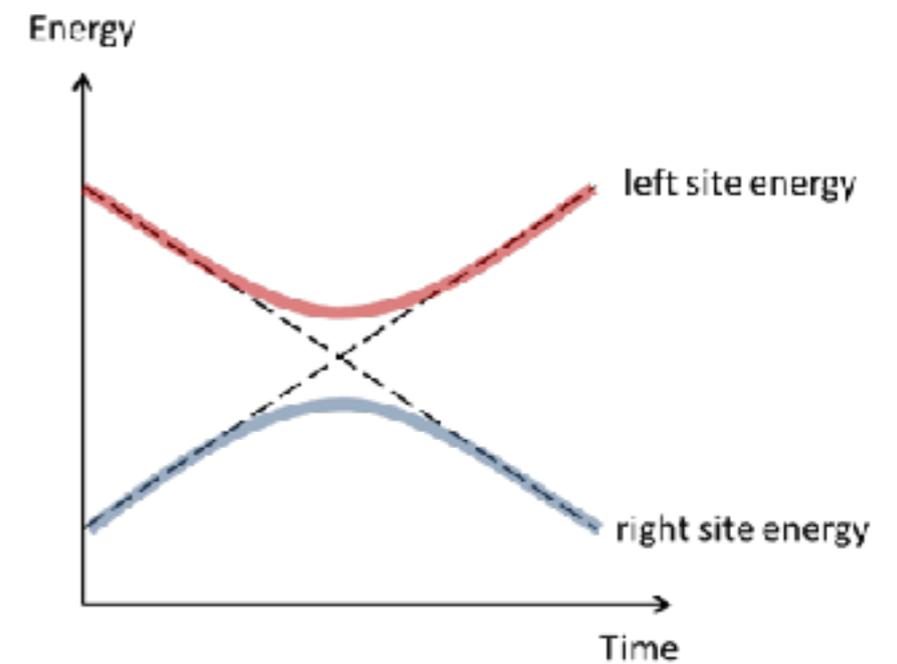
Failure of statistical mechanics - system doesn't act as own heat bath

No level repulsion of eigenstates

Eigenstates obey an area law

Quasi-localized in Hilbert Space

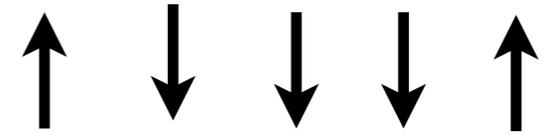
Conserved integrals of motion



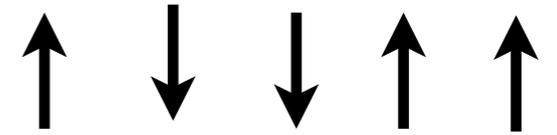
$$H = \sum_{i=1}^L [h_i S_i^z]$$

$$h_i \in [-W, W]$$

Ground State:



Excited State:



Highly Excited State:



Is there a simple structure for the eigenstates in a many-body localized phase?

$$H = \sum_{i=1}^L [h_i S_i^z + J \sum \hat{S}_i \cdot \hat{S}_{i+1}]$$
$$h_i \in [-W, W]$$

$$|\Psi_{\text{excited state}}\rangle = c_1^\dagger c_5^\dagger c_7^\dagger |0\rangle$$

Ground State:

$$A^{1,\sigma_1} A^{2,\sigma_2} A^{3,\sigma_3} A^{4,\sigma_4} A^{5,\sigma_5}$$

Excited State:

$$A^{1,\sigma_1} B^{2,\sigma_2} A^{3,\sigma_3} A^{4,\sigma_4} A^{5,\sigma_5}$$

Highly Excited State:

$$B^{1,\sigma_1} B^{2,\sigma_2} A^{3,\sigma_3} B^{4,\sigma_4} A^{5,\sigma_5}$$

$$UHU^\dagger = U_D$$

# The intuition....

Represent  $U$  as a quantum circuit (tensor network)

Argue that this quantum circuit is compact.

Why compact?

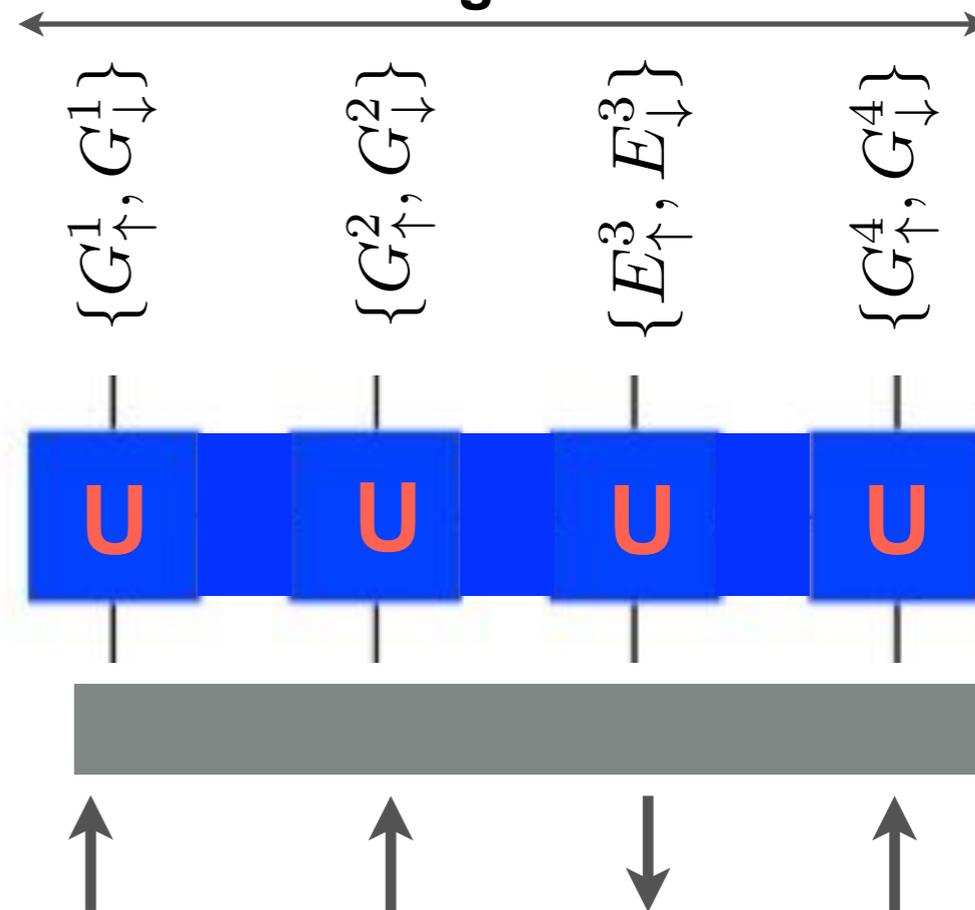
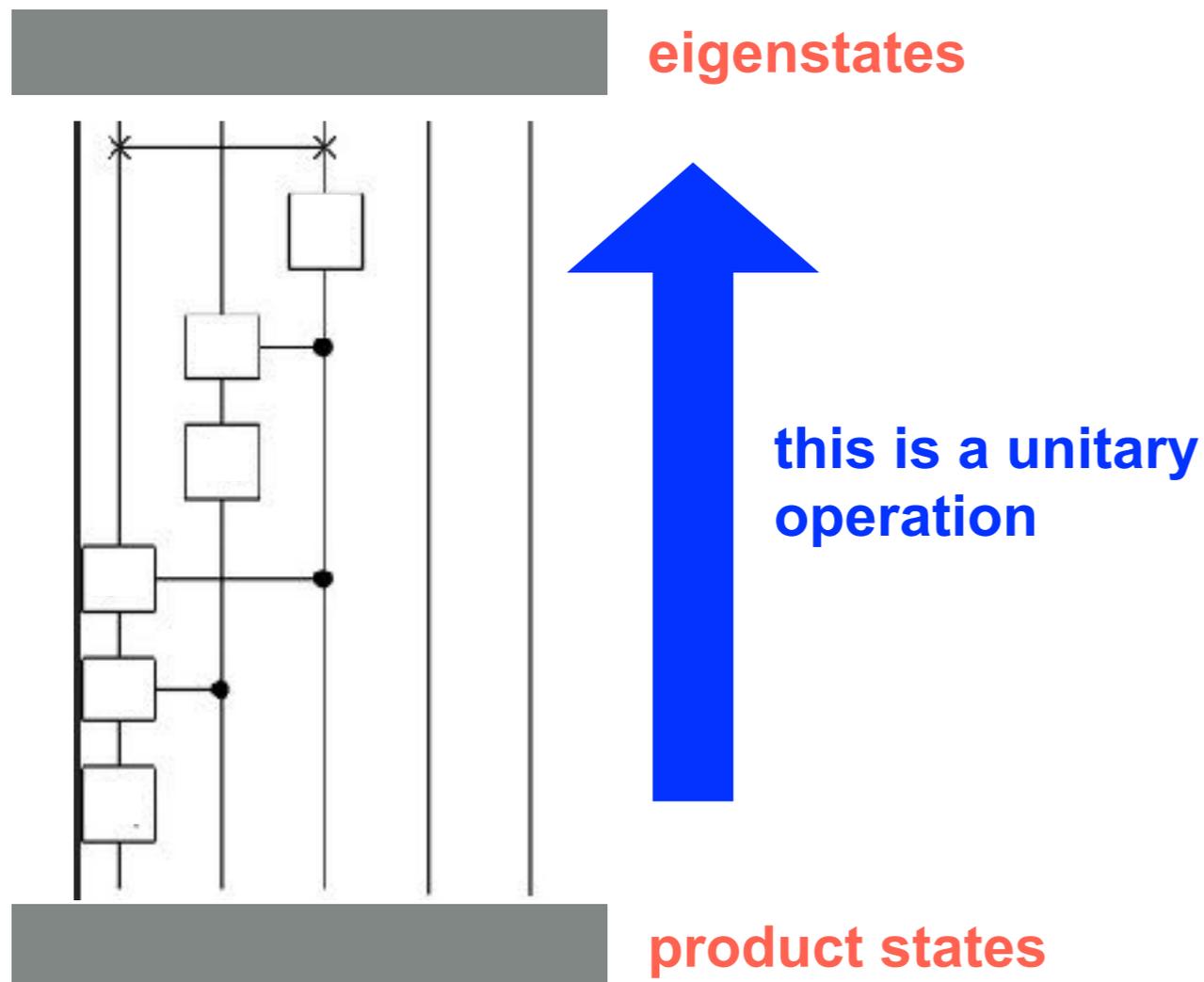
excitations are made up of dressed interacting quasi-particles.

Identify the structure of eigenstates the circuit produces

Show that we can efficiently build the circuit that makes up  $U$  (build them up layer by layer).

**4n matrices encode the whole spectrum**

**this is amazing!**



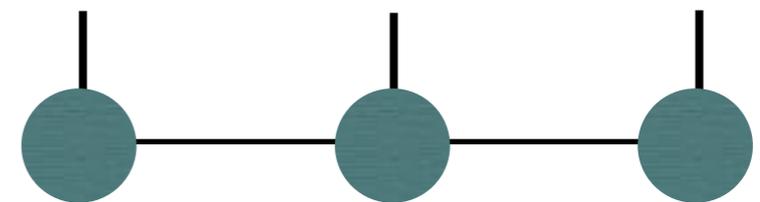
A *wave function* is an object that eats a configuration of spins and generates a number:  $\Psi(\uparrow, \downarrow, \uparrow) = 0.3$

A *product state* eats a configuration of spins and generates a number by taking the product of complex numbers.

$$\Psi(\uparrow, \downarrow, \uparrow) = c^{1,\uparrow} c^{2,\downarrow} c^{3,\uparrow}$$

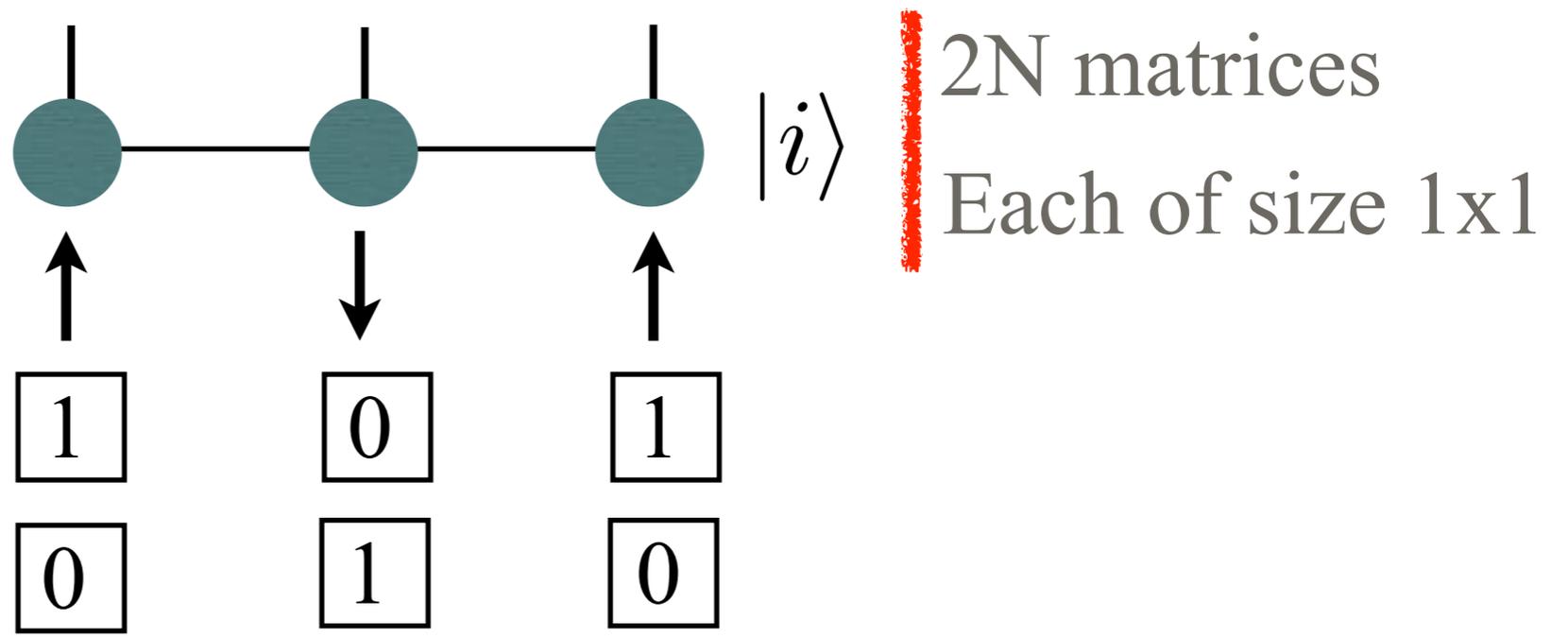
A *matrix product state (MPS)* eats a configuration of spins and generates a number by taking the product of matrices/vectors.

$$\Psi(\uparrow, \downarrow, \uparrow) = M_i^{1,\uparrow} M_{ij}^{2,\downarrow} M_j^{3,\uparrow}$$



2N matrices

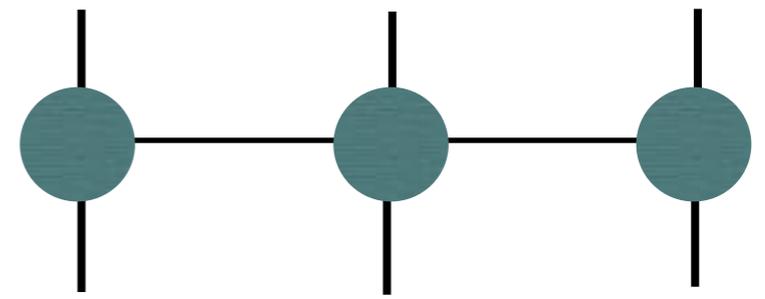
Each of size DxD



A product state is a very simple MPS

A *operator* is an object that eats two configuration of spins and generates a number:  $\Psi(\uparrow\downarrow, \downarrow\uparrow, \uparrow\uparrow) = 0.9$

A *matrix product operator (MPO)* eats two configuration of spins and generates a number by taking the product of matrices/vectors.  $\Psi(\uparrow\downarrow, \downarrow\uparrow, \uparrow\uparrow) = O_i^{1,\uparrow\downarrow} O_{ij}^{2,\downarrow\uparrow} O_j^{3,\uparrow\uparrow}$



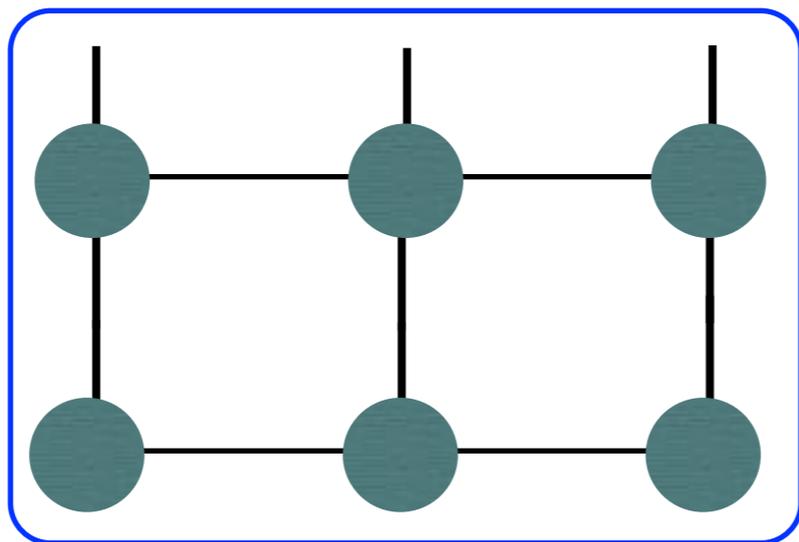
4N matrices

Each of size DxD

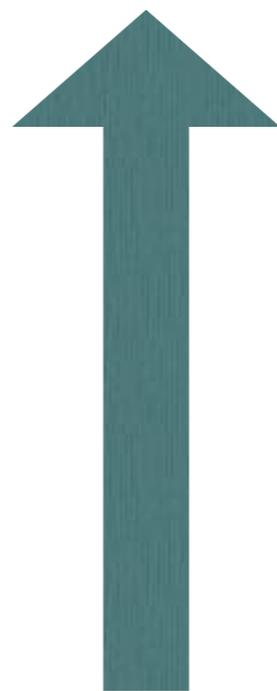
$$\sum_{\sigma_1} (O_1^{\sigma_1 \sigma'_1} \otimes M_1^{\sigma_1}) \sum_{\sigma_2} (O_2^{\sigma_2 \sigma'_2} \otimes M_2^{\sigma_2}) \sum_{\sigma_3} (O_3^{\sigma_3 \sigma'_3} \otimes M_3^{\sigma_3})$$

$$O_1^{\sigma_1 \sigma'_1} O_2^{\sigma_2 \sigma'_2} O_3^{\sigma_3 \sigma'_3}$$

$$M_1^{\sigma_1} M_2^{\sigma_2} M_3^{\sigma_3}$$

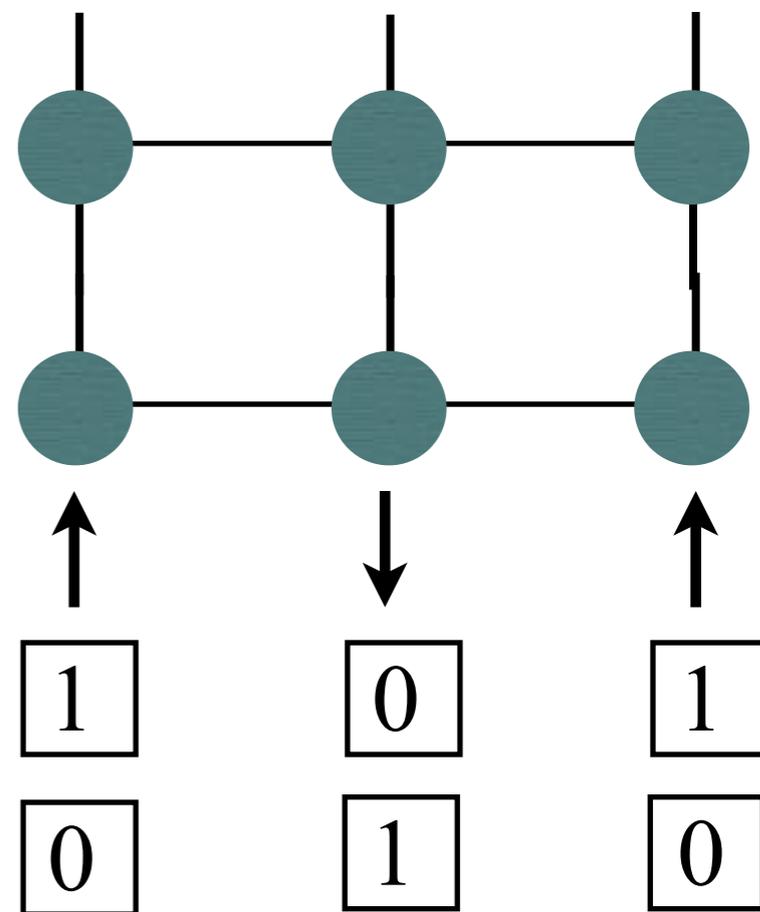


An operator applied to a product state picks out two of the four matrices (per site) from the operator.



$$O^{\uparrow \sigma'_1} O^{\downarrow \sigma'_2} O^{\uparrow \sigma'_3}$$

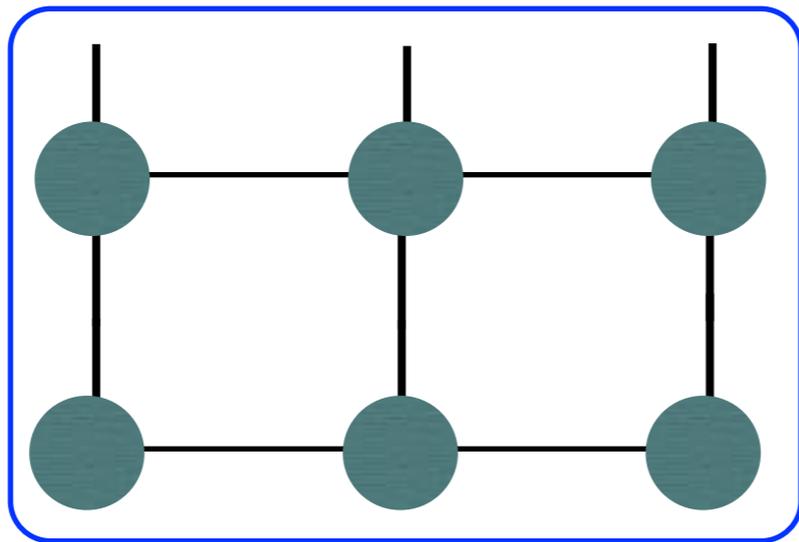
$|i\rangle$



$$\sum_{\sigma_1} (O_1^{\sigma_1 \sigma'_1} \otimes M_1^{\sigma_1}) \sum_{\sigma_2} (O_2^{\sigma_2 \sigma'_2} \otimes M_2^{\sigma_2}) \sum_{\sigma_3} (O_3^{\sigma_3 \sigma'_3} \otimes M_3^{\sigma_3})$$

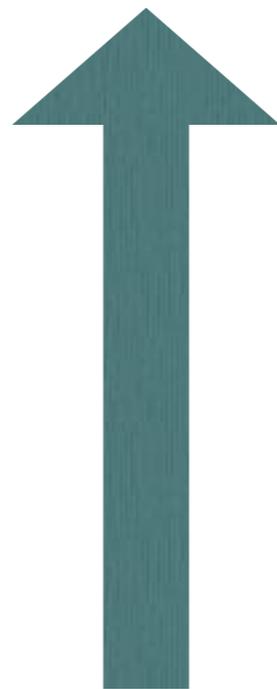
$$O_1^{\sigma_1 \sigma'_1} O_2^{\sigma_2 \sigma'_2} O_3^{\sigma_3 \sigma'_3}$$

$$M_1^{\sigma_1} M_2^{\sigma_2} M_3^{\sigma_3}$$

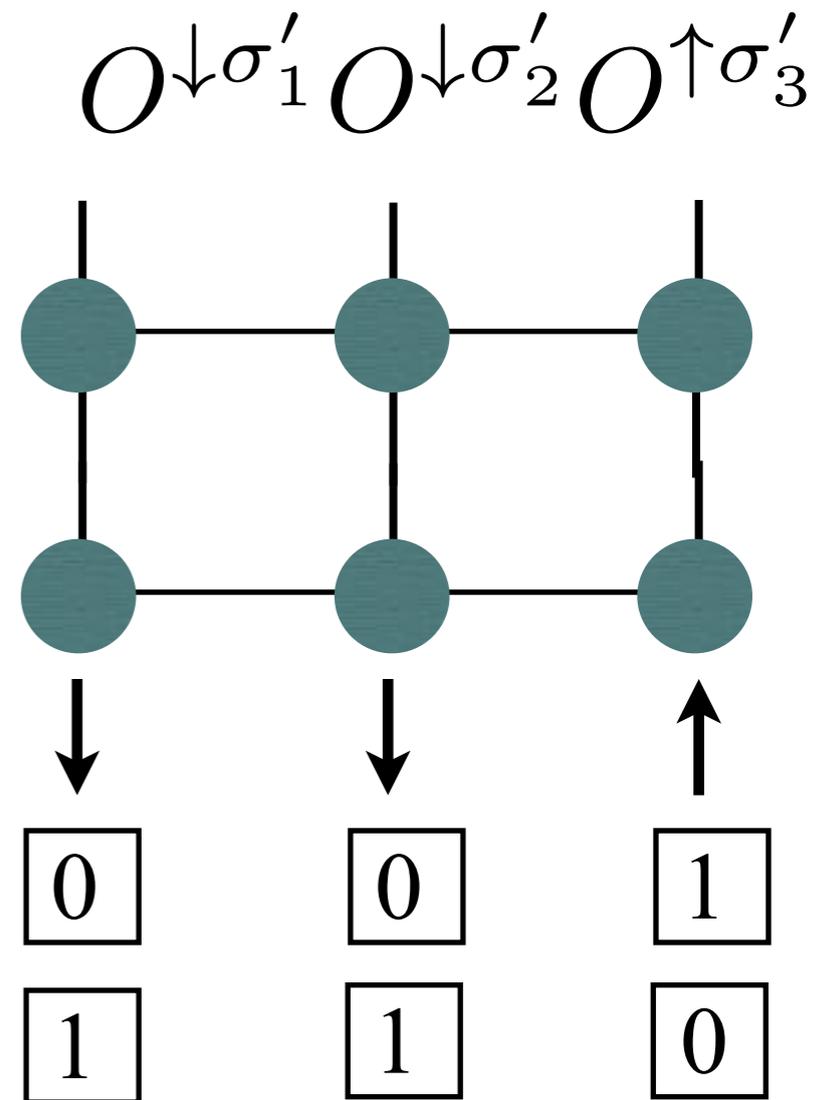


An operator applied to a product state picks out two of the four matrices (per site) from the operator.

Different product state - different matrices.



$|i\rangle$



$$\hat{U} \hat{H} \hat{U}^\dagger = D$$

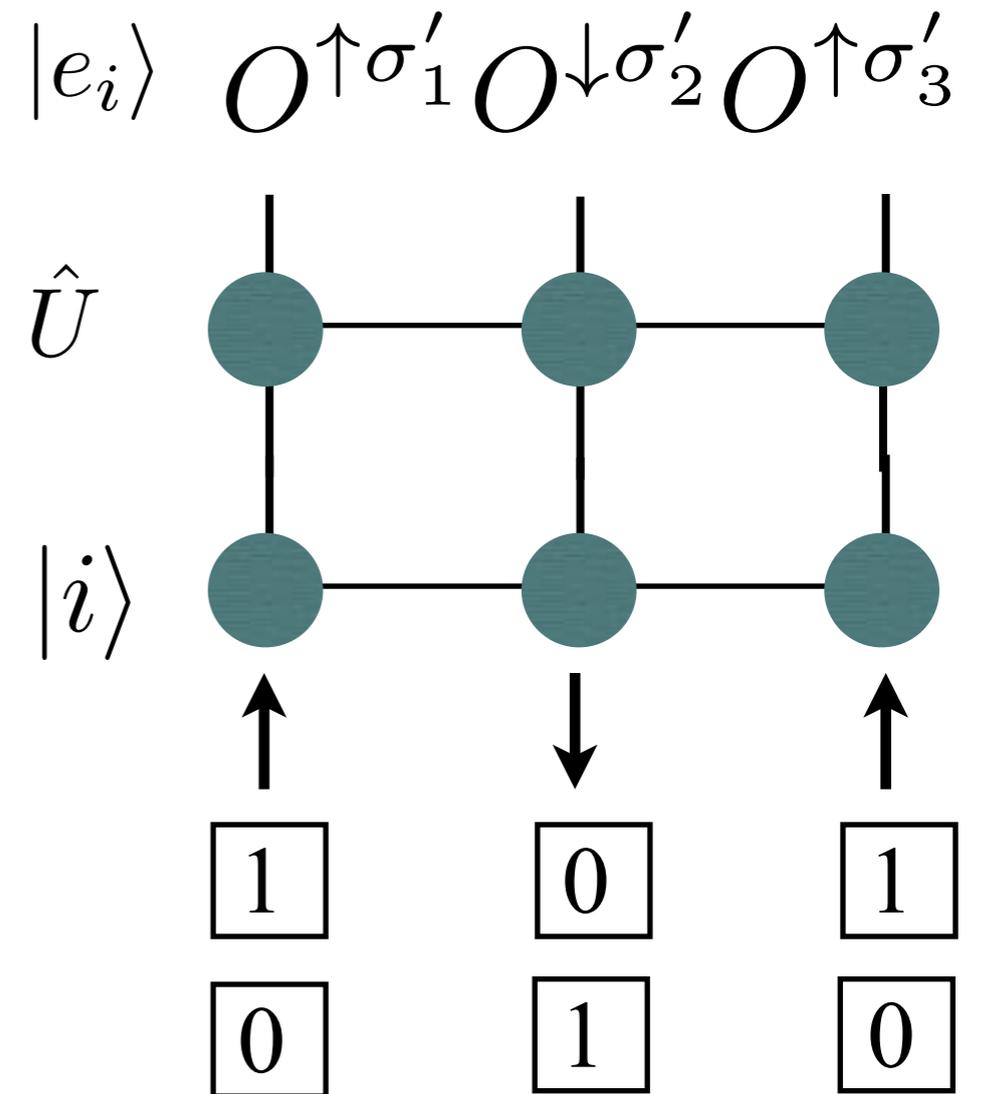
$$\hat{U} |i\rangle = |e_i\rangle$$

All the eigenstates are encoded with  $4n$  matrices!

Ground State:  $G_1 G_2 G_3 G_4 G_5$

Excited State:  $E_1 E_2 G_3 E_4 G_5$

Key question: are the sizes of E and G constant with bond dimension?



Let's check this....

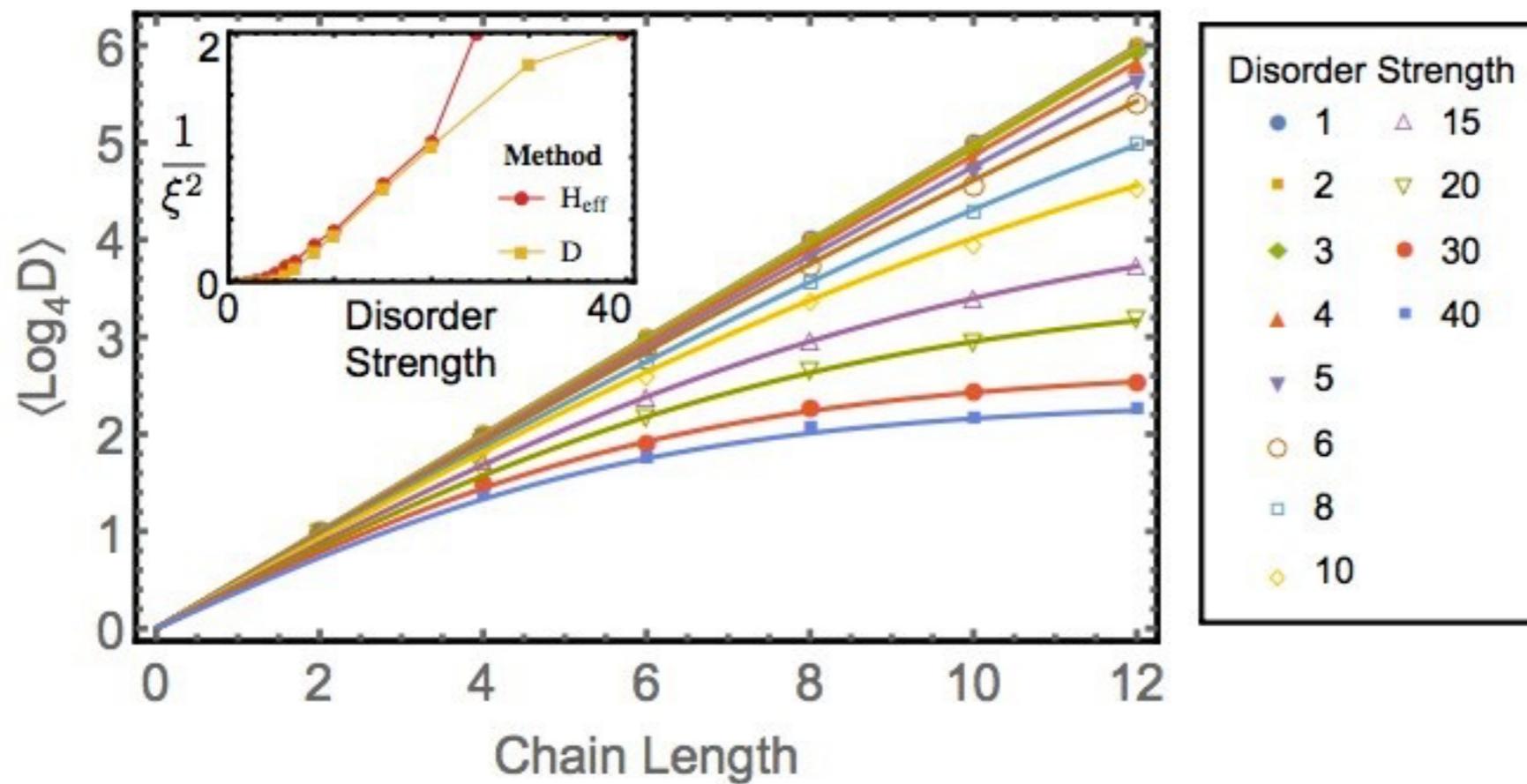


$U$  maps product states to eigenstates.

Many such mappings

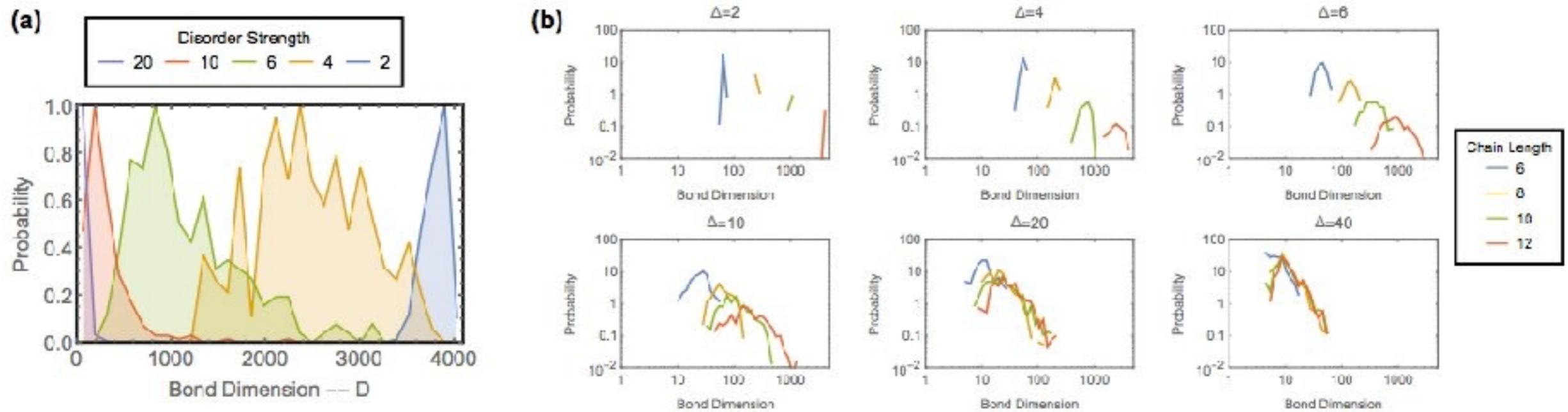
Which one we pick is important!





For large disorder, the bond dimension saturates.

# A more refined look at the MPO bond dimension.

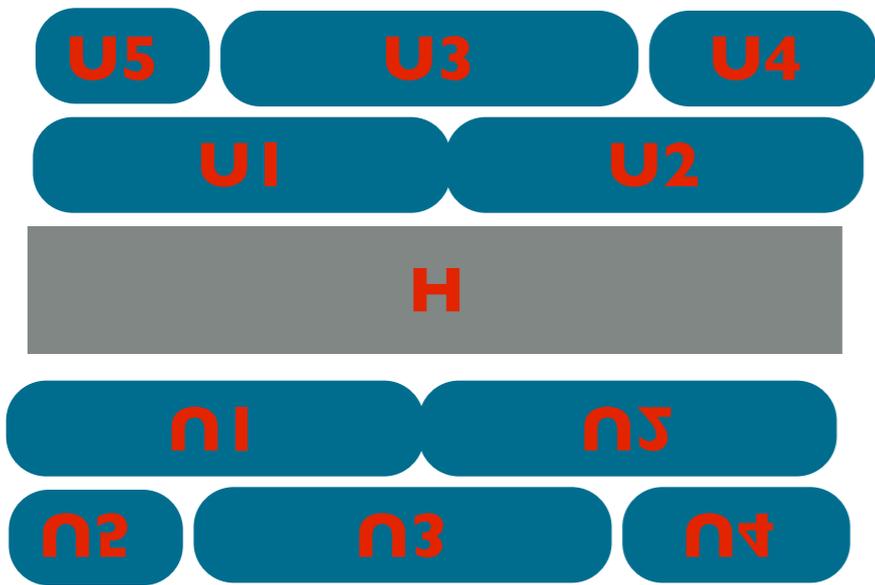


Large disorder  $\longrightarrow$  Low bond dimension

Low disorder  $\longrightarrow$  System-dependent bond dimension

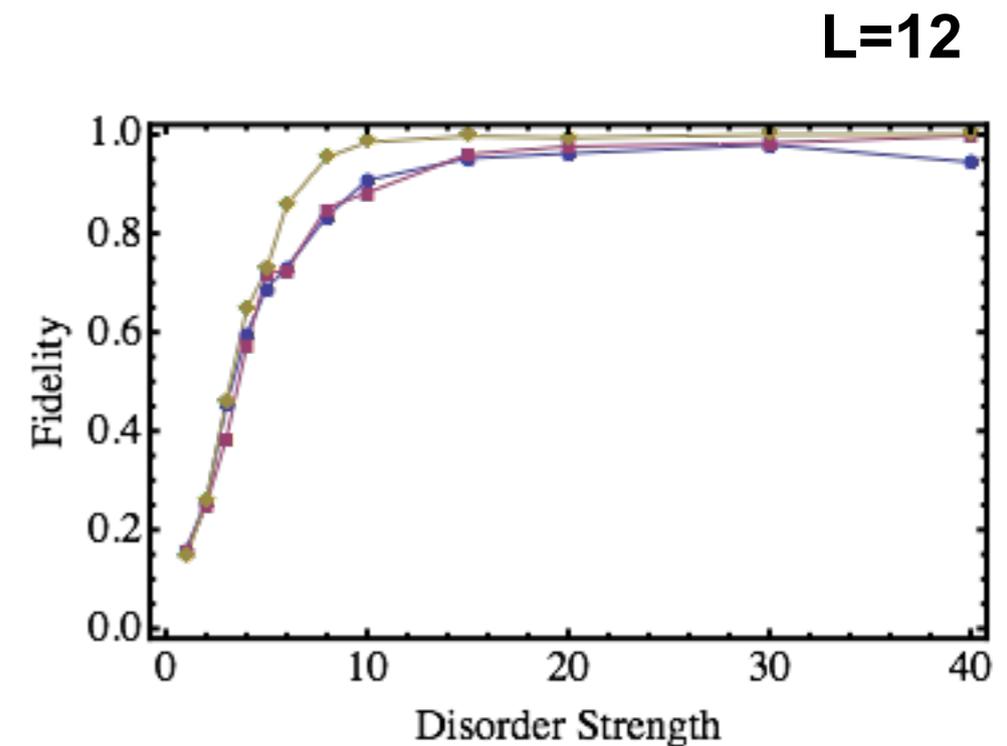
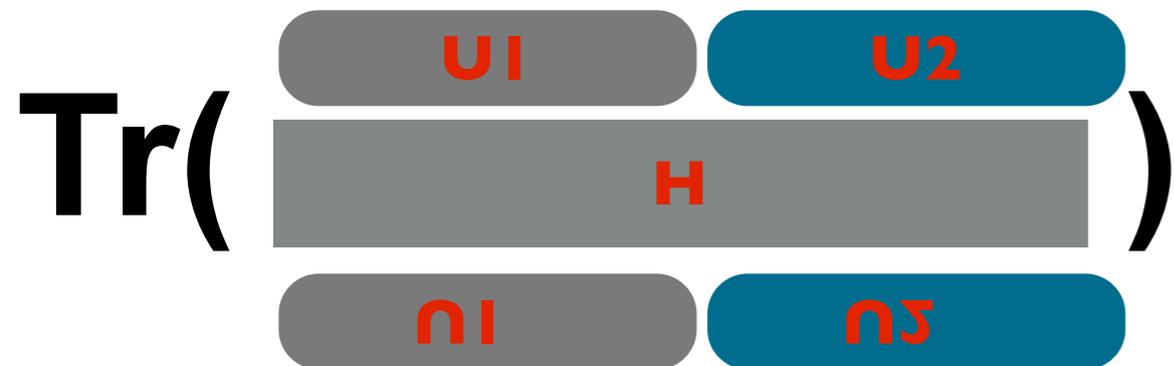
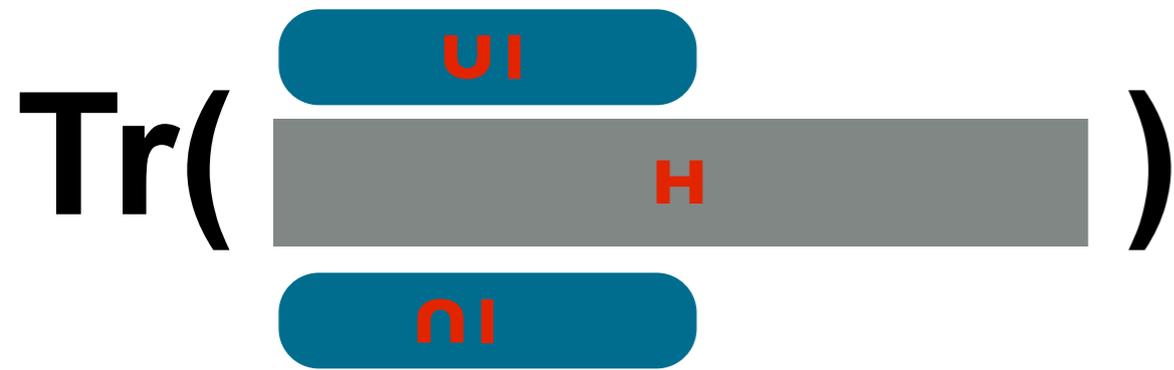
Disorder near transition  $\longrightarrow$  Broad bond dimension

There exists a compact representation. Can we find it?



No (completely) free lunch.

Whole spectrum is powerful but hard.

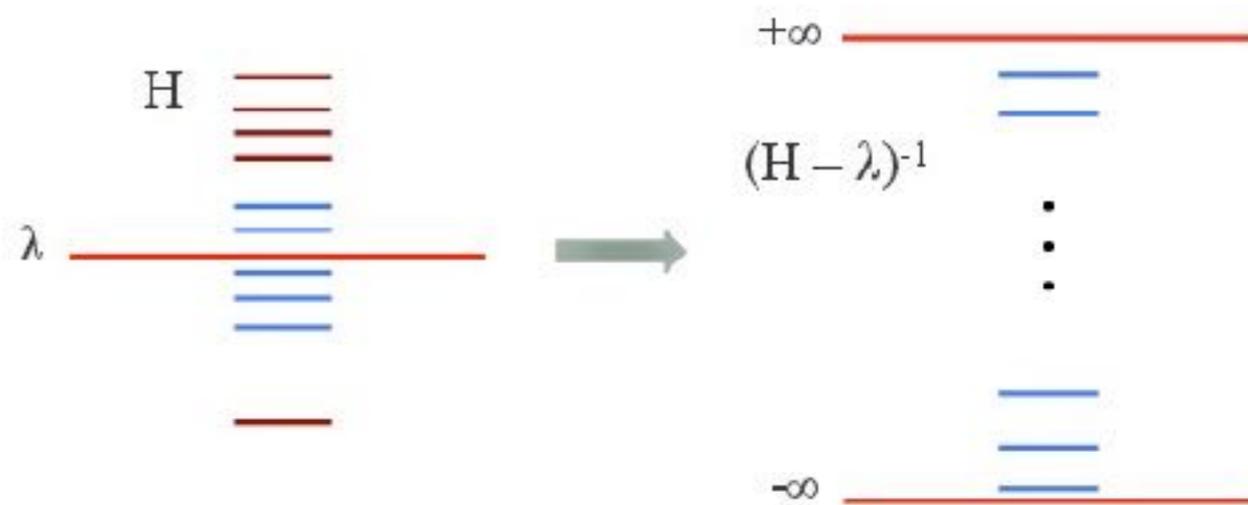


# Suppose instead we want one state with very high fidelity

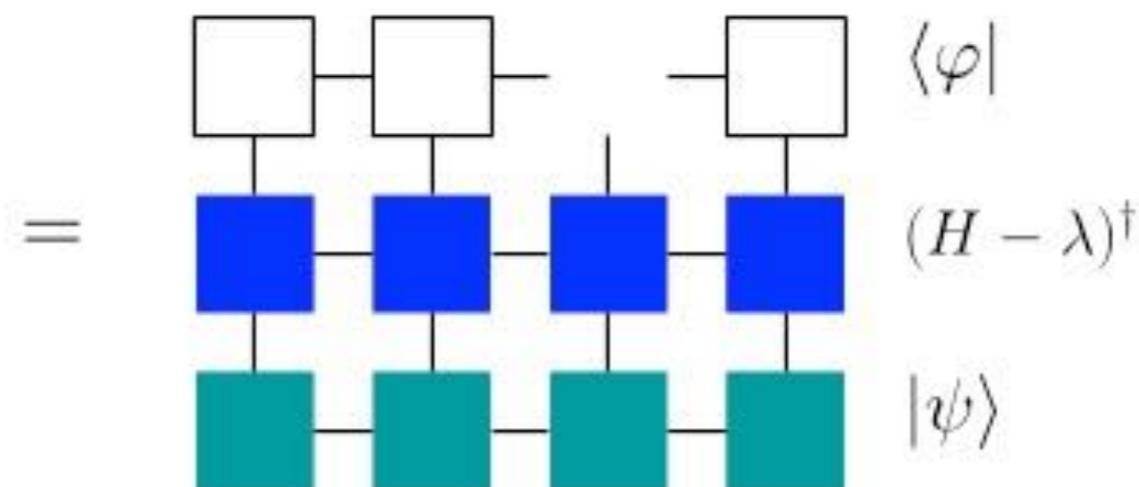
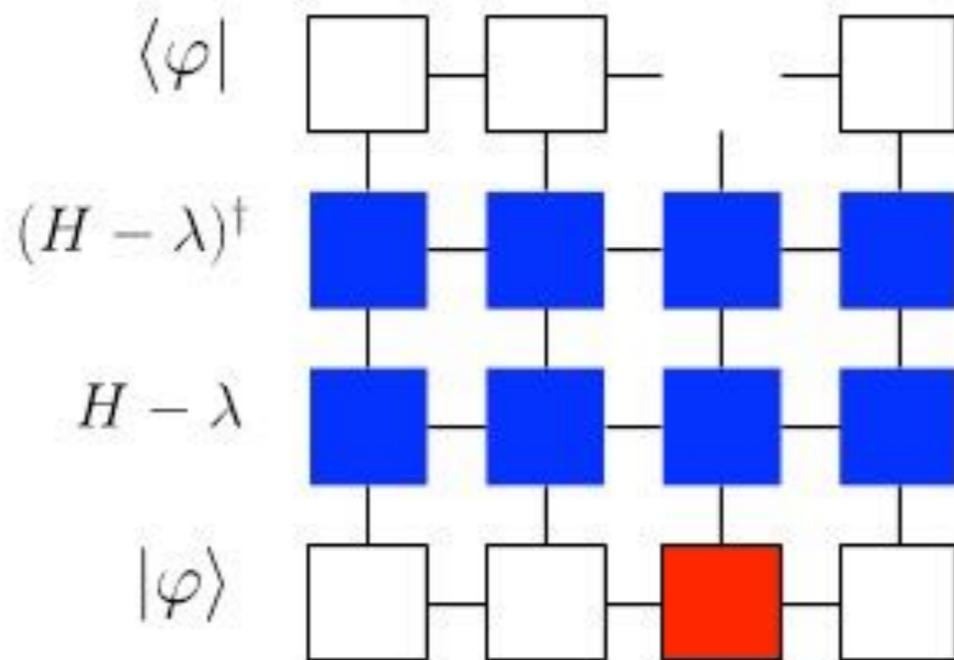
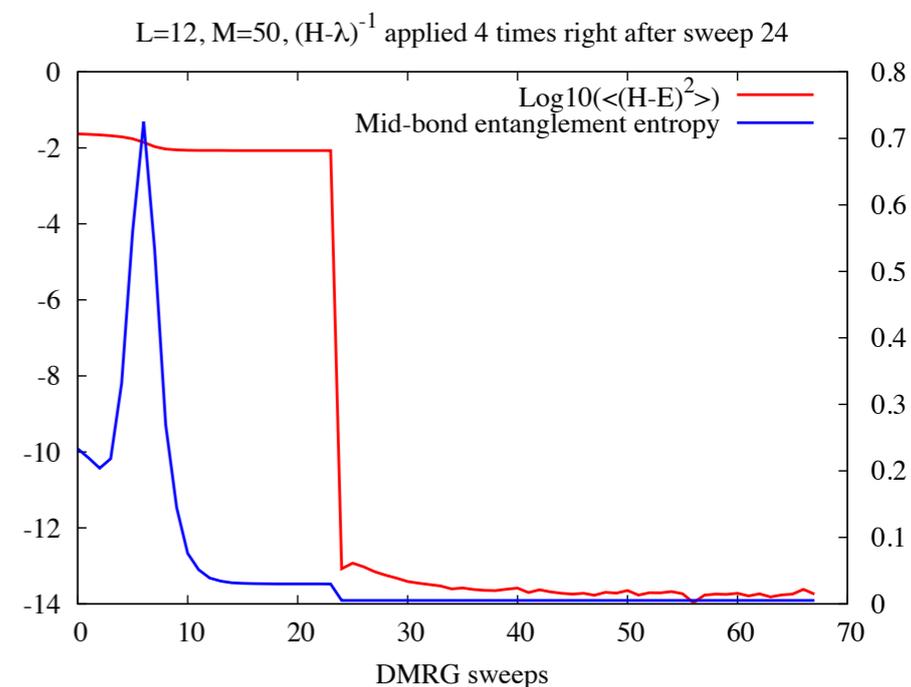
**Problem: excited states very degenerate!**

**Solution 1:  $(H-E)^{-1}$**

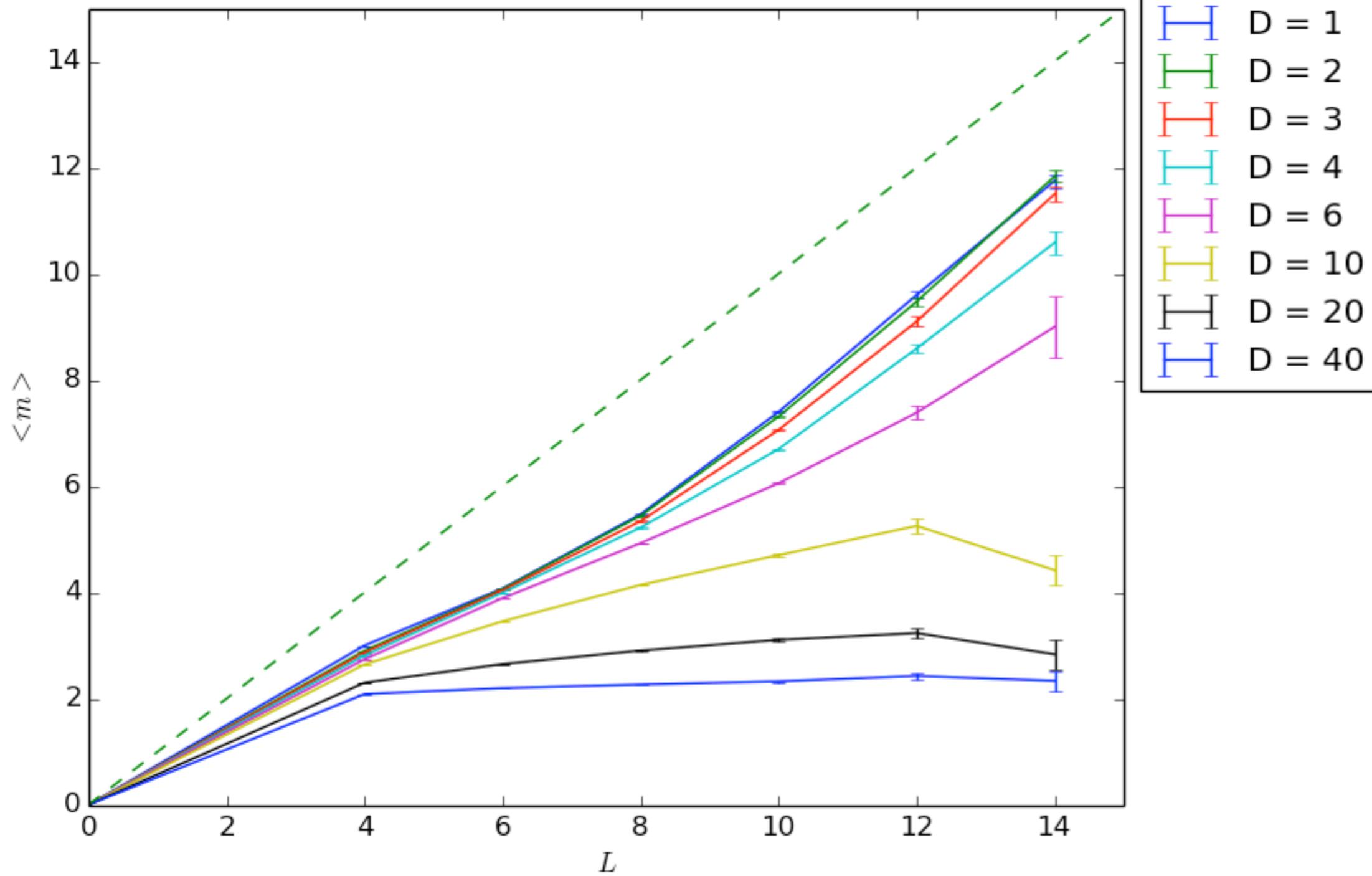
**Solution 2: KKR/Feast**



$$-\frac{1}{2\pi i} \int_c dz (H - z)^{-1} v = P_{\text{subspace}} v$$



$\langle m \rangle$  vs  $L$  for different  $D$ 's



What, if any of this will generalize to clean, high-dimensional electronic structure?

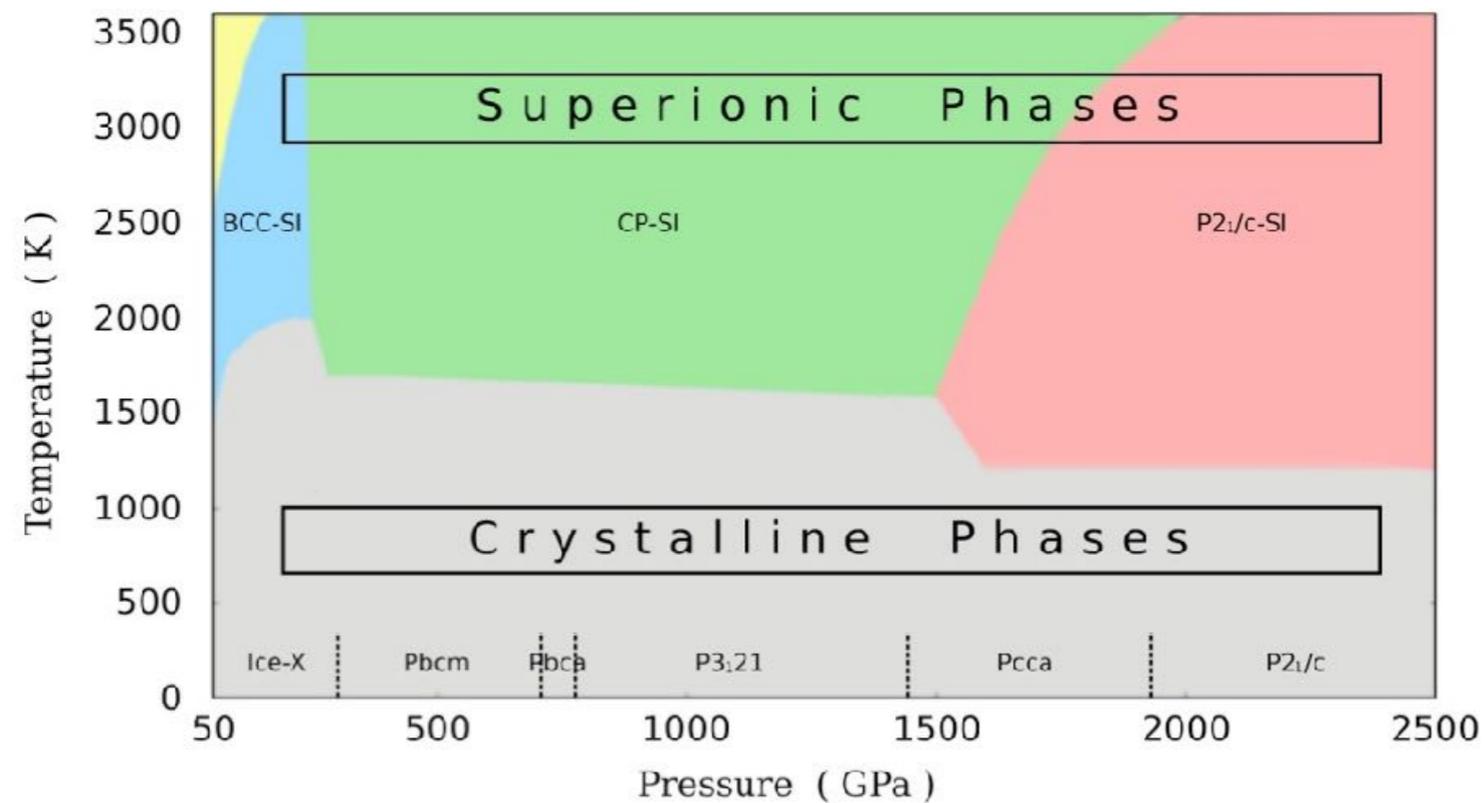
**Frozen liquid configurations look disordered... probably our techniques have plausibility of working here.**

**Need higher-d tensor networks... we have a MERA-like approach that should do this translation**

**The whole spectrum is going to be generically hard on clean systems, but .... we think these approaches will give us chunks of the spectrum. Study ongoing.**

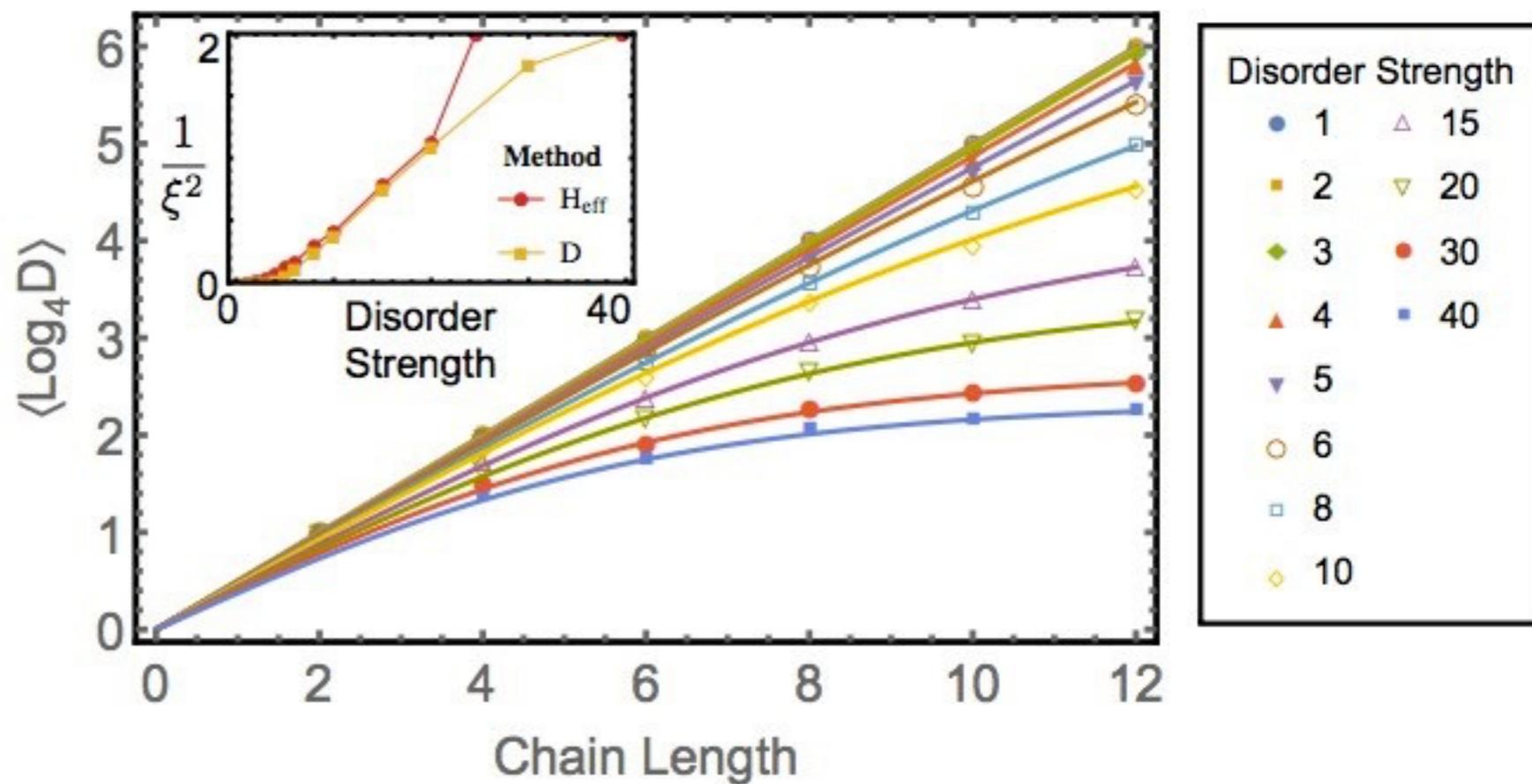
**For electronic structure, we speculate we might get this to work in k-space around the fermi sea.**

# Conclusions



Superionic ice - quasi-2d liquid

Full spectrum of excited states in MBL

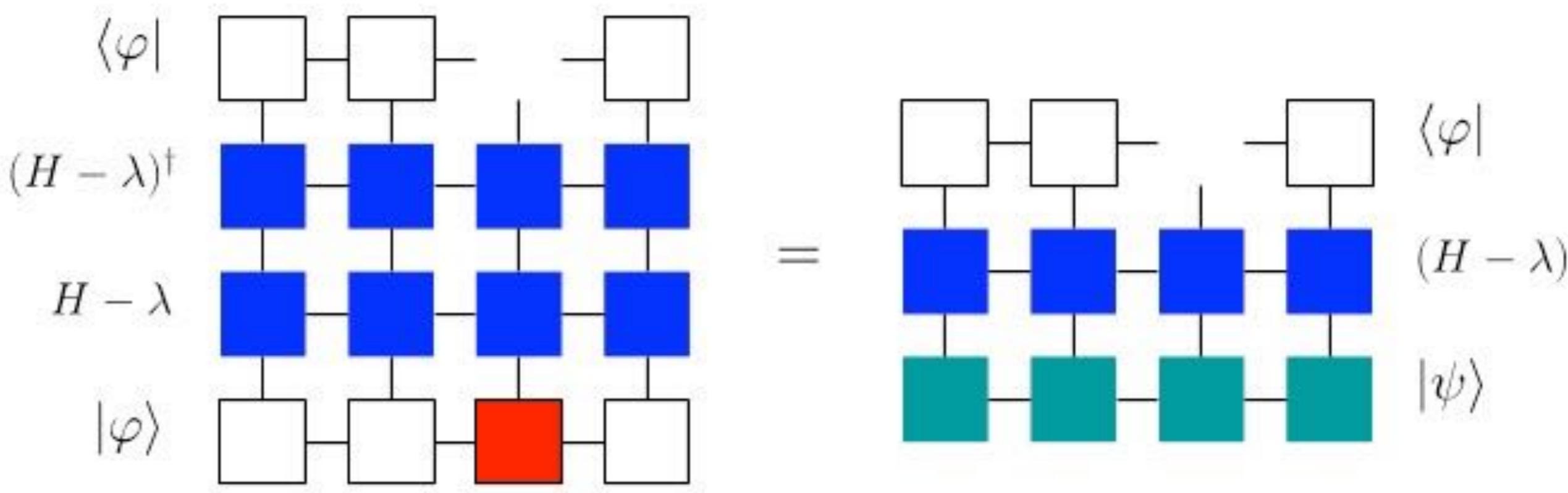




# Many body localization

disorder + interaction + hopping

quantum mechanics at infinite temperature!



# MBL IPR

Are you spread out over all of Hilbert space or just a little piece of it?

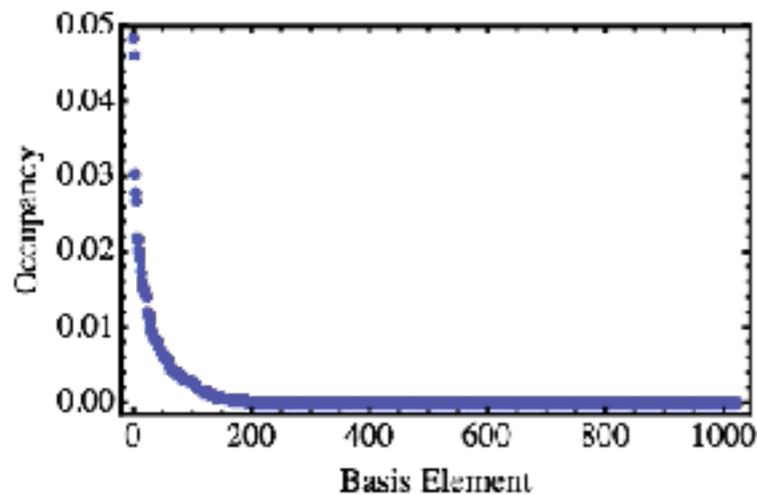
$$\text{IPR} = \frac{\sum_x |\Psi(x)|^4}{\sum_x |\Psi(x)|^2}$$

MBL should be 'localized'

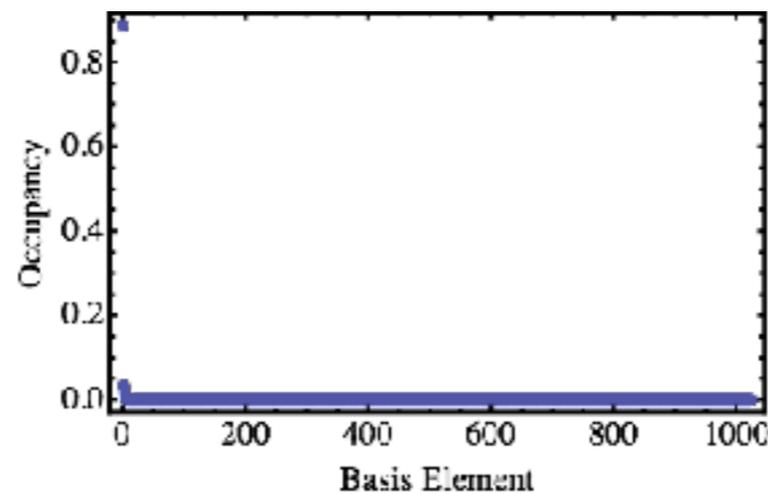
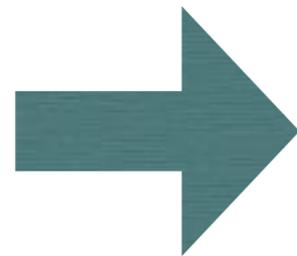
Let  $\Phi$  map product state  $i$  to eigenstate  $\Phi[i]$

Minimize  $\sum_i |\langle i | \Phi[i] \rangle|^2$  over all functions  $\Phi$

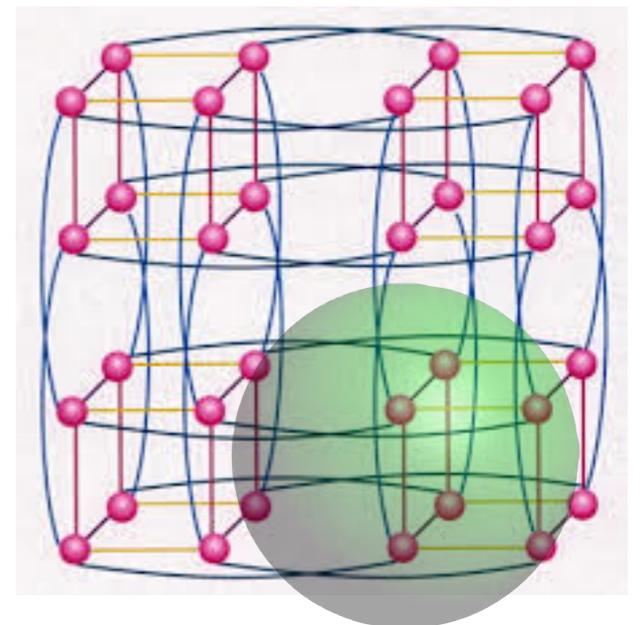
This can be done with bipartite matching.



Low disorder



High disorder



## Outline finding (all) the excited states in perfect insulators

- \* Exciting physical phenomenon: many body localization - no conductivity even at infinite T
- \* The relevant “order parameter” is the eigenstates.
- \* There exists a compact representation of the entire spectrum.
  - \* Which we can find:  
Each eigenstate of the form GEEGGEEGE
- \* To find even better states, use many tricks + (a generalization of) KKR.

Discussion: Will any of this apply beyond this physical system to three-dimensional, electronic structure systems.

does this have anything to do with reality?

probably

other phenomenology

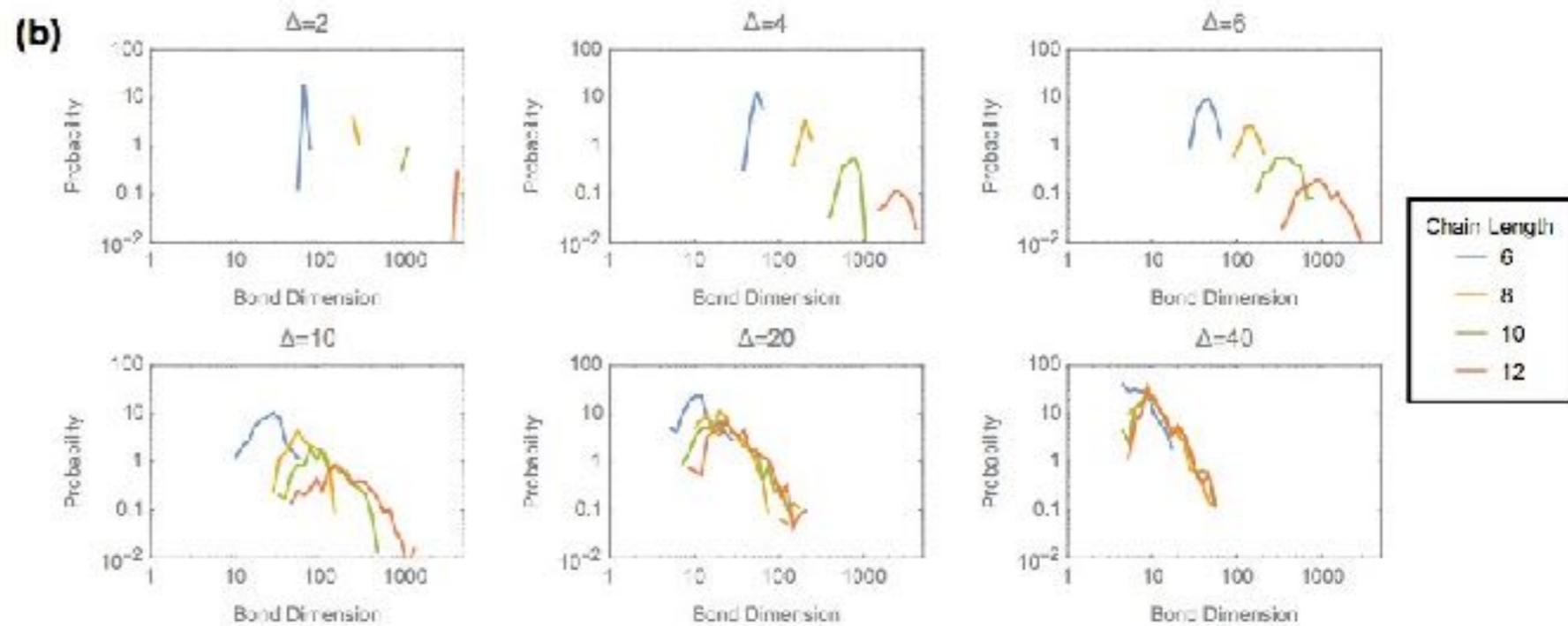
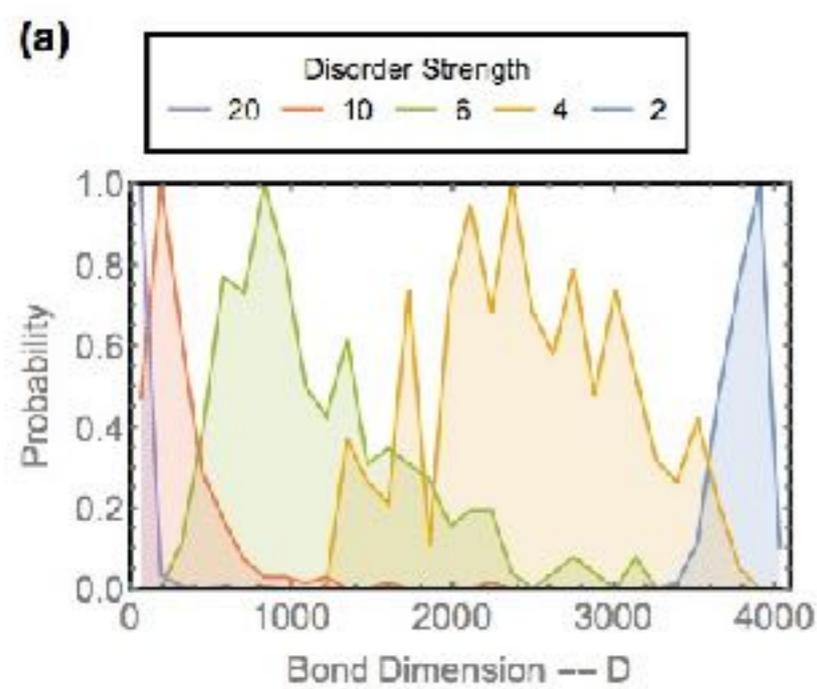
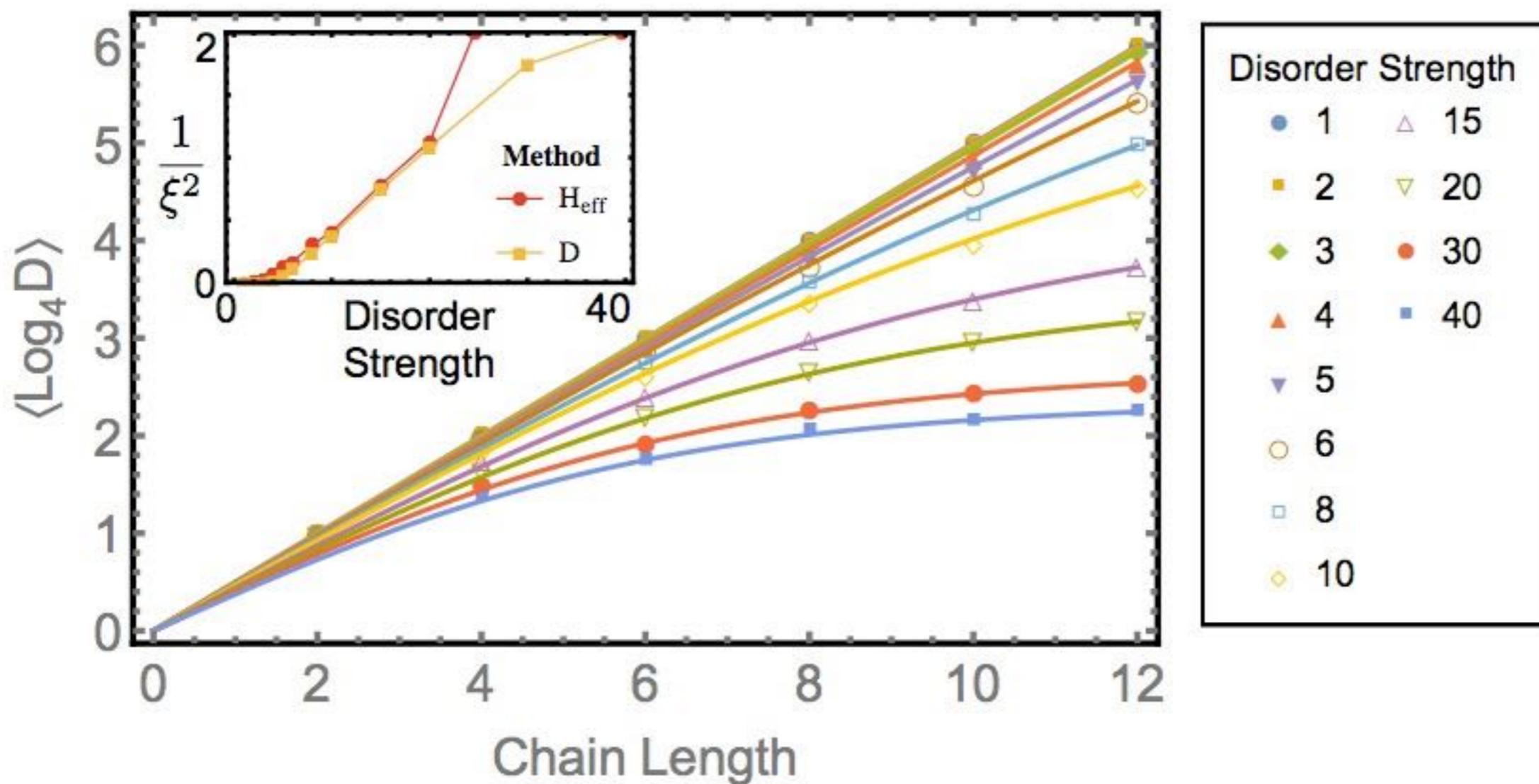
**Q: Can we get an excited state in the middle of the spectrum?**

**Suppose we are exceedingly greedy. Can we get them all?**

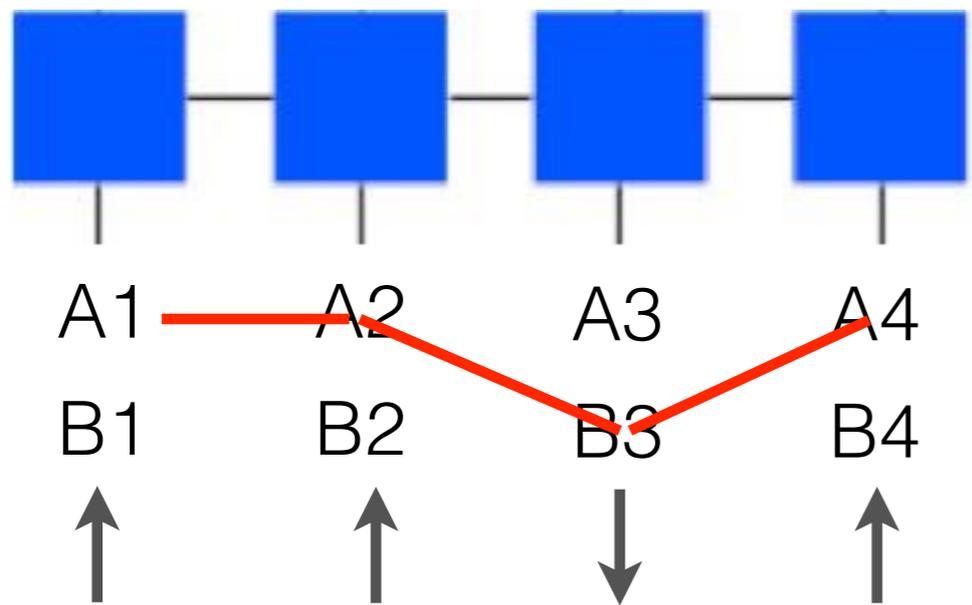
**$2^n$  eigenstates. Each with  $2^n$  numbers.**

**We couldn't even write them down!**

**But we will see we can represent them in a compact way.**



## Matrix product states

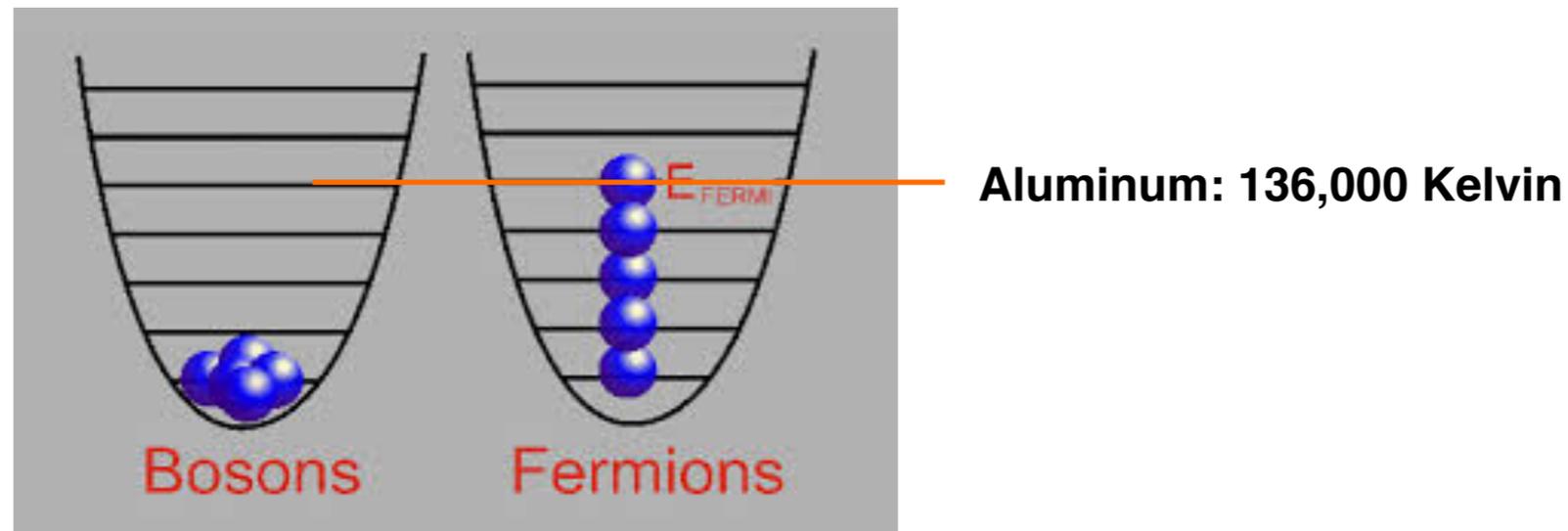




# Dealing with electrons

Protons in water were at finite temperature:  $T=300$  K

Electrons are on a ground state:  $T=0$  K  
(also fermions)



No point in doing path integrals.

Remember our whole goal: Given the ion locations  $R_I$ , what is the energy.

To get the energy, we could use the electronic wave-function.

$$E = \left[ \int \Psi(R) [H\Psi](R) dR \right] / \left[ \int \Psi(R) \Psi(R) dR \right]$$

# The variational principle

$$E[\Psi(r_1, r_2, \dots, r_n)] \geq E_0$$

$$E[\Psi_0(r_1, r_2, \dots, r_n)] = E_0$$

Let's just guess the ground state.

**More refined:** Let's guess many states and assume the one with the lowest energy is the best guess.

## Important steps:

1. How to compute energy?
2. What wave-functions?
3. How to optimize?

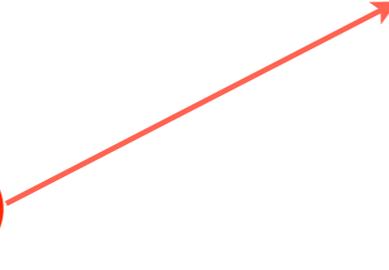
1. How to compute energy?

$$E = \frac{\int |\Psi(r_1, r_2, \dots, r_n)|^2 \frac{[H\Psi](R)}{\Psi(R)} dR}{\int |\Psi(r_1, r_2, \dots, r_n)|^2 dR}$$

Monte Carlo!!

Location of the electrons

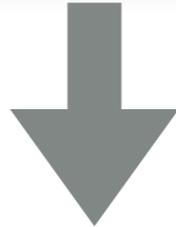
Configuration Space:  $\{R\}$



Probability:  $|\Psi(R)|^2$

# Algorithm

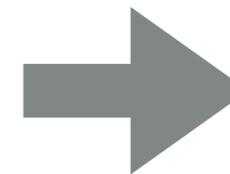
Start in location  $R$  for the electrons



Choose a new location  $R'$

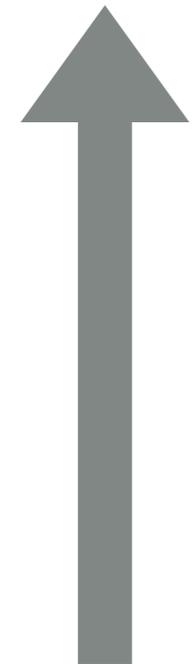


If  $|\Psi(R')|^2 / |\Psi(R)|^2 > \text{rand}()$   
    use  $R'$   
else:  
    keep  $R$



Measure:

$$\frac{H\Psi(R)}{\Psi(R)}$$



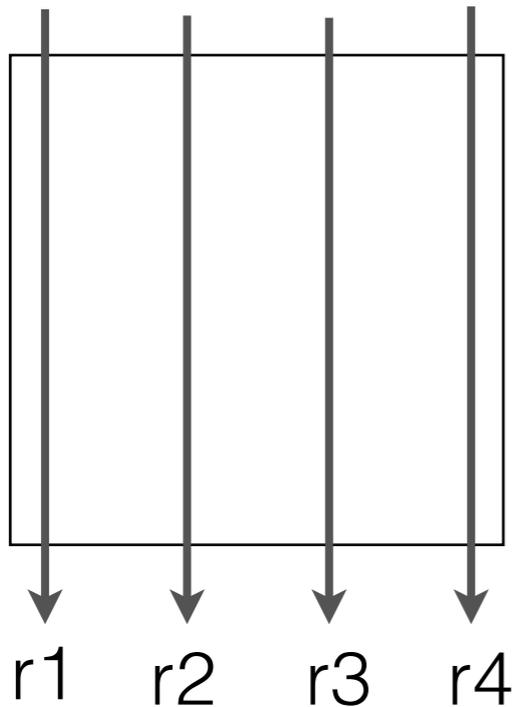
$$\Psi = \det(M)$$

Slater Determinant

$$M_{ij} = \phi_i(r_j)$$

Three dimensional function  
N of them

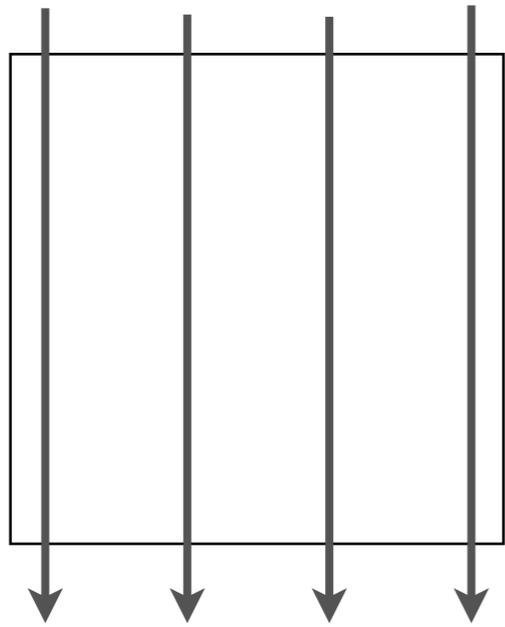
**Hartree Fock:** Minimize this ansatz



Notice: If you move one electron only one row of this matrix changes.

Need  $\Psi(R')/\Psi(R)$

det

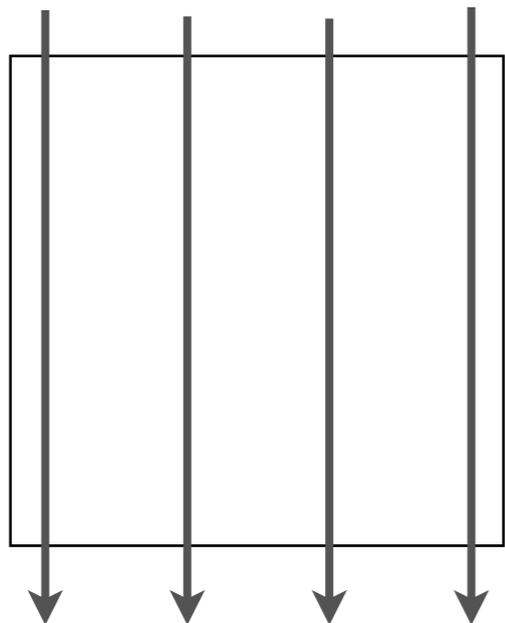


$r1'$   $r2$   $r3$   $r4$

---

$$= (1 + v^T A^{-1} u)$$

det

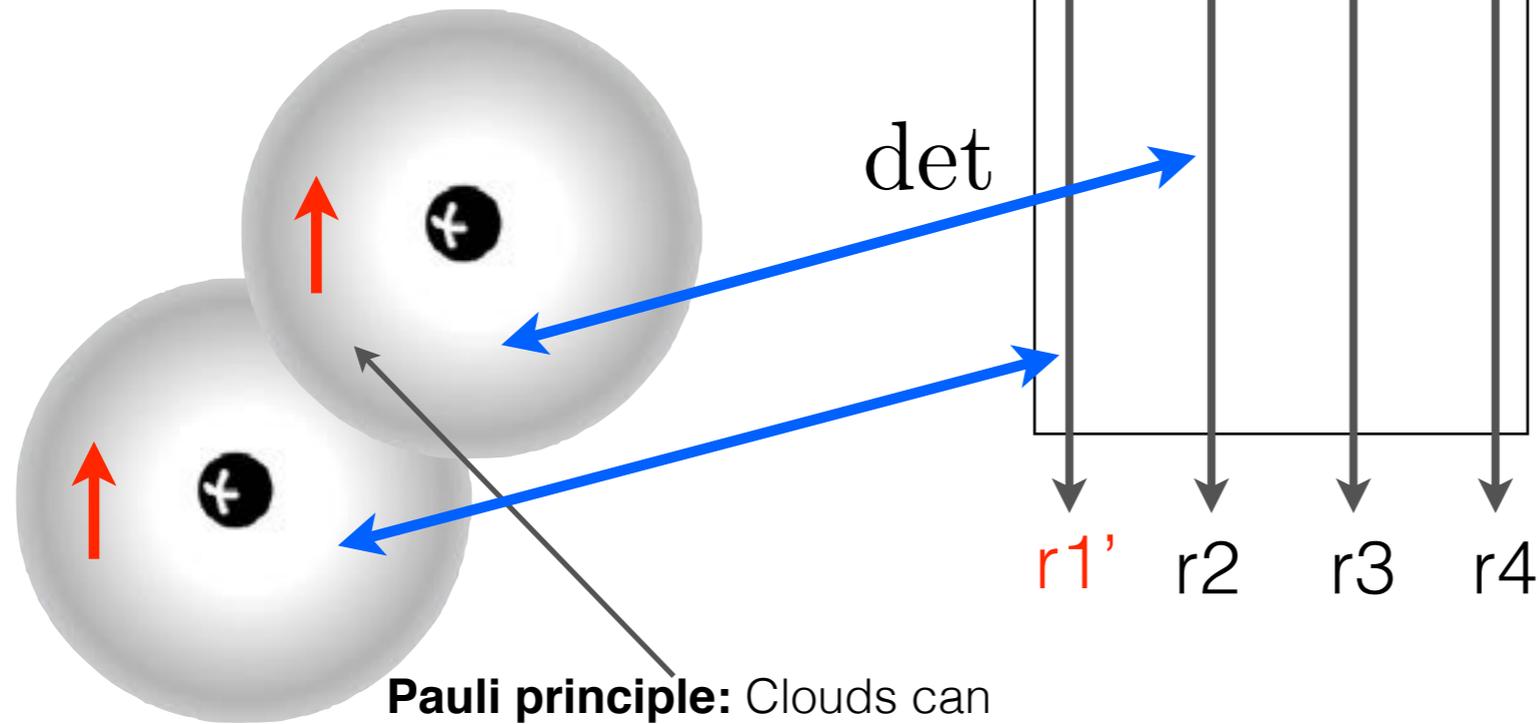


$r1$   $r2$   $r3$   $r4$

Must keep the inverse up to date.

**Q: Sanity Check:** Does this get the pauli principle correct?

$$\Psi = \det(M_{\uparrow})$$



**Pauli principle:** Clouds can overlap but two electrons can't be in the same spot at the same time.

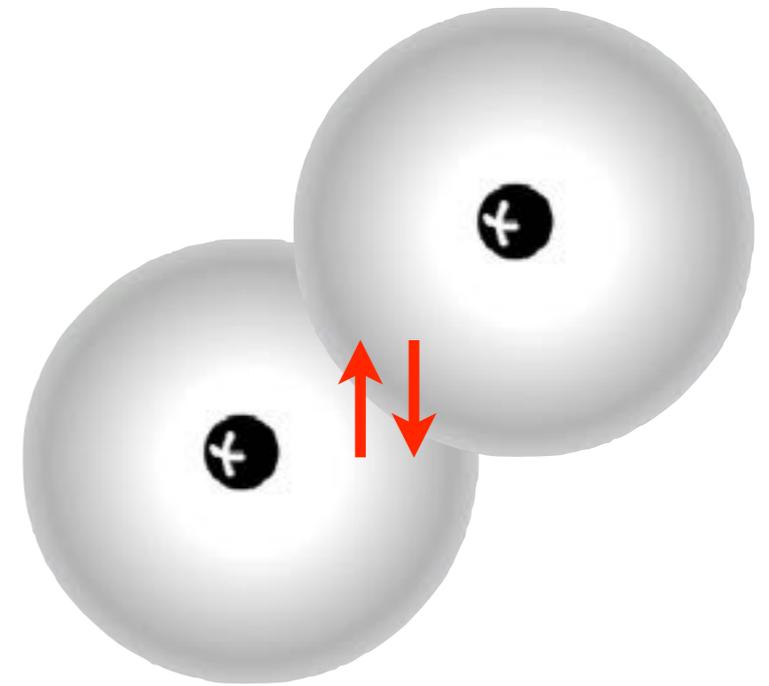
What happens when  $r1=r2$ ?

**Q:** There are up and down electrons. What should I do with them?

$$\Psi = \det(M_{\uparrow}) \det(M_{\downarrow})$$

**Q: What physics does Hartree Fock miss out on?**

$$\Psi = \det(M_{\uparrow}) \det(M_{\downarrow})$$



**Q: What physics does Hartree Fock miss out on?**

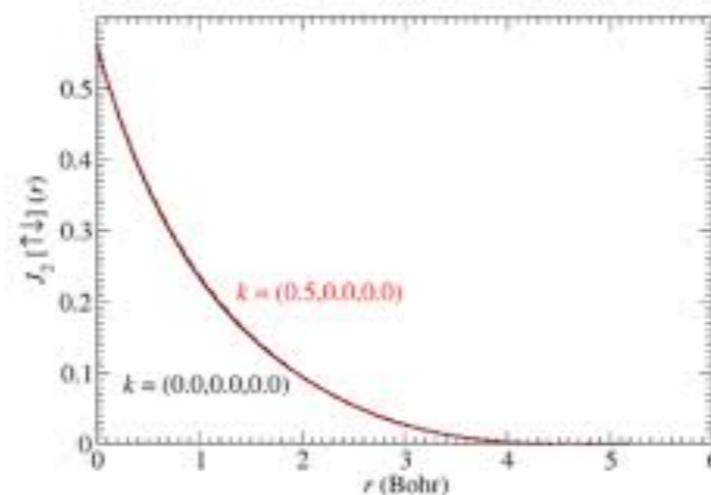
$$\Psi = \det(M_{\uparrow}) \det(M_{\downarrow})$$

**Q: How do we fix this?**

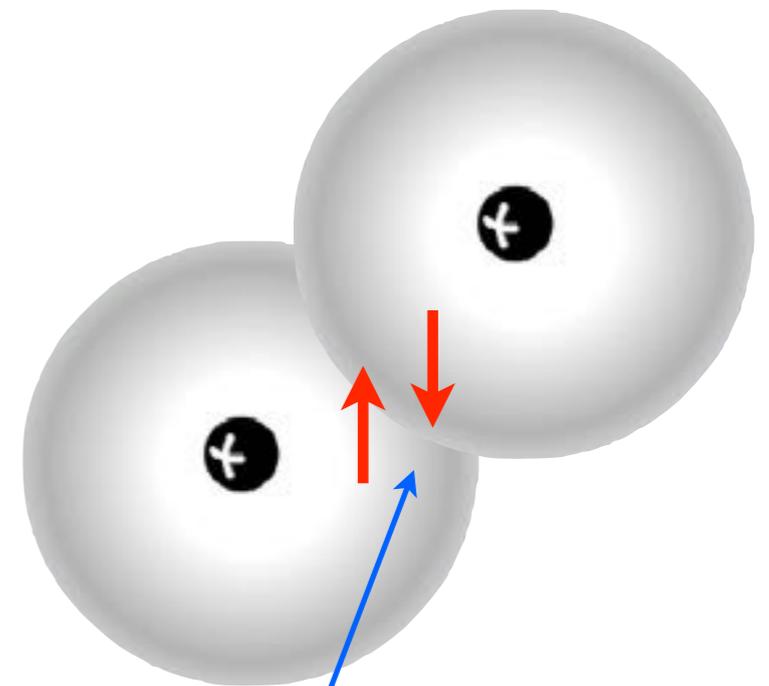
Need some part of the wave-function which says, a spin up and spin down electron should stay away from each other.

**Jastrow:**  $\exp \left[ - \sum_{ij} \underline{u(|r_i - r_j|)} \right]$

One-dimensional function: get large as  $u \rightarrow 0$



**We can get almost an exact two-body Jastrow.**



Nothing keeps an up and down electron from getting close. The probability an up electron is somewhere is independent of the down electron position.

**Slater-Jastrow:**  $\Psi = \det(M_{\uparrow}) \det(M_{\downarrow}) \exp \left[ - \sum_{ij} u(|r_i - r_j|) \right]$

**Slater-Jastrow:**  $\Psi = \det(M_{\uparrow}) \det(M_{\downarrow}) \exp[-u(|r_i - r_j|)]$

This is what makes variational Monte Carlo more powerful than quantum chemistry.

**Quantum Chemistry:**  $\sum_i \frac{\det(M_{i\uparrow}) \det(M_{i\downarrow})}{\dots}$

Need thousands of these to get the electron-electron repulsion correct.

In quantum Monte Carlo, we get it essentially for free.

**Q:** Is there a trade-off?

**A:** Yes. Quantum chemistry is mainly linear algebra. QMC requires randomness.

# Generalized Jastrow

$$\exp[-U(R)] = \exp\left[-\sum_{ij} u(|r_i - r_j|)\right] \exp\left[-\sum_{ijk} u(r_i, r_j, r_k)\right] \exp\left[-\sum_{ijkl} u(r_i, r_j, r_k, r_l)\right] \dots$$

**two-body**                      **three-body**                      **four-body**

**Q:** What set of wave-functions does this include?

# Generalized Jastrow

$$\exp[-U(R)] = \exp \left[ - \sum_{ij} u(|r_i - r_j|) \right] \exp \left[ - \sum_{ijk} u(r_i, r_j, r_k) \right] \exp \left[ - \sum_{ijkl} u(r_i, r_j, r_k, r_l) \right] \dots$$

**two-body**                      **three-body**                      **four-body**

**Q:** What set of wave-functions does this include?

**A:** All positive wave-functions

$$\Psi = \det(M_{\uparrow}) \det(M_{\downarrow}) \exp[-U(R)]$$

**Sets the sign-structure.**

**If we could optimize all the U's, we would have the best wave-function consistent with the signs of det's.**

**You could imagine improving wave-functions, by expanding in higher-body Jastrows.**

**Aside:** At the beginning of quantum mechanics, you might worry how should we write down a wave-function in quantum mechanics for a solid and for a liquid.

Q: What does this mean?

If you ask the quantum mechanical wave-function where the particles are, it tells you they are distributed like a liquid.

Q: What probability distribution looks like a liquid?

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**Boltzmann Distribution:**

$$Pr(R) \propto \exp[-\beta V(R)] \longleftrightarrow$$

Q: How can we write a wave-function that gives us this probability distribution?

**Fact 1:**  $Pr(R) \propto |\Psi(R)|^2$

**Fact 2:**

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**Boltzmann Distribution:**

$$Pr(R) \propto \exp[-\beta V(R)] \longleftrightarrow \exp[-U(R)]$$

$$\beta V(R) \leftrightarrow U(R)$$

Q: How can we write a wave-function that gives us this probability distribution?

**Fact 1:**  $Pr(R) \propto |\Psi(R)|^2$

**Fact 2:** Jastrow:  $\exp[-U(R)]$

A simple quantum mechanical wave-function to give you a liquid.

Q: What about a quantum mechanical wave-function for a solid?

**For the quantum-mechanical expert:**

Q: What's wrong with this wave-function for the solid?

*Hint: Think about superfluidity.*

**Slater-Jastrow:**  $\Psi = \det(M_{\uparrow}) \det(M_{\downarrow}) \exp \left[ - \sum_{ij} u(|r_i - r_j|) \right]$

$$M_{ij} = \phi_i(r_j)$$

Three dimensional function  
N of them

This is the bread and butter wave-function for VMC

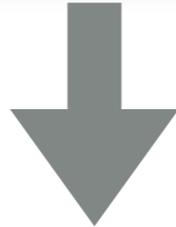
In a second, we will see how we optimize. But before that, let's see what we optimize?

Optimize the Jastrow (a few one-d functions)

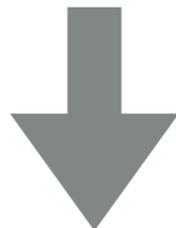
Optimize the phi's (N 3d functions)

# Finding the **best** wave-function

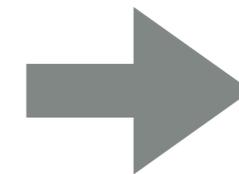
Start in location  $R$  for the electrons



Choose a new location  $R'$

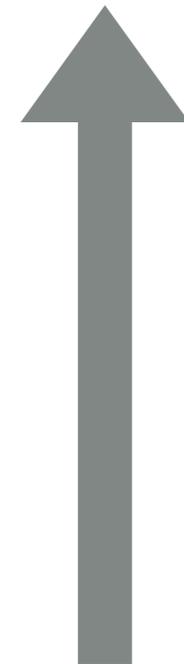
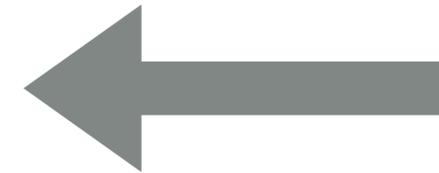


If  $|\Psi(R')|^2 / |\Psi(R)|^2 > \text{rand}()$   
    use  $R'$   
else:  
    keep  $R$



Measure:

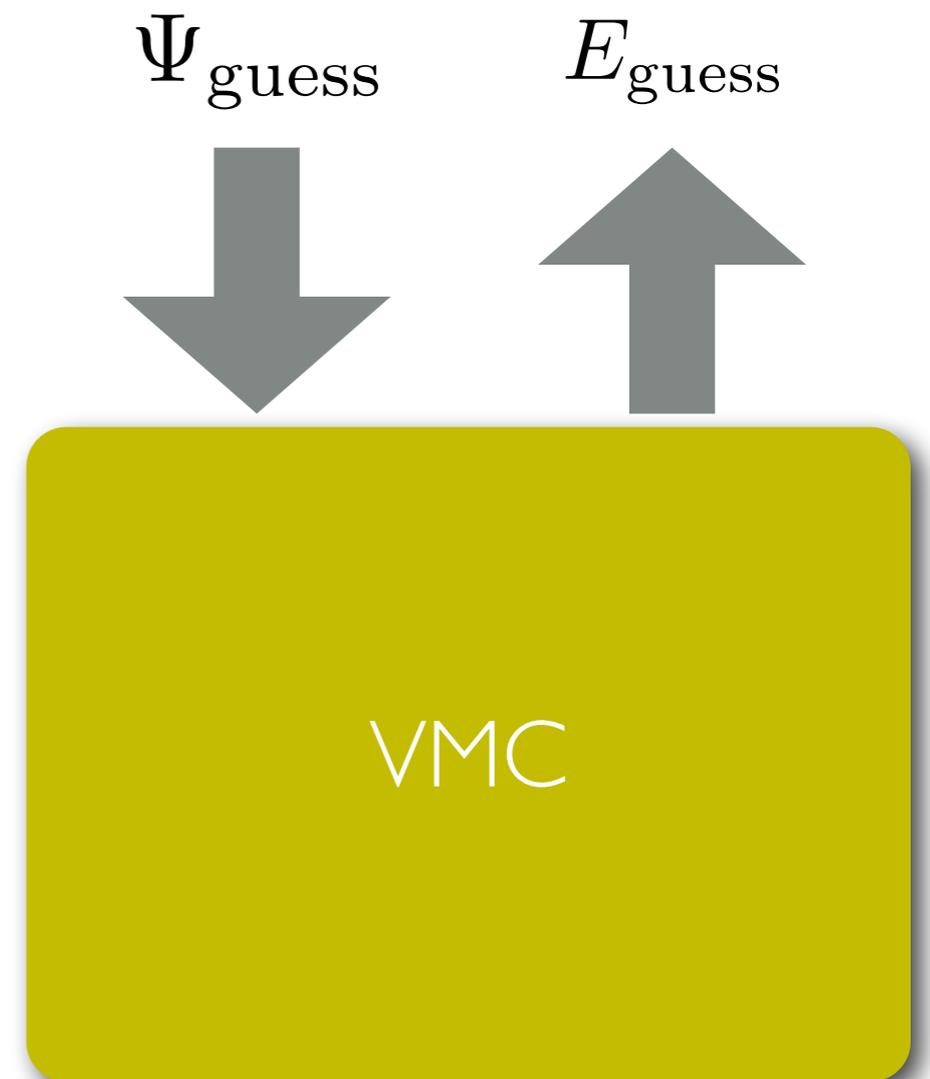
$$\frac{H\Psi(R)}{\Psi(R)}$$



Finding the **best** wave-function

Try many guesses.

Choose the lowest one.



# Finding the **best** wave-function

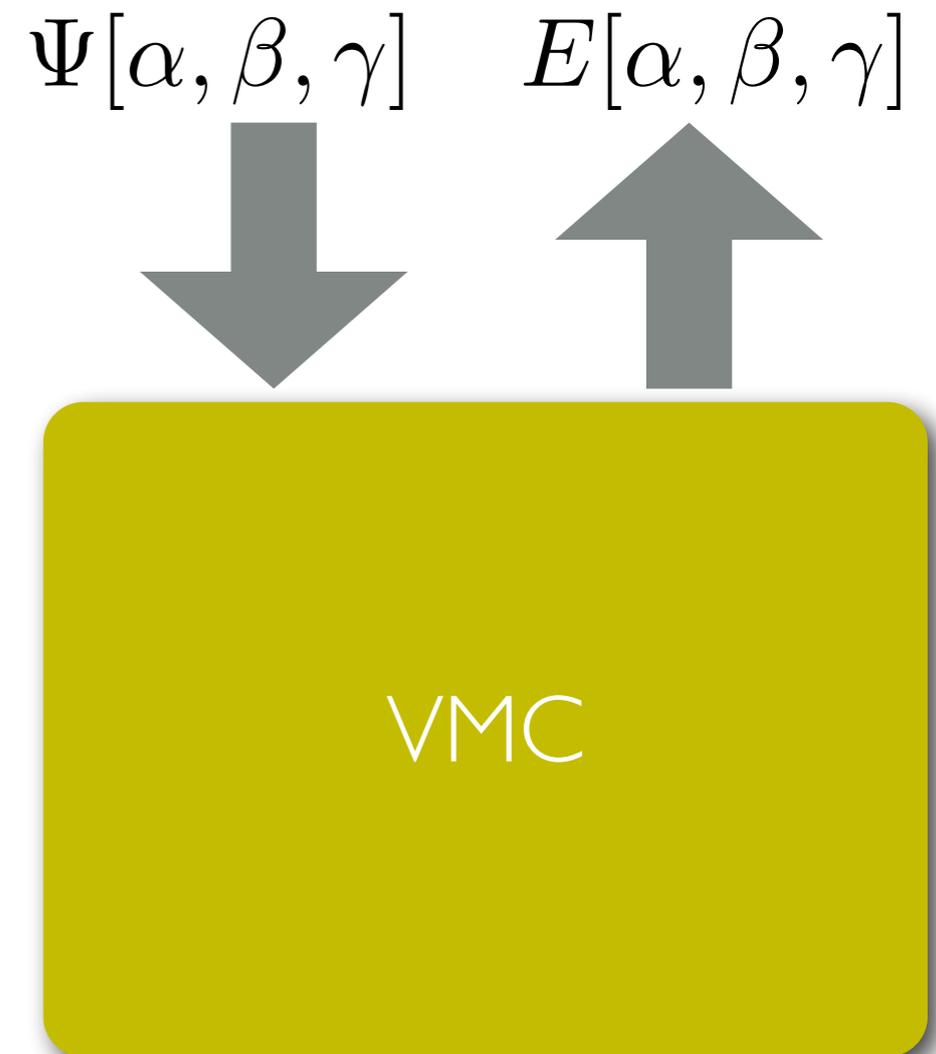
Try many guesses.

Choose the lowest one.

Better yet, tell some optimization package to find the minimum of this objective function.

## Why this is hard

1. Global vs. local minima
2. Derivatives
3. Stochastic Errors



Q: Can we get an excited state in the middle of the spectrum?

Suppose we are exceedingly greedy. Can we get them all?



# Derivatives

$$\begin{aligned} \frac{\partial E[\alpha, \beta, \gamma]}{\partial \alpha} &= \frac{\partial}{\partial \alpha} \frac{\langle \Psi | H | \Psi \rangle}{\langle \Psi | \Psi \rangle} \\ &= 2 \frac{\langle \frac{\partial \Psi}{\partial \alpha} | H | \Psi \rangle}{\langle \Psi | \Psi \rangle} - 2 \frac{\langle \Psi | H | \Psi \rangle}{\langle \Psi | \Psi \rangle} \frac{\langle \frac{\partial \Psi}{\partial \alpha} | \Psi \rangle}{\langle \Psi | \Psi \rangle} \end{aligned}$$

needs to be an integral      E      needs to be an integral

$$\frac{\int dR |\Psi(R)|^2 \left[ \frac{\partial \Psi(R)}{\partial \alpha} / \Psi(R) \right]}{\int dR |\Psi(R)|^2}$$

**The first (and second) derivatives of the energy can be computed by a Monte Carlo integral.**

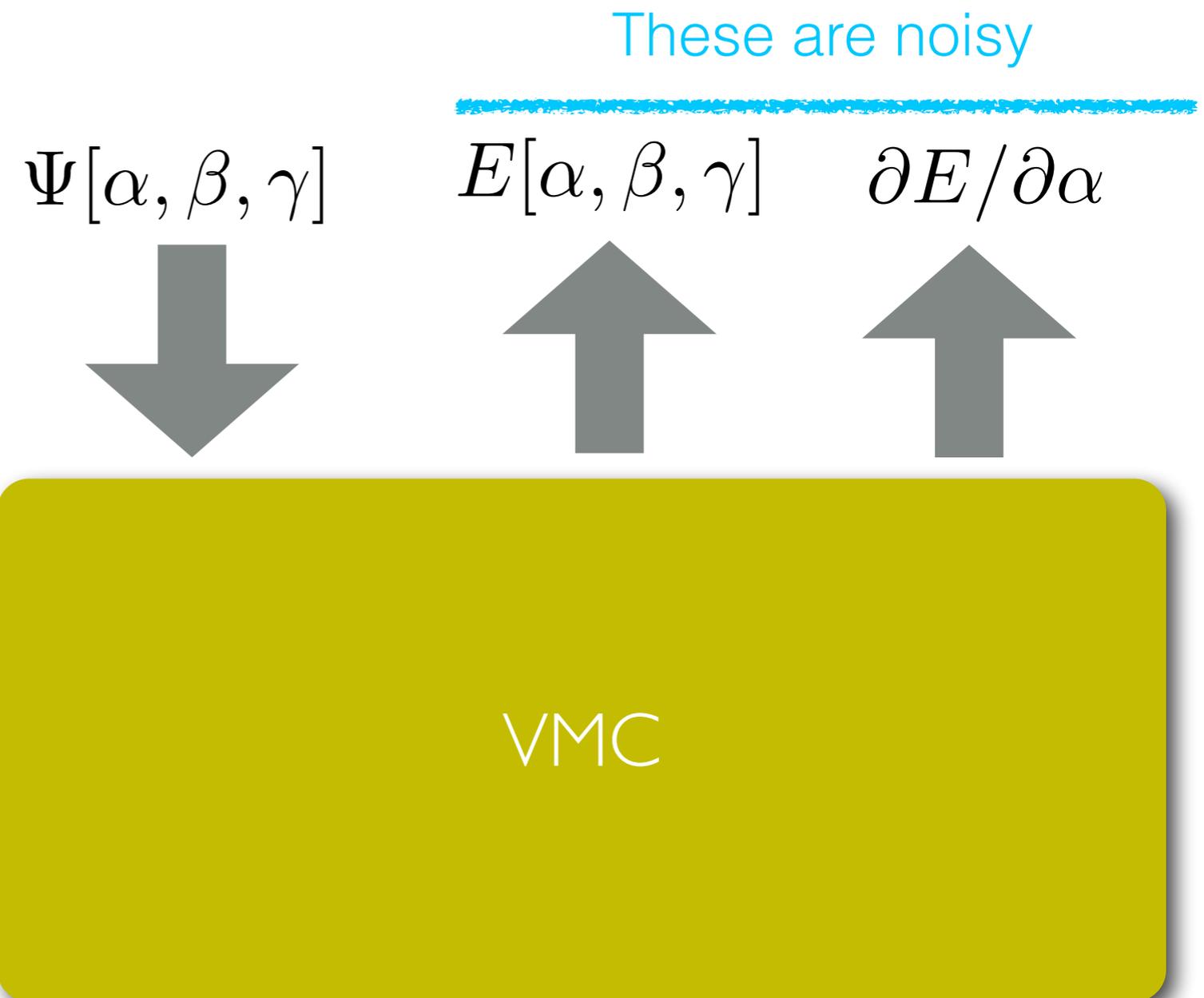
**Need derivatives with respect to parameters living in a derivative...**

# Stochastic Errors

Can we fix this?

Two choices:

1. Remove the stochasticity
2. Embrace the stochasticity



# finding (all) the excited states in perfect insulators

back to model land....

Insulators that you know and love:     **Arrhenius Equation**

$$\sigma = (\sigma_0/T) \exp[-E_a/kT]$$

Perfect Insulators:

$$\sigma = 0$$

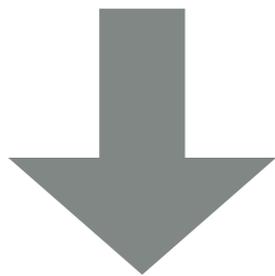
The prototypical example: Anderson Insulator

Hopping + Disorder gives localized single particle orbitals.

No conductivity

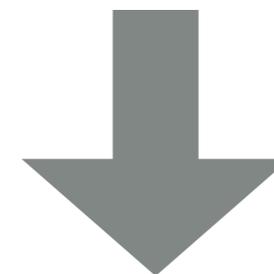
This is single particle physics. The many body generalization: many-body localization.

Disorder



Localized single-particle orbitals

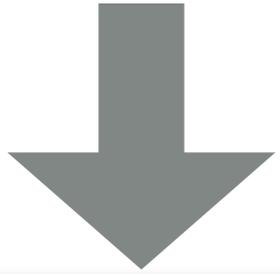
Disorder + Interactions



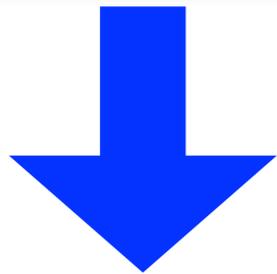
?

## Remove the stochasticity

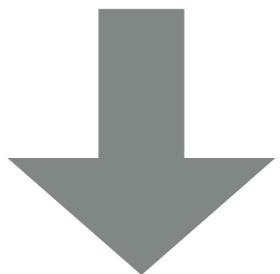
$$\Psi[\alpha, \beta, \gamma] \quad E[\alpha, \beta, \gamma]$$



VMC



$$\Psi[\alpha, \beta, \gamma] \quad \{R_1, R_2, \dots, R_{1000}\}[\alpha, \beta, \gamma]$$



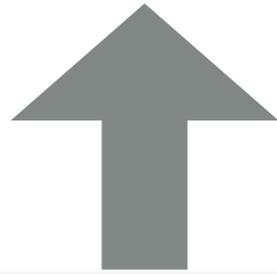
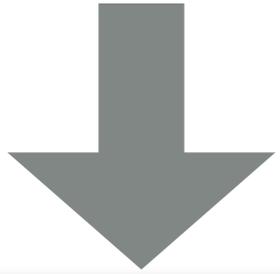
VMC

**Q:** If I give you this list of 1000 numbers  
can you evaluate  $E[\alpha', \beta', \gamma']$

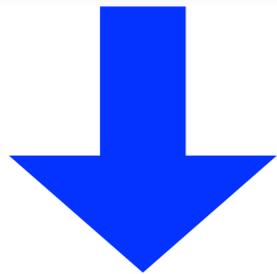


## Remove the stochasticity

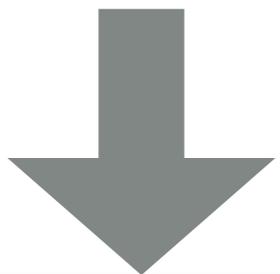
$$\Psi[\alpha, \beta, \gamma] \quad E[\alpha, \beta, \gamma]$$



VMC



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VMC

**Q:** If I give you this list of 1000 numbers can you evaluate  $E[\alpha', \beta', \gamma']$

$$E[\alpha'] = \frac{\int |\Psi[\alpha']|^2 \frac{[H\Psi[\alpha']](R)}{\Psi(R)} dR}{\int |\Psi[\alpha']|^2 dR}$$

Then I've removed the stochasticity.

But there is an oversampling problem.

## Removing the oversampling

A comment about the local energy:  $\frac{H\Psi}{\Psi}$

**Q:** What happens if the  $\Psi$  is a true eigenstate.

We could also optimize the variance of the local energy.

This avoid oversampling.

## 2. Embrace the stochasticity

**Assume each objective function response is truly random.**

**Need a optimization method which is insensitive to this (not conjugate gradient!)**

**Stochastic gradient descent**

**Time evolution**

**Linear Method**